

The Infrared

Confinement

Hadronization

Underlying Event

& Soft QCD interactions

Disclaimer

Focus on ideas, make you think about the physics

From Partons to Pions

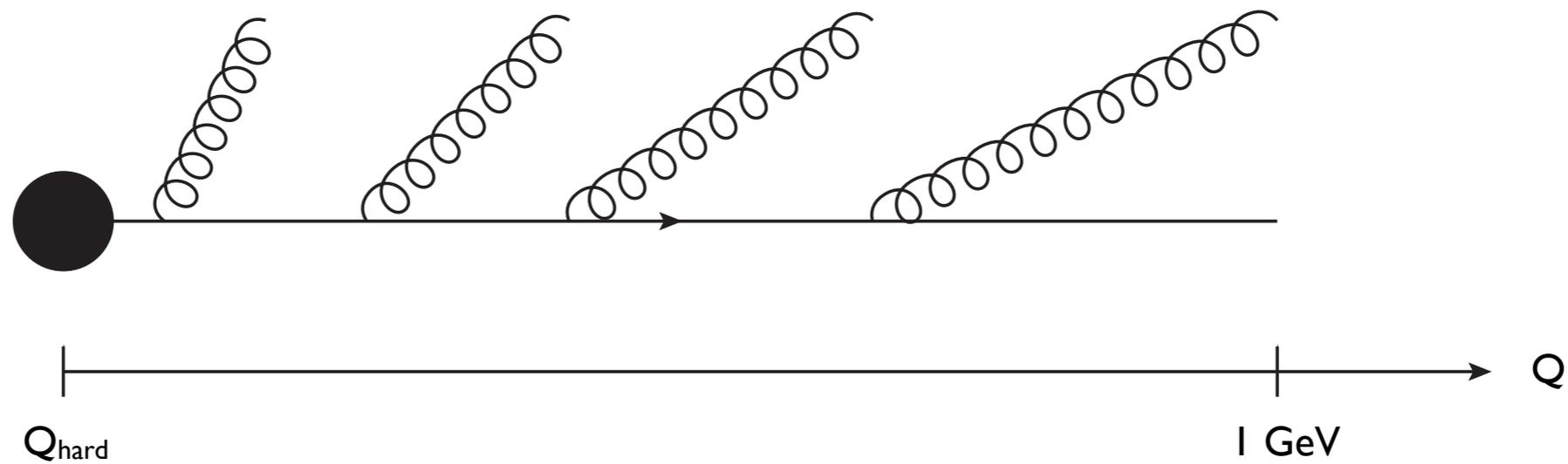
Here's a fast parton

It starts at a high factorization scale

$$Q = Q_F = Q_{\text{hard}}$$

It showers
(bremsstrahlung)

It ends up
at an effective
factorization scale
 $Q \sim m_\rho \sim 1 \text{ GeV}$



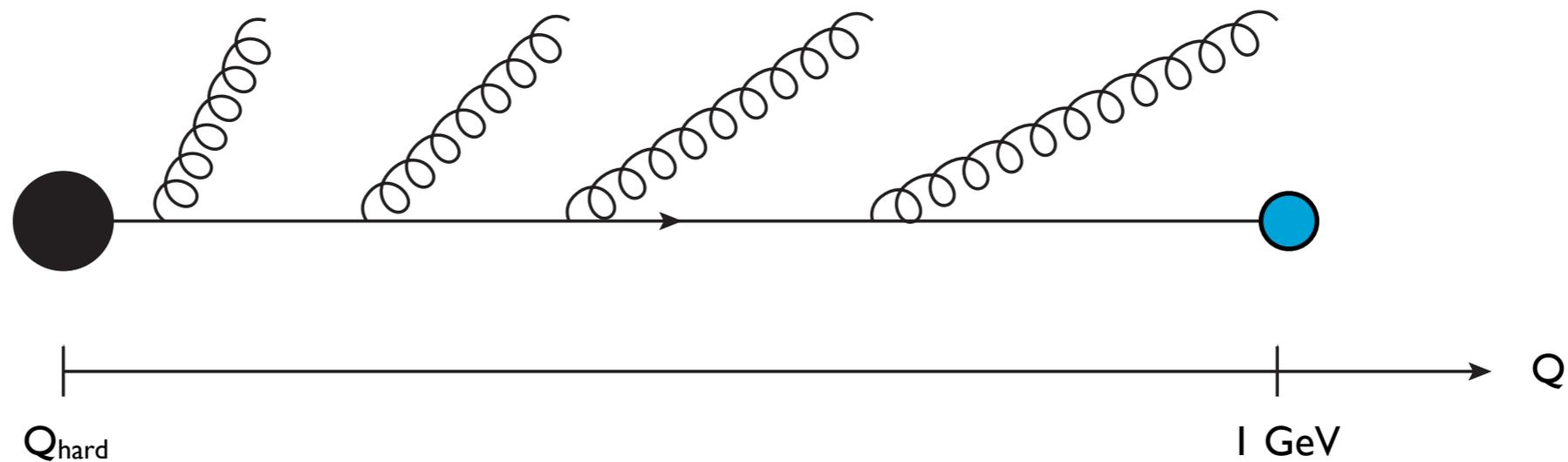
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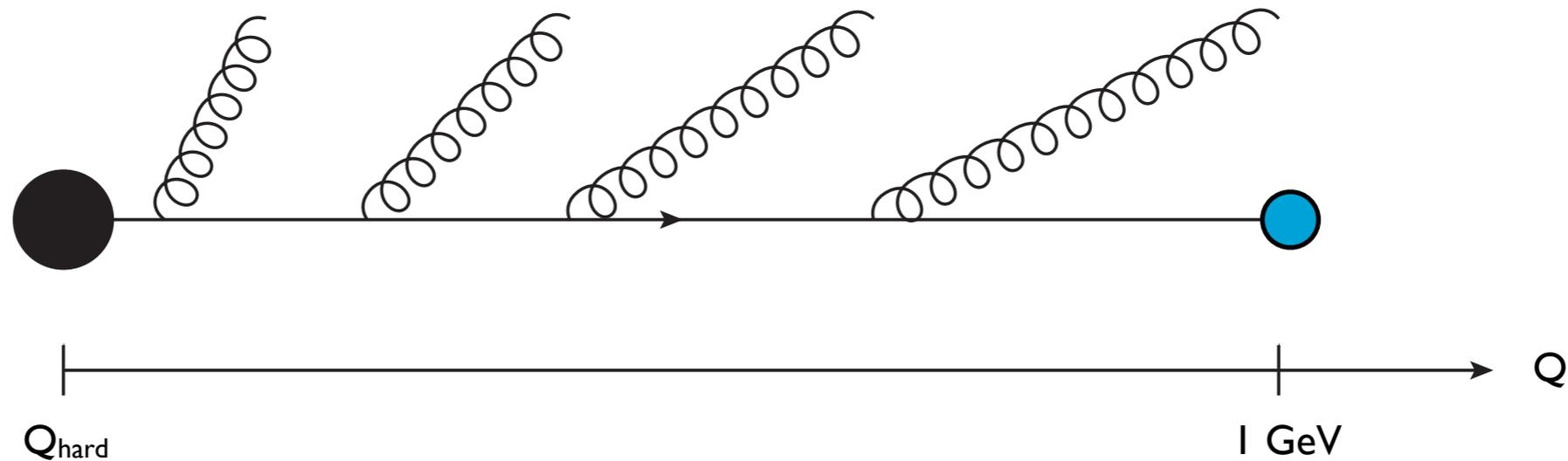
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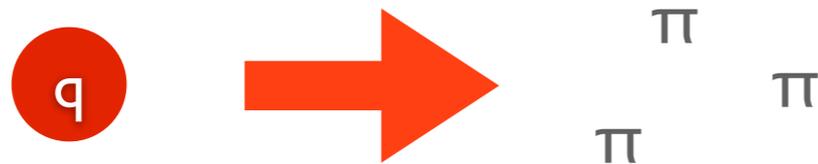
How about I just call it a hadron?

→ “Local Parton-Hadron Duality”

Parton \rightarrow Hadrons?

Parton Hadron Duality

Universal fragmentation of a parton into hadrons

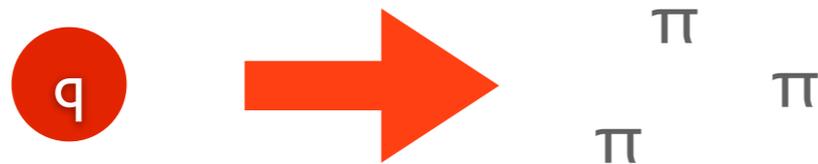


*LPHD = Local Parton Hadron Duality

Parton \rightarrow Hadrons?

Parton Hadron Duality

Universal fragmentation of a parton into hadrons



But ...

The point of confinement is that partons are colored

Hadronization = the process of color neutralization

I.e., the one question NOT addressed by LPHD or I.F.

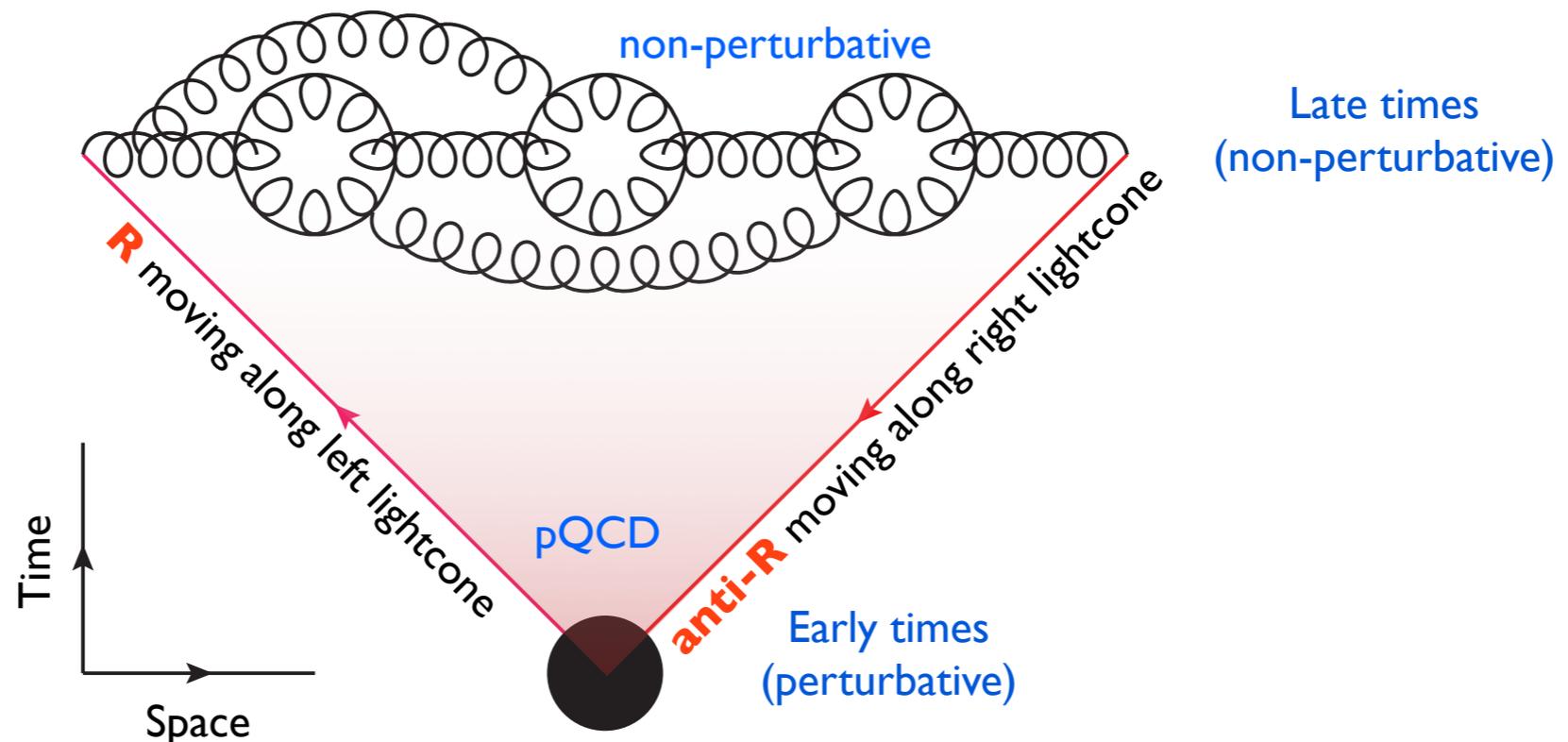
\rightarrow Unphysical to think about independent fragmentation of individual partons

*LPHD = Local Parton Hadron Duality

Color Neutralization

A physical hadronization model

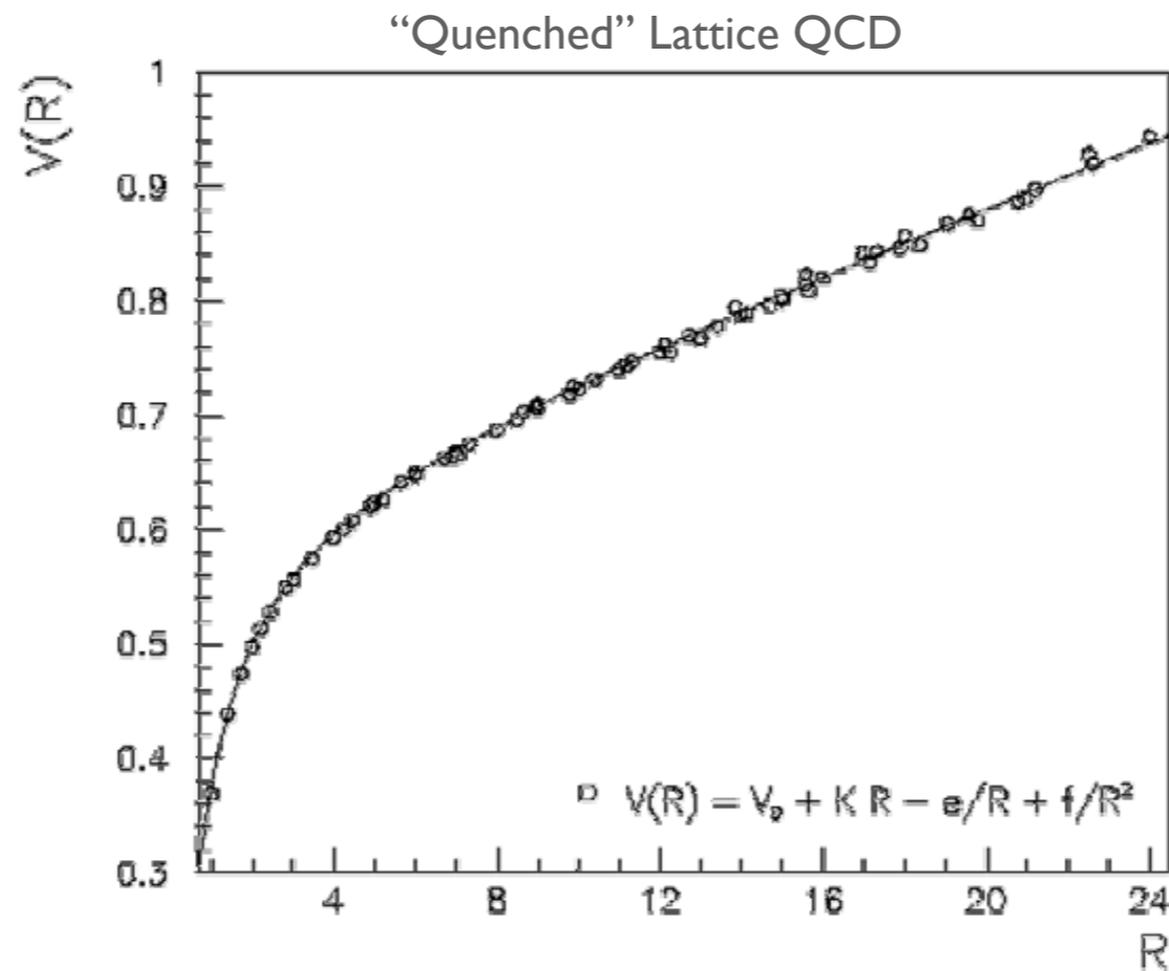
Should involve at least TWO partons, with opposite color charges (e.g., **R** and **anti-R**)



Strong “confining” field emerges between the two charges when their separation $> \sim 1\text{fm}$

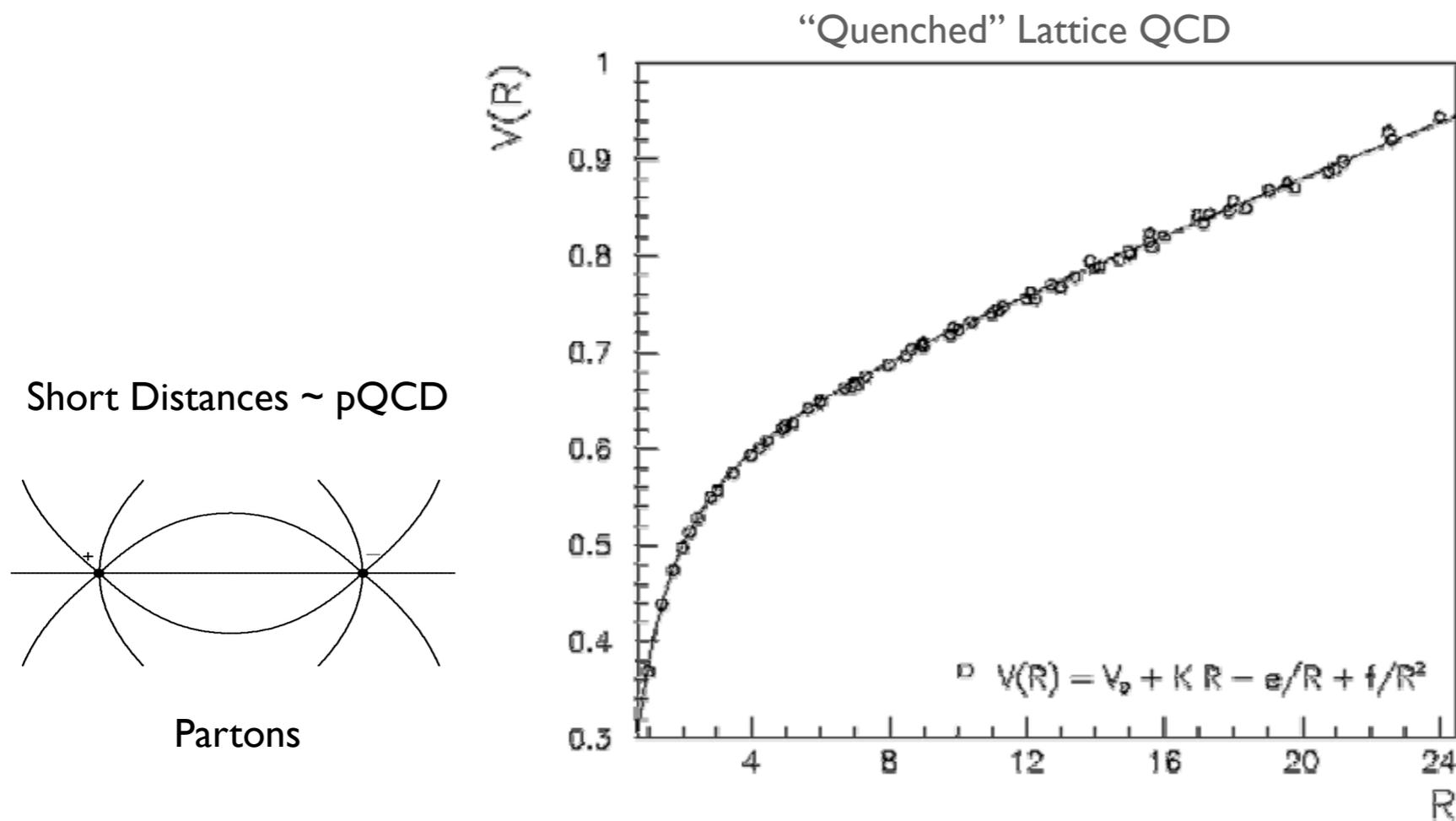
Linear Confinement

Lattice QCD: Potential between a quark and an antiquark as function of distance, R



Linear Confinement

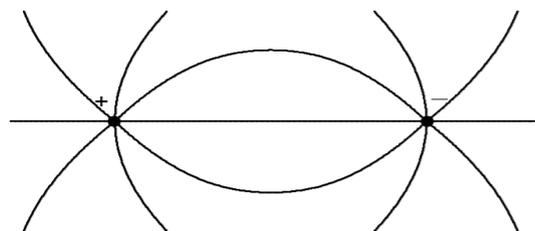
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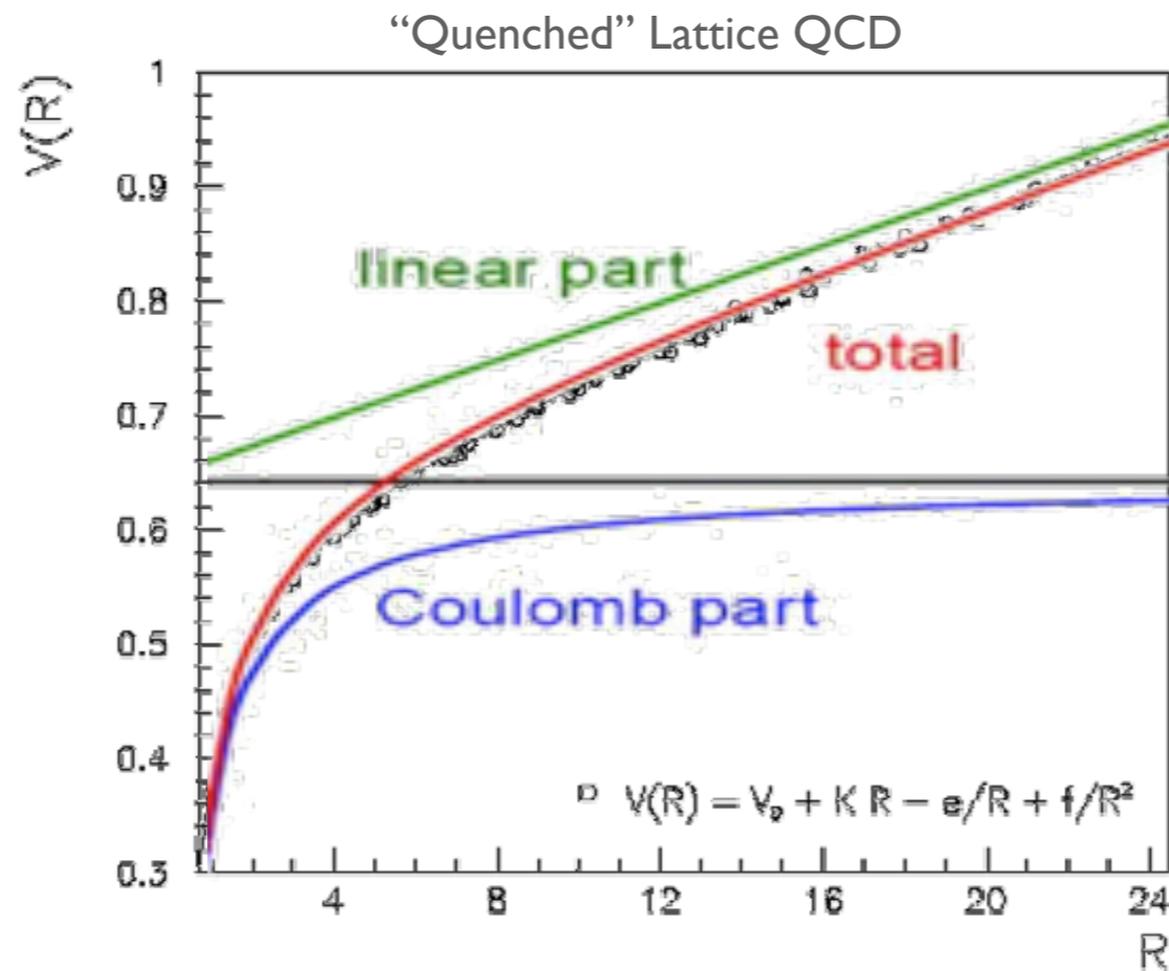
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Short Distances \sim pQCD



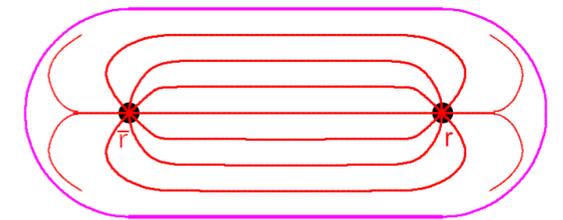
Partons



Linear Confinement

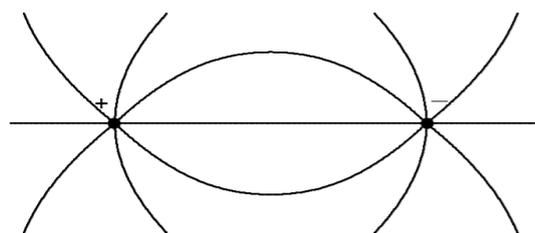
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Long Distances ~ Linear Confinement

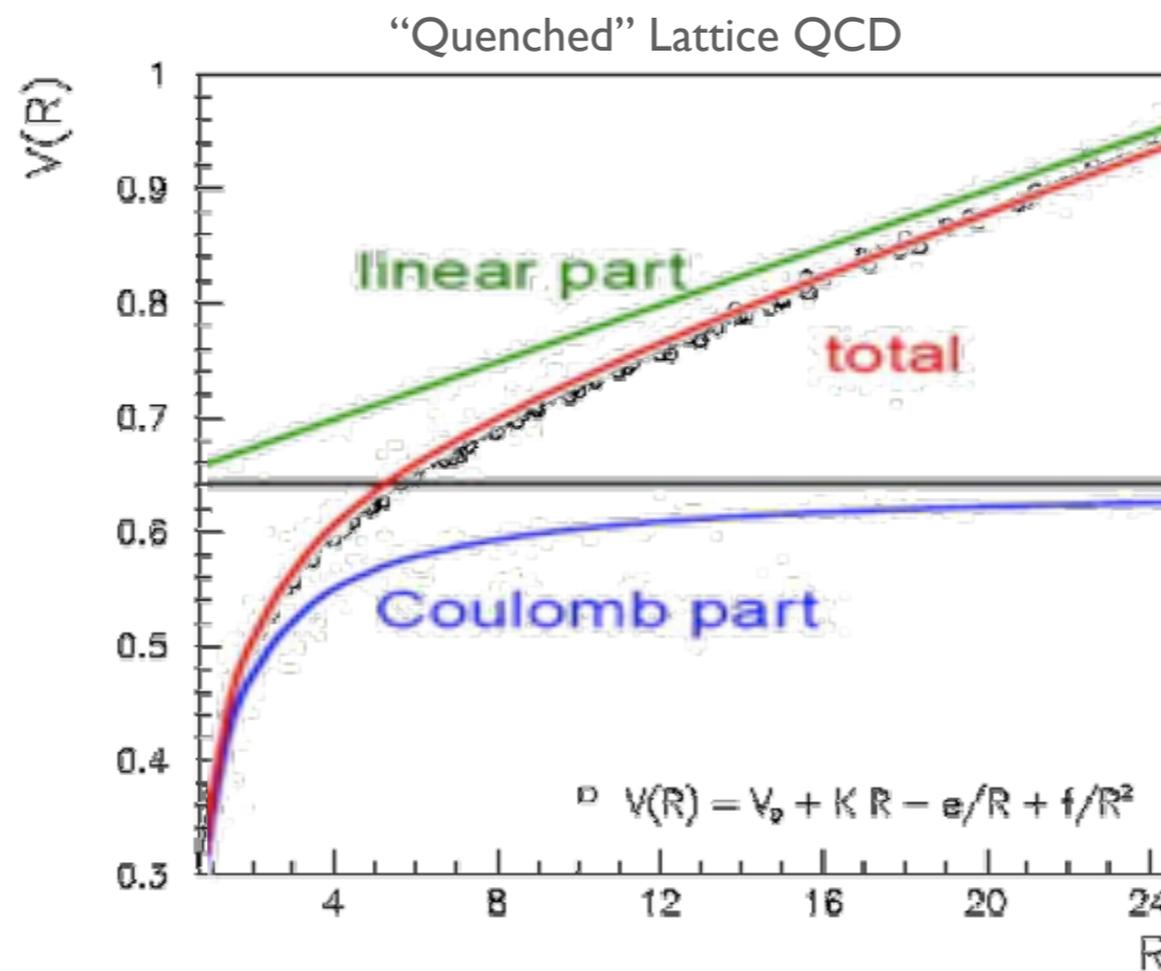


Hadrons

Short Distances ~ pQCD



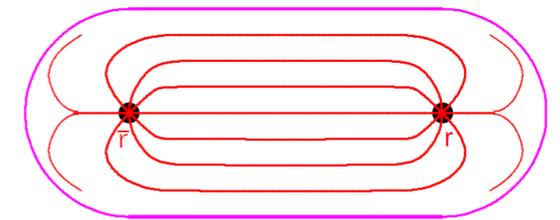
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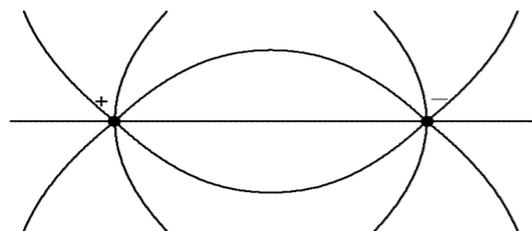
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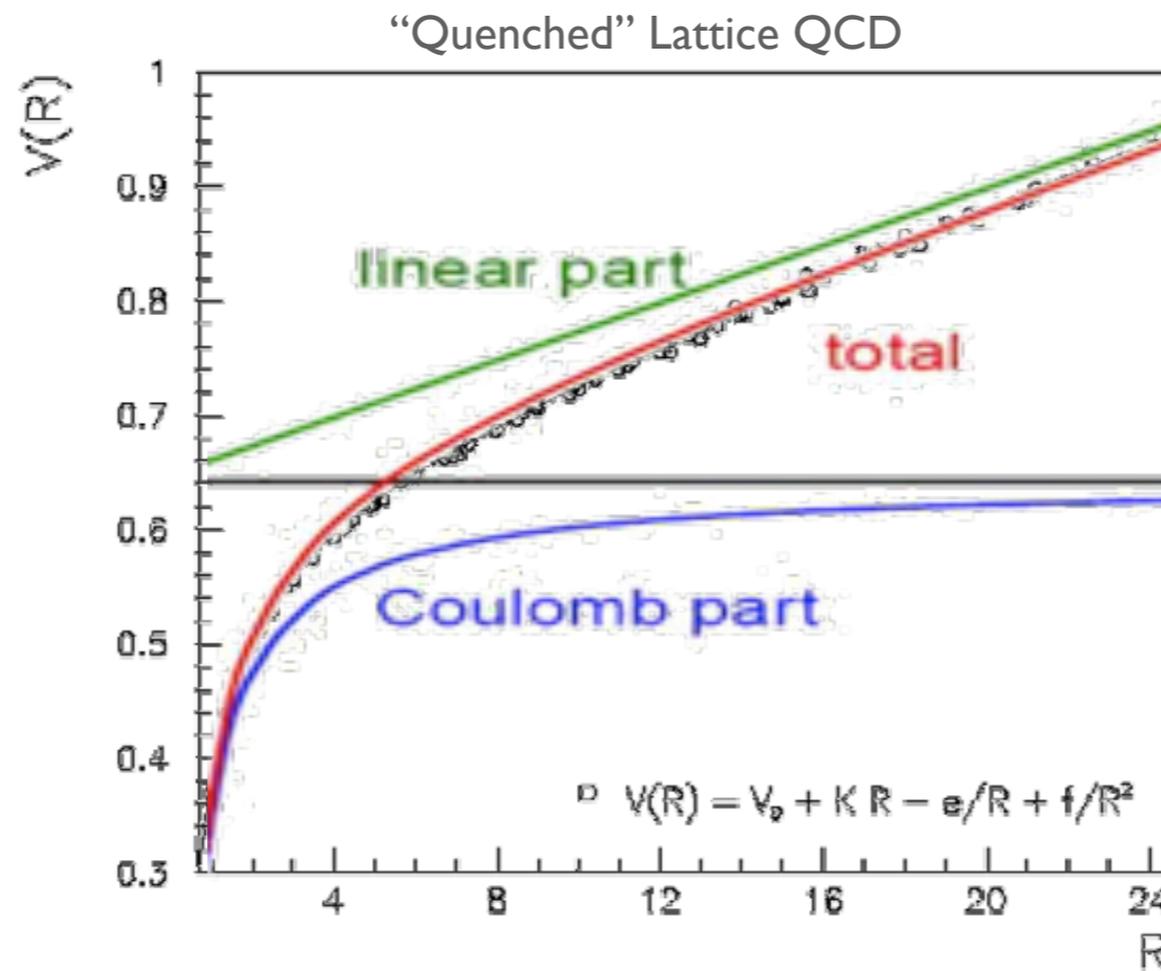


Hadrons

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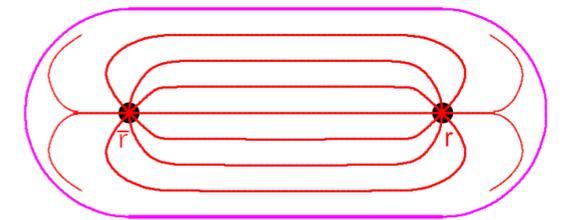


$$F(r) \approx \text{const} = \kappa \approx 1 \text{ GeV/fm} \iff V(r) \approx \kappa r$$

Linear Confinement

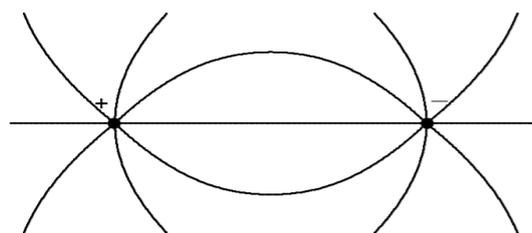
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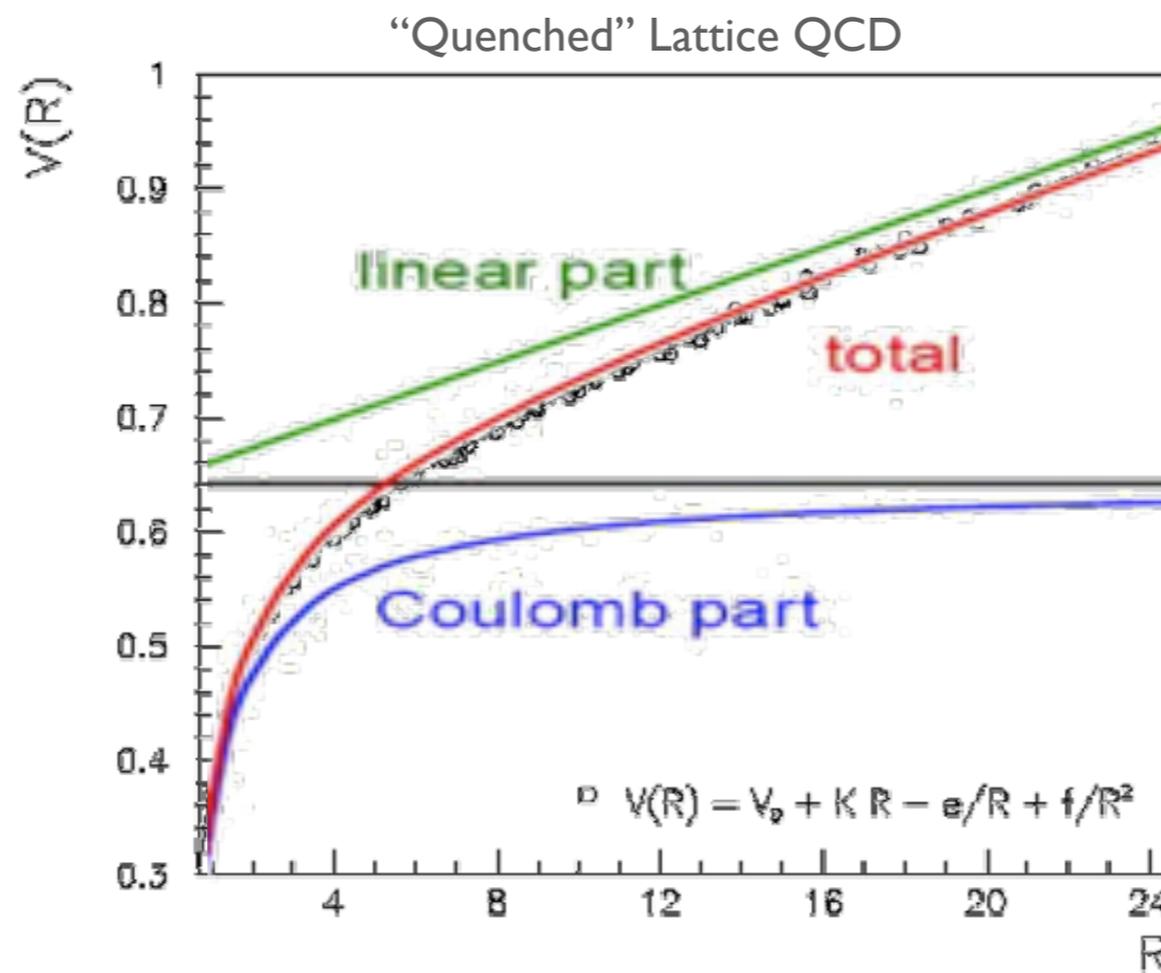


Hadrons

Short Distances ~ pQCD



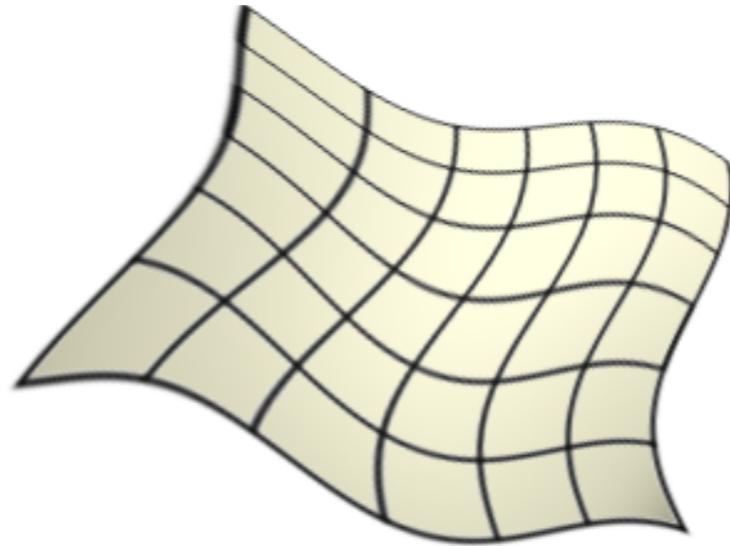
Partons



Question:
What physical system has a linear potential?

$$F(r) \approx \text{const} = \kappa \approx 1 \text{ GeV/fm} \iff V(r) \approx \kappa r$$

From Partons to Strings



Motivates a model:

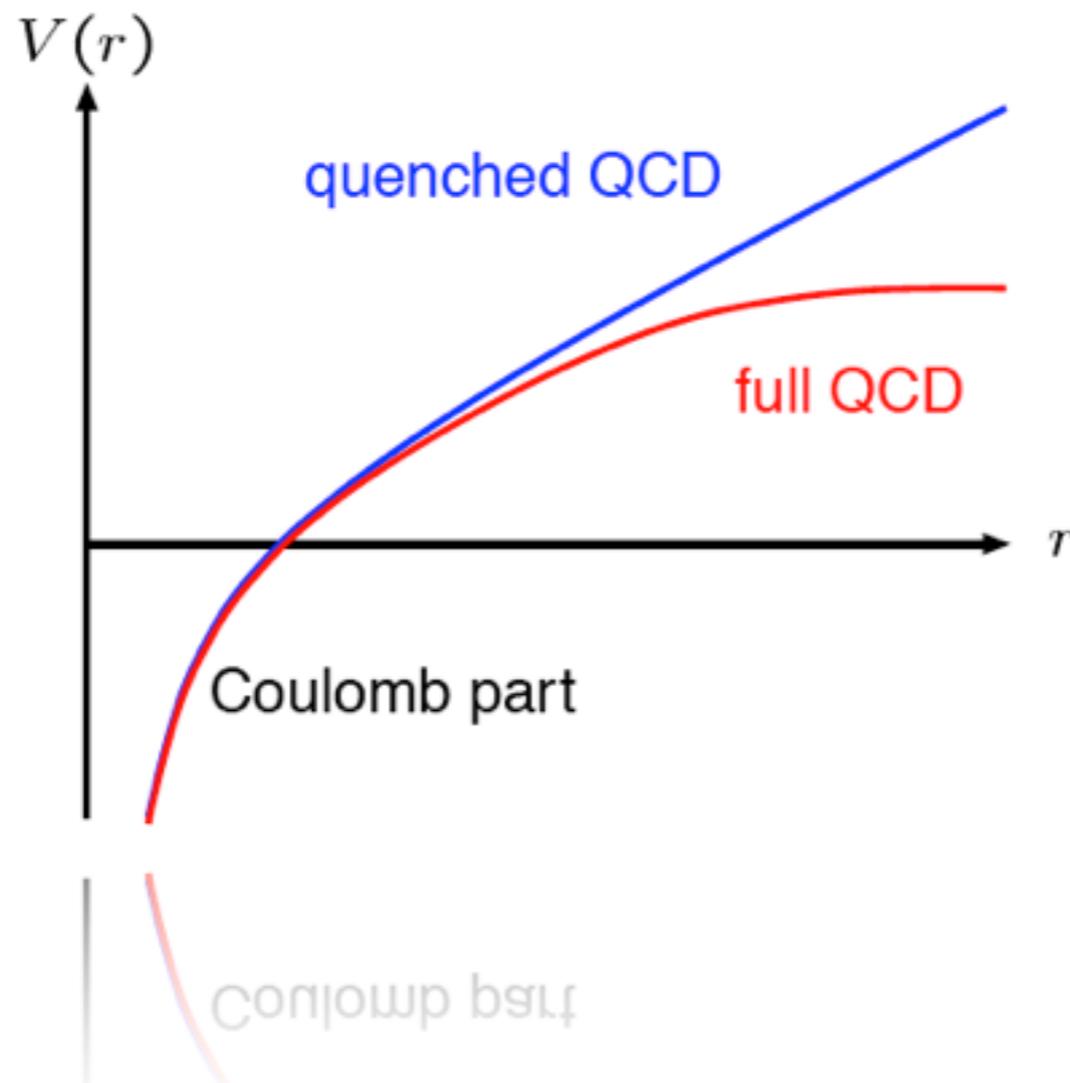
Let color field collapse into a (infinitely) narrow flux tube of uniform energy density $\kappa \sim 1 \text{ GeV} / \text{fm}$

→ Relativistic $1+1$ dimensional worldsheet – string

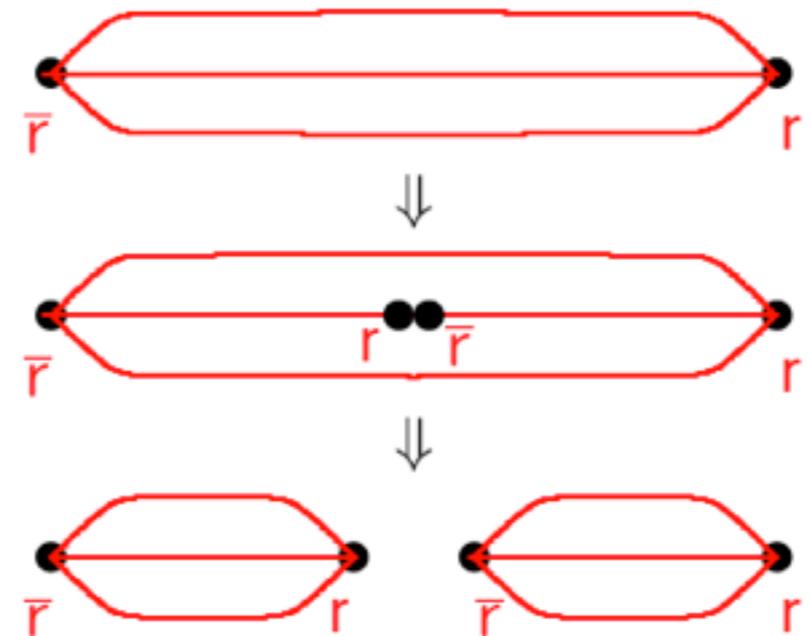
String Breaks

In “unquenched” QCD

$g \rightarrow qq \rightarrow$ The strings would break



simplified colour representation:



Illustrations by T. Sjöstrand

Hadronization Models

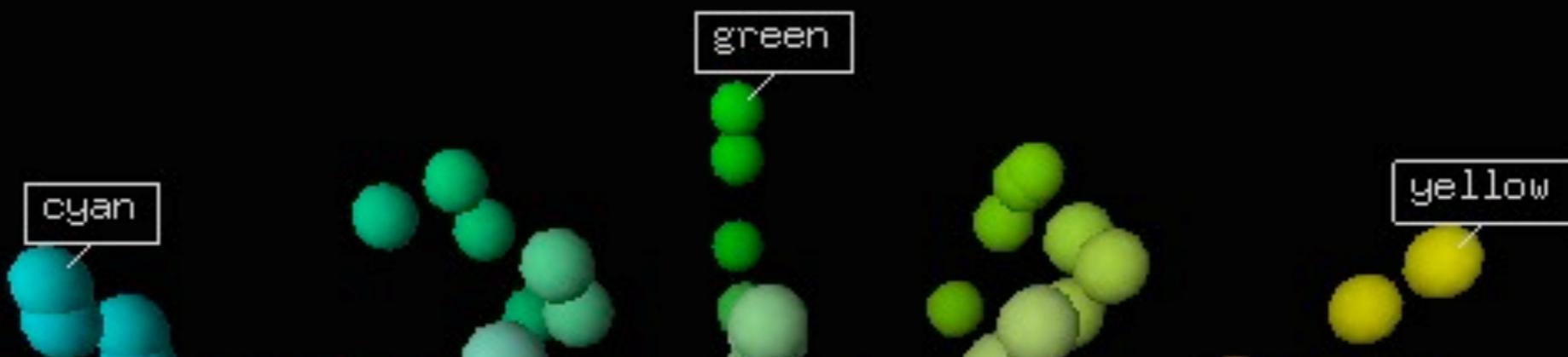
The problem:

- Given a set of partons resolved at a scale of ~ 1 GeV (the perturbative cutoff), need a **“mapping”** from this set onto a set of on-shell colour-singlet (i.e., confined) hadronic states.

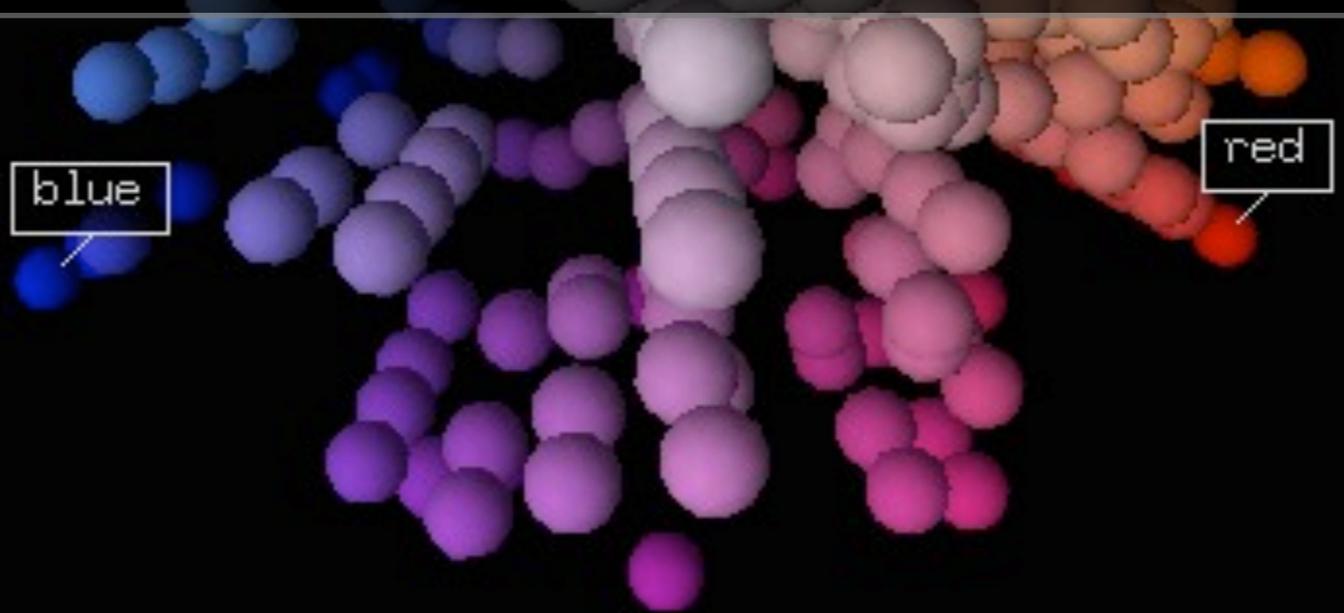
MC models do this in three steps

1. Map partons onto **continuum of excited hadronic states** (called ‘strings’ or ‘clusters’)
2. Iteratively map strings/clusters onto **discrete set of primary hadrons** (string breaks / cluster splittings / cluster decays)
3. Sequential decays into **secondary hadrons** (e.g., $\rho \rightarrow \pi \pi$, $\Lambda^0 \rightarrow n \pi^0$, $\pi^0 \rightarrow \gamma \gamma$, ...)

Distance Scales $\sim 10^{-15}$ m = 1 fermi



Color Space



Color Flow

Between which partons do confining potentials arise?

Set of simple rules for color flow, based on large-N limit

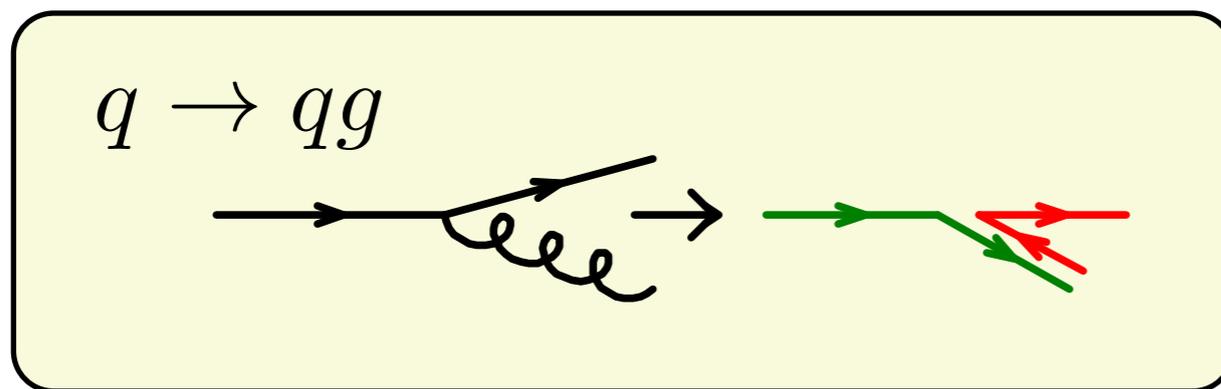
(Never Twice Same Color: true up to $O(1/N_c^2)$)

Illustrations from: P.Nason & P.S.,
PDG Review on MC Event Generators, 2012

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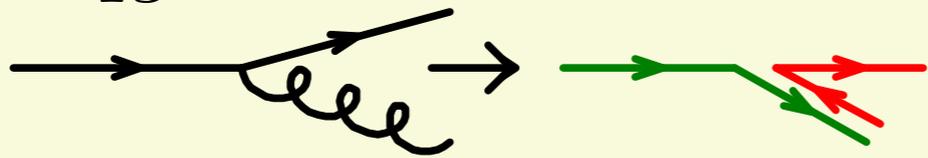
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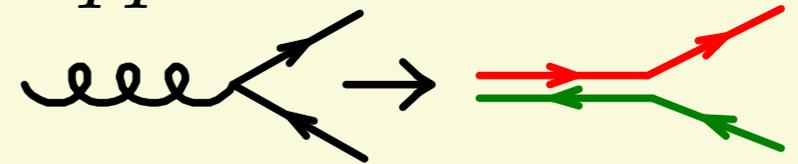
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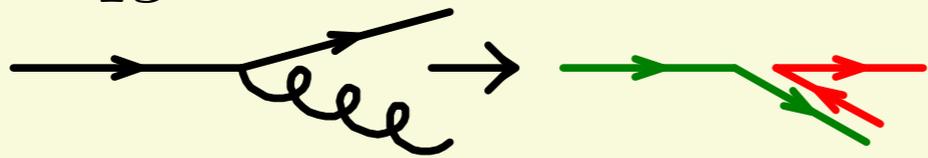
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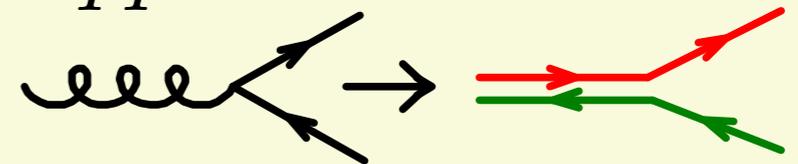
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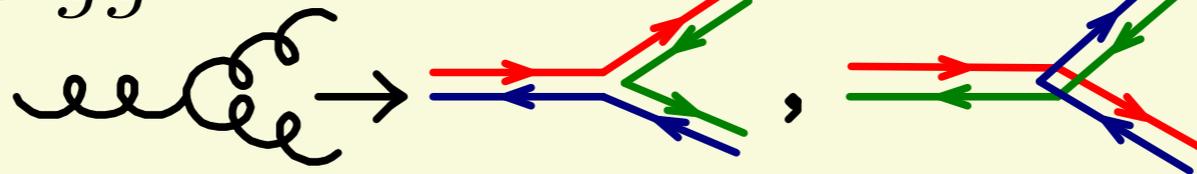
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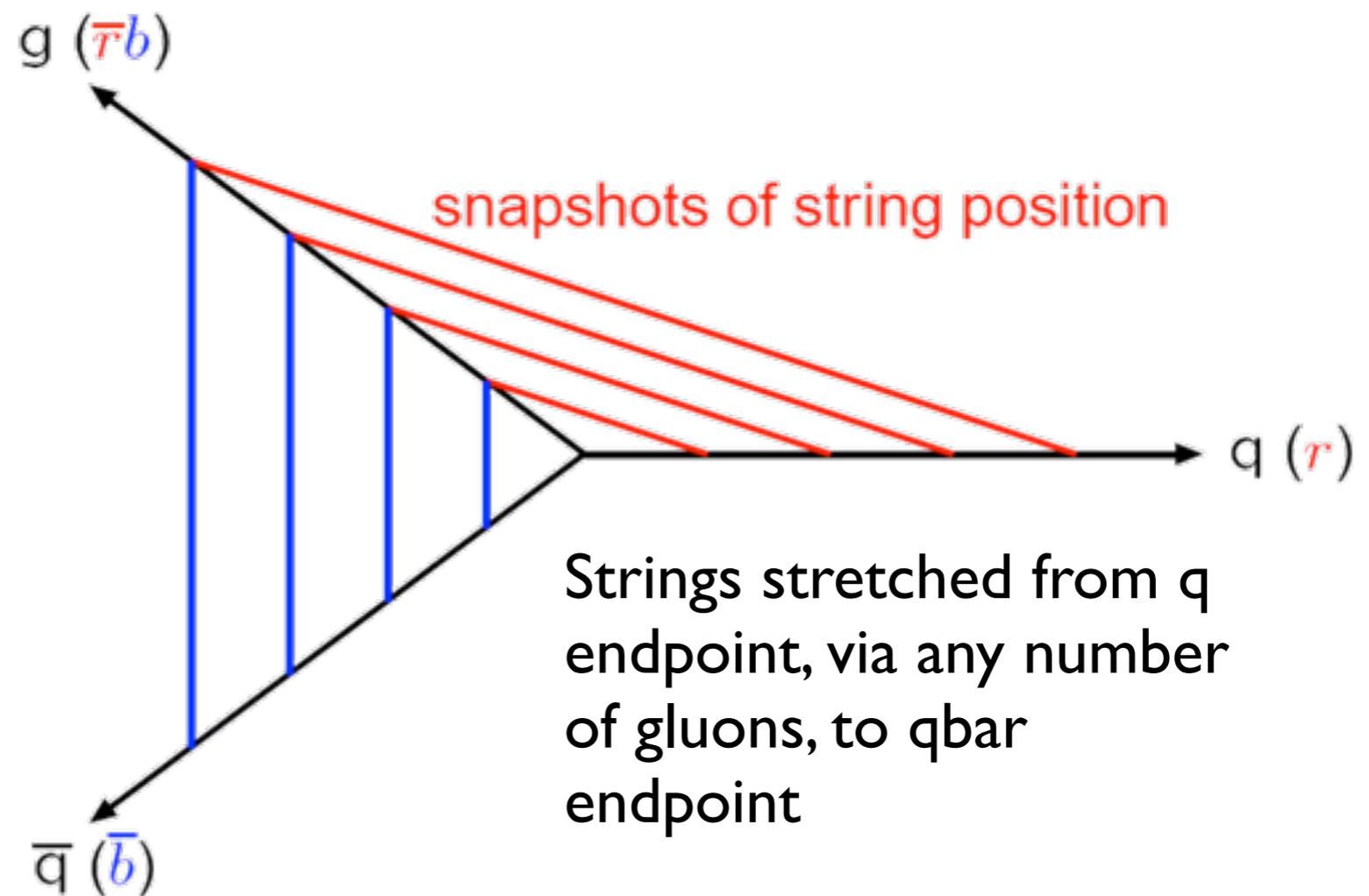
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From Partons to Strings

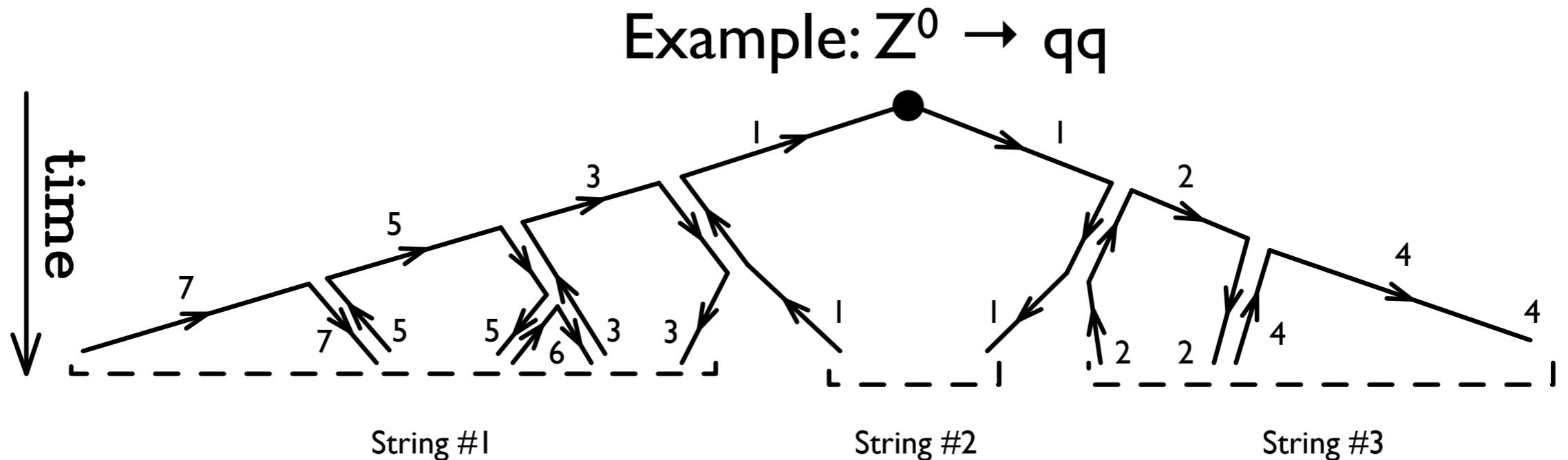
Illustrations by T. Sjöstrand

Map:

- **Quarks** → String Endpoints
- **Gluons** → Transverse Excitations (kinks)



Color Flow



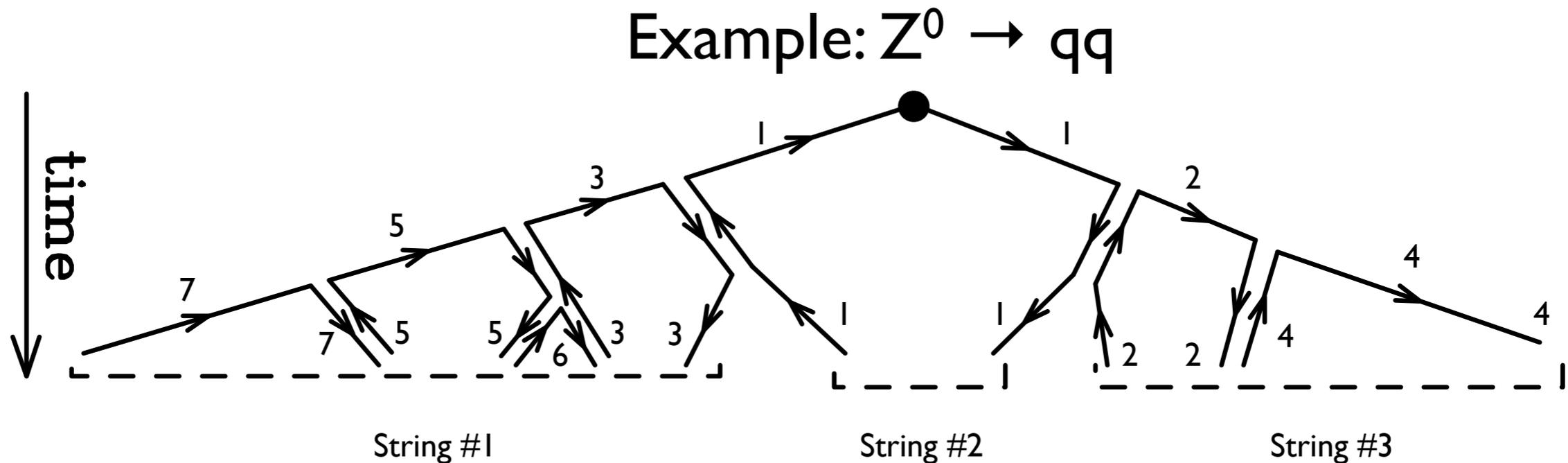
Coherence of pQCD cascades \rightarrow not much “overlap” between strings
 \rightarrow planar approx pretty good

(LEP measurements in WW confirm this (at least to order $10\% \sim 1/N_c^2$))

Note: (much) more color getting kicked around in hadron collisions \rightarrow color reconnections important there? ...

Color Flow

For an entire Cascade



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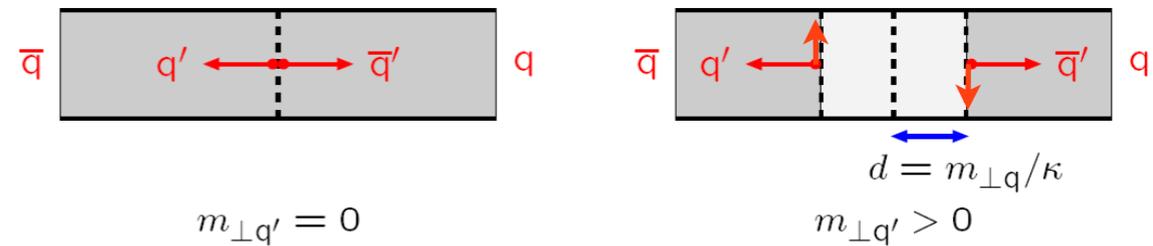
String Breaks



String Breaks

String Breaks

Modeled by tunneling



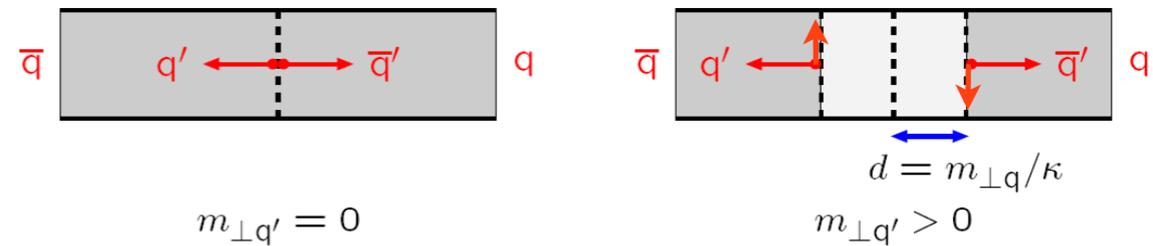
$$\mathcal{P} \propto \exp\left(-\frac{\pi m_{\perp q}^2}{\kappa}\right) = \exp\left(-\frac{\pi p_{\perp q}^2}{\kappa}\right) \exp\left(-\frac{\pi m_q^2}{\kappa}\right)$$

- 1) common Gaussian p_{\perp} spectrum
- 2) suppression of heavy quarks $u\bar{u} : d\bar{d} : s\bar{s} : c\bar{c} \approx 1 : 1 : 0.3 : 10^{-11}$
- 3) diquark \sim antiquark \Rightarrow simple model for baryon production

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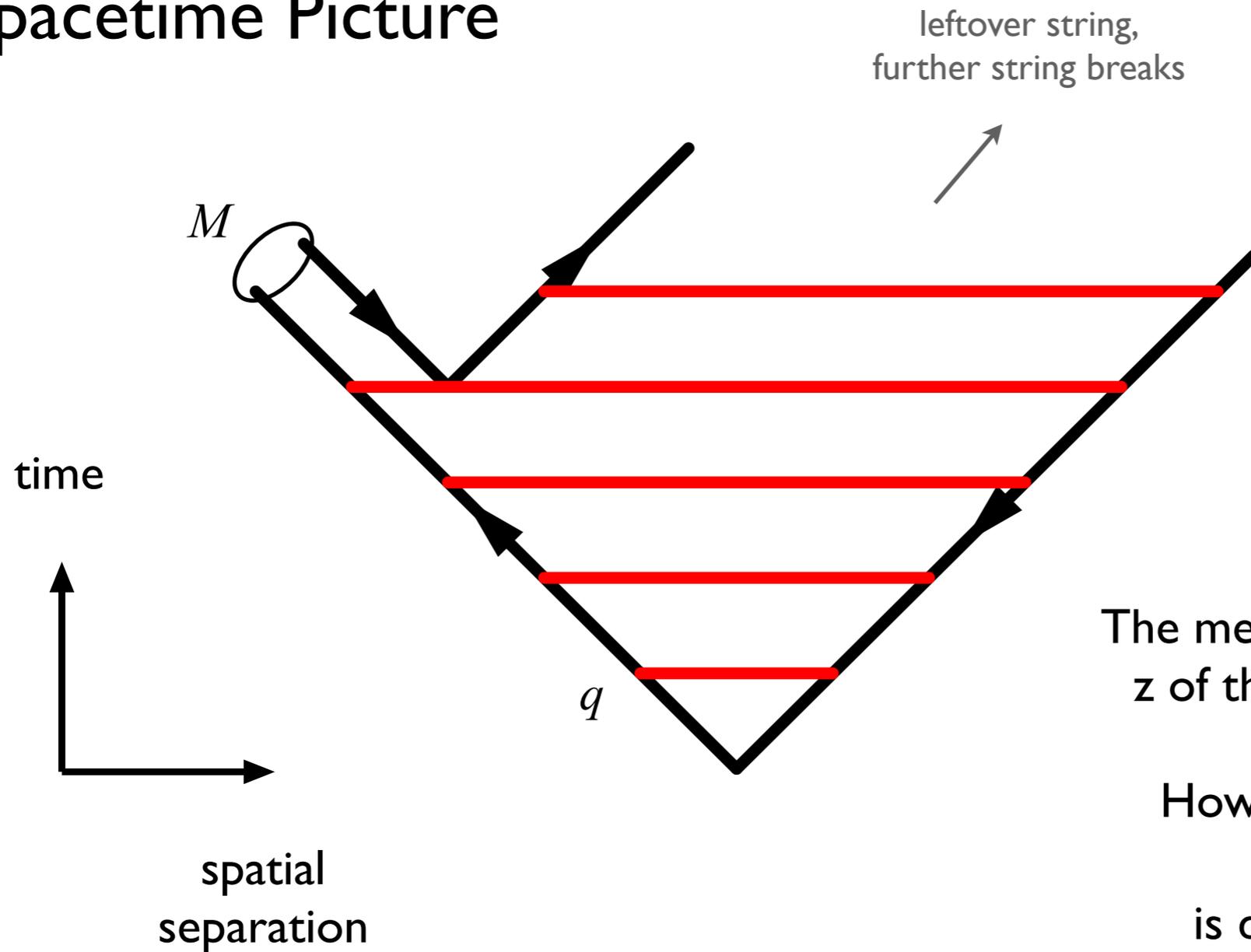
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Also depends on:

- Spins, hadron multiplets, hadronic wave functions, phase space, ...
- \rightarrow (much) more complicated \rightarrow many parameters
- \rightarrow Not calculable, must be constrained by data \rightarrow 'tuning'

Fragmentation Function

Spacetime Picture



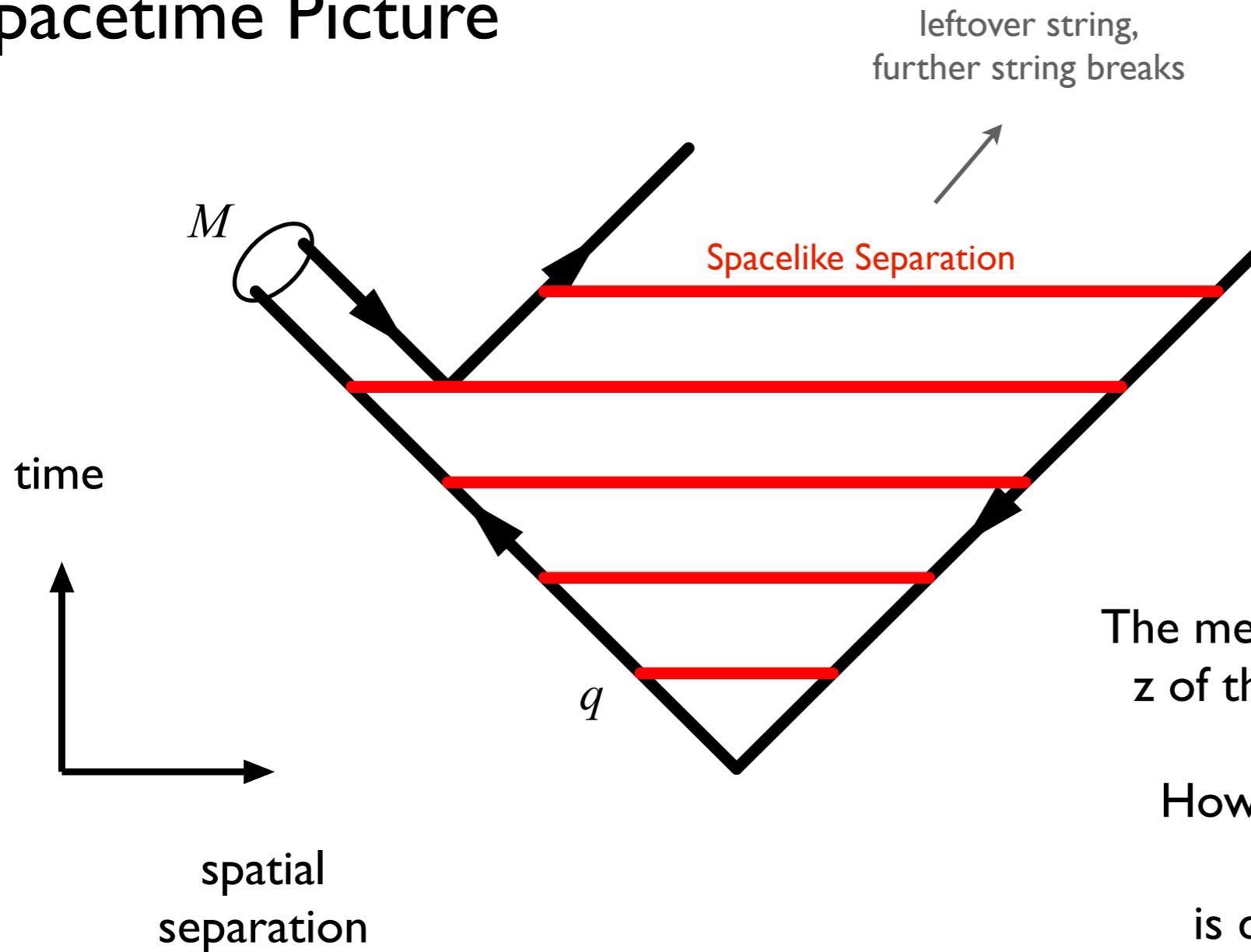
The meson M takes a fraction z of the quark momentum,

How big that fraction is,
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fragmentation function, $f(z, Q_0^2)$

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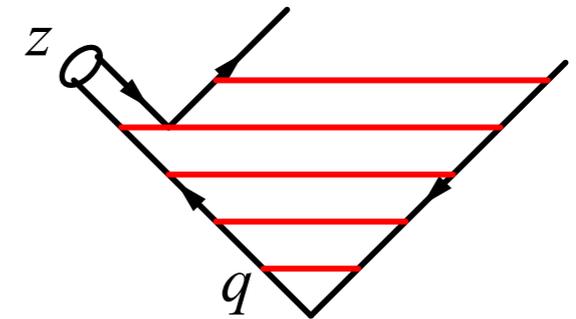
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Left-Right Symmetry

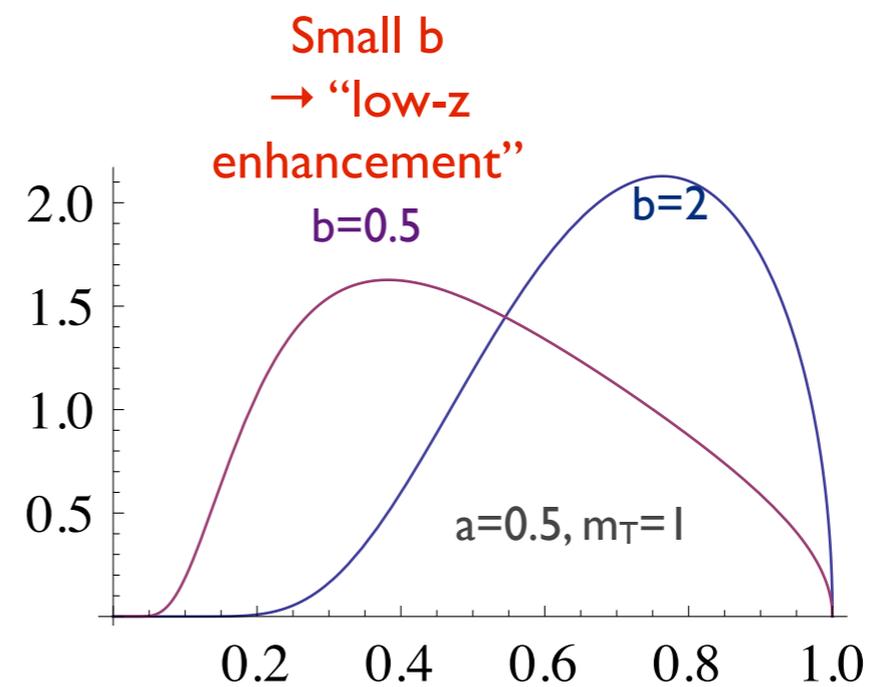
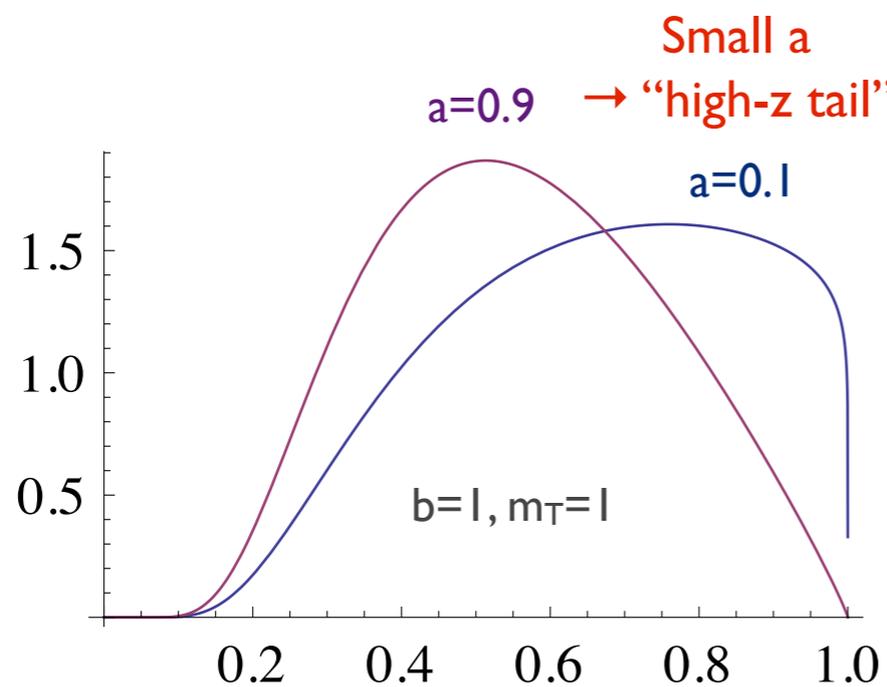
Causality → Left-Right Symmetry

→ Constrains form of fragmentation function!

→ Lund Symmetric Fragmentation Function

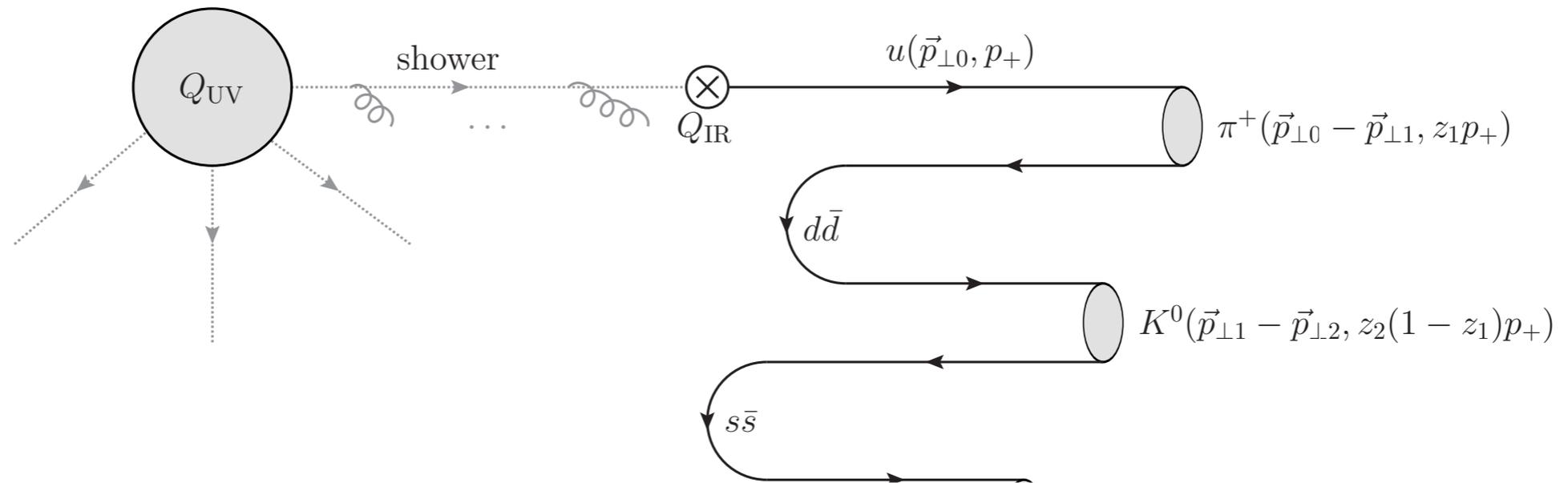


$$f(z) \propto \frac{1}{z} (1-z)^a \exp\left(-\frac{b(m_h^2 + p_{\perp h}^2)}{z}\right)$$

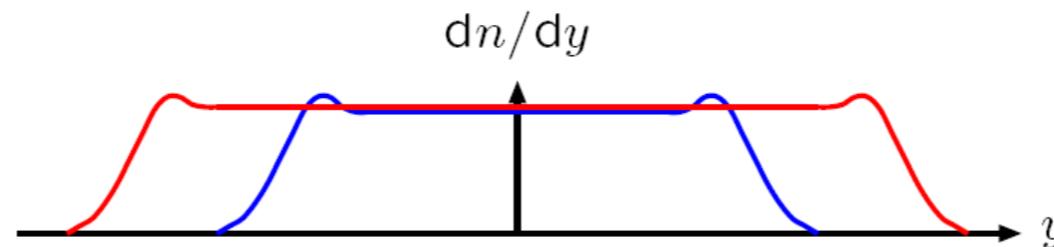


Iterative String Breaks

Causality → May iterate from outside-in



Scaling in lightcone $p_{\pm} = E \pm p_z$ (for $q\bar{q}$ system along z axis)
implies flat central rapidity plateau + some endpoint effects:



$\langle n_{ch} \rangle \approx c_0 + c_1 \ln E_{cm}, \sim$ Poissonian multiplicity distribution

Illustration by T. Sjöstrand

Alternative: The Cluster Model

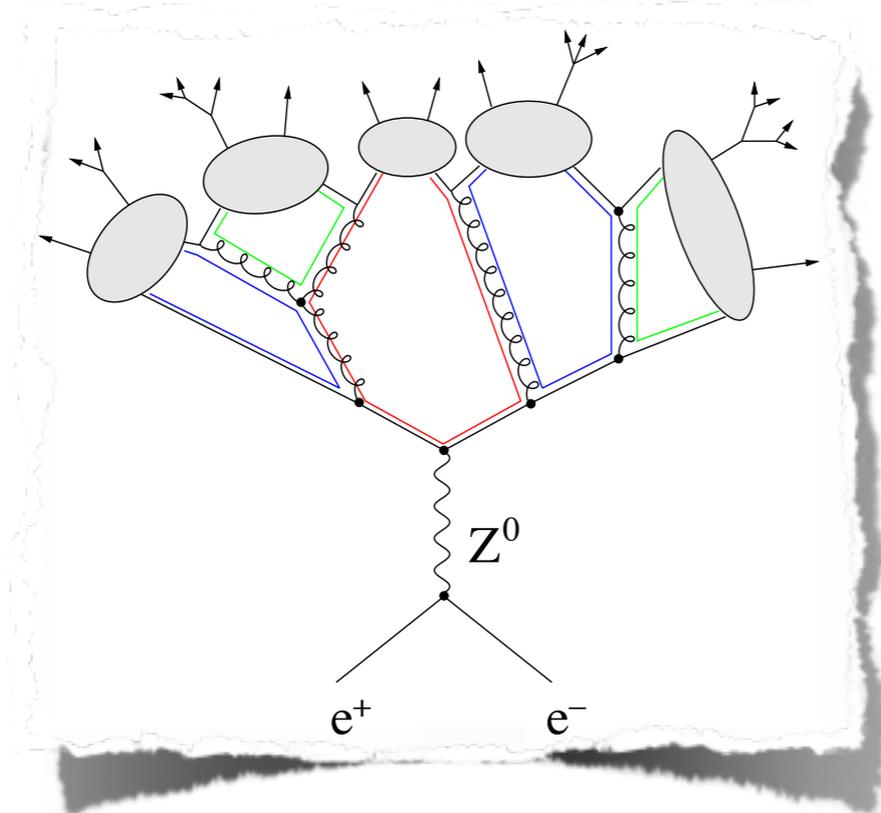
“Preconfinement”

Force $g \rightarrow qq$ splittings at Q_0

\rightarrow high-mass qq “clusters”

Isotropic 2-body decays to hadrons

according to $PS \approx (2s_1+1)(2s_2+1)(p^*/m)$



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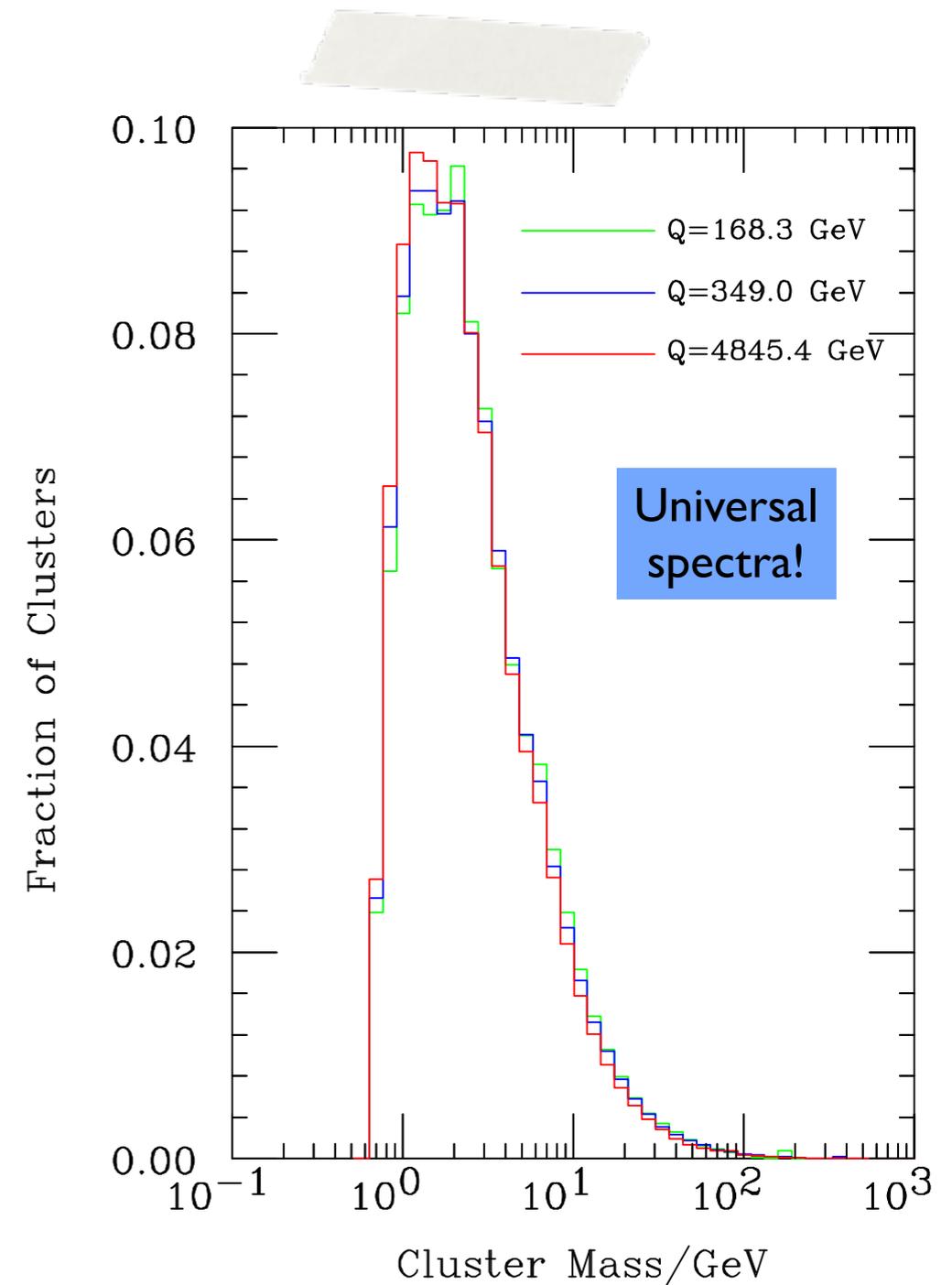
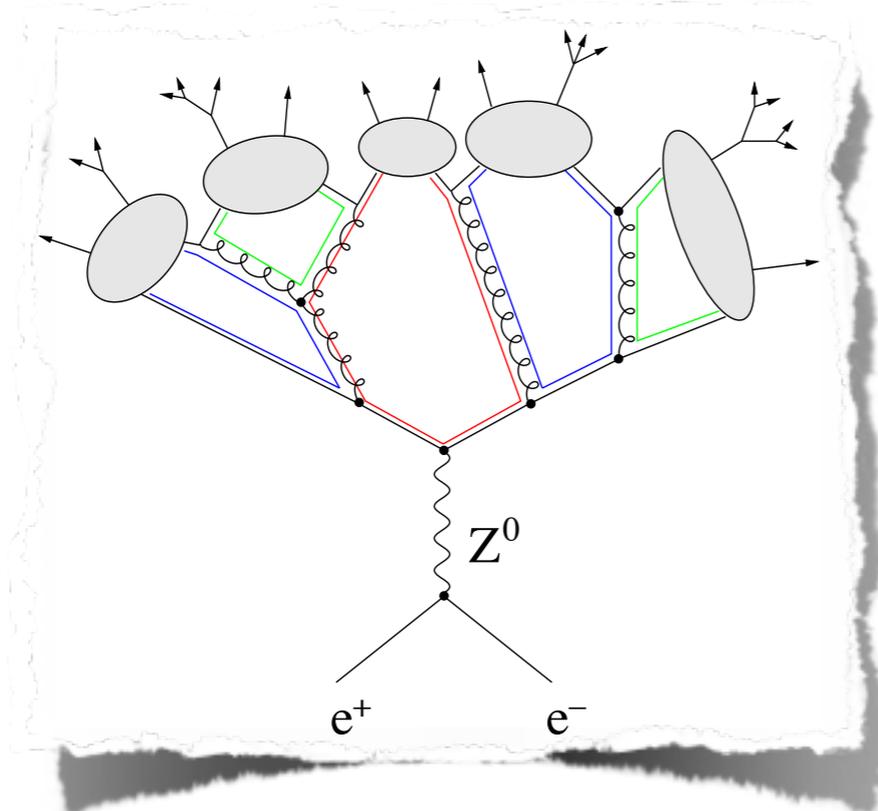
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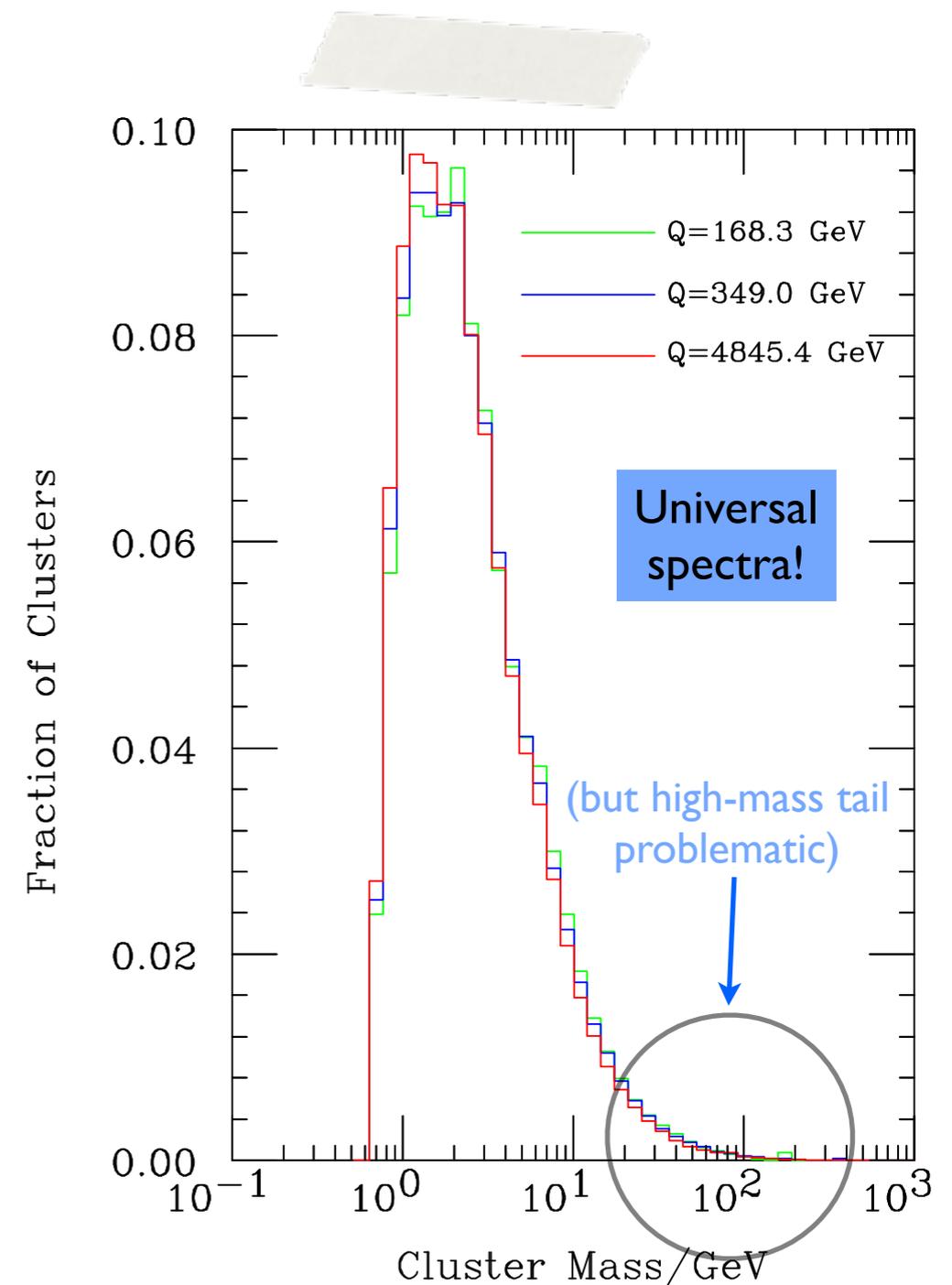
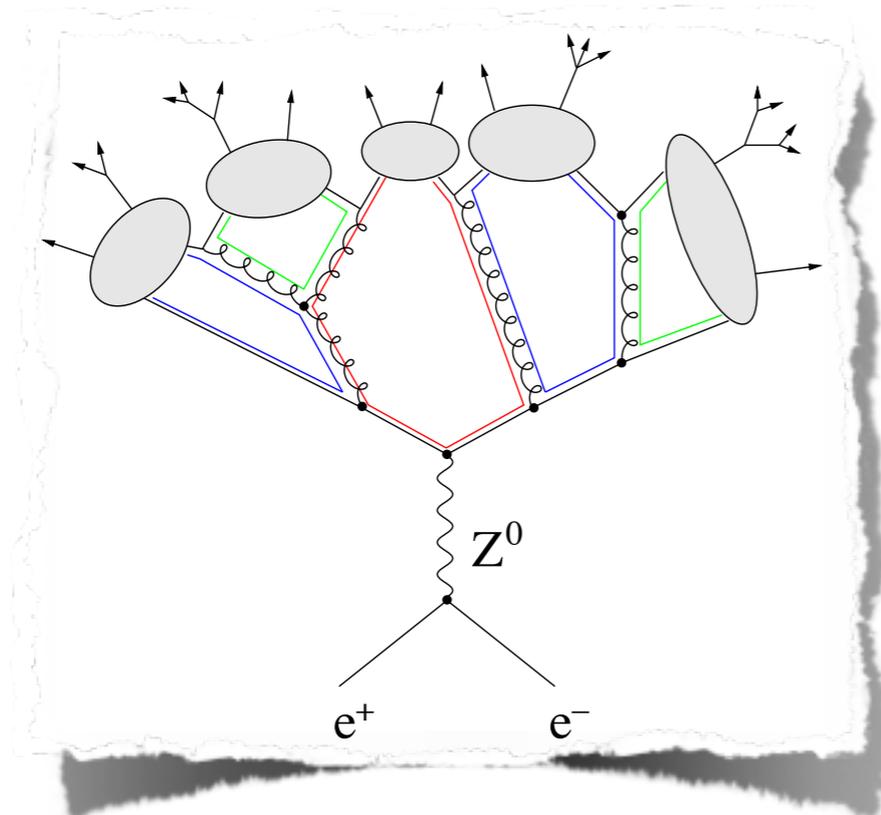
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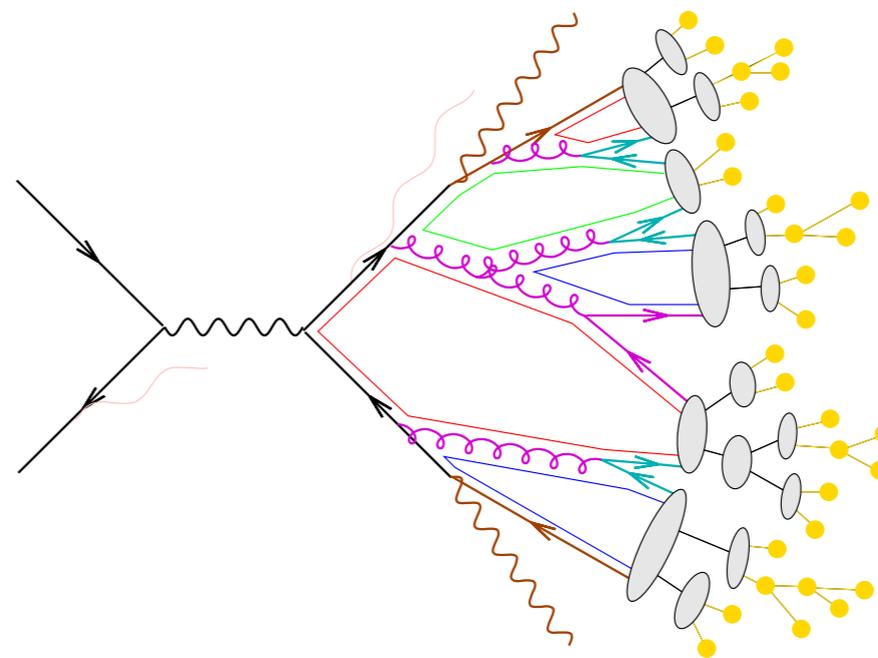
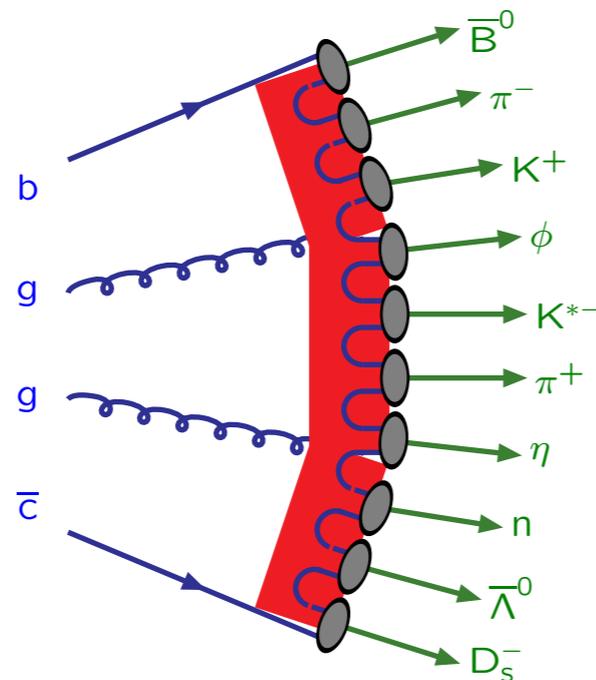
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Strings and Clusters



program	PYTHIA	HERWIG (&SHERPA)
model	string	cluster
energy-momentum picture	powerful predictive	simple unpredictive
parameters	few	many
flavour composition	messy unpredictive	simple in-between
parameters	many	few

Small strings → clusters. Large clusters → strings

String Hadronization

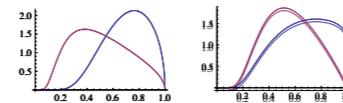
Main IR Parameters

Longitudinal FF = $f(z)$



Lund Symmetric Fragmentation Function

The a and b parameters

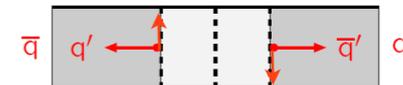


p_T in string breaks



Scale of string breaking process

$\langle p_T \rangle$ in string breaks



Meson Multiplets



Mesons

Strangeness suppression, Vector/Pseudoscalar, η , η' , ...

Baryon Multiplets

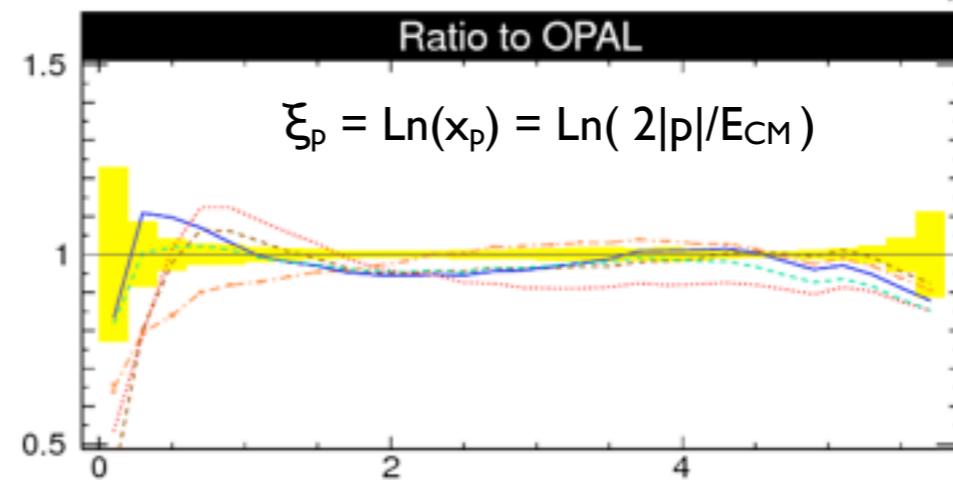
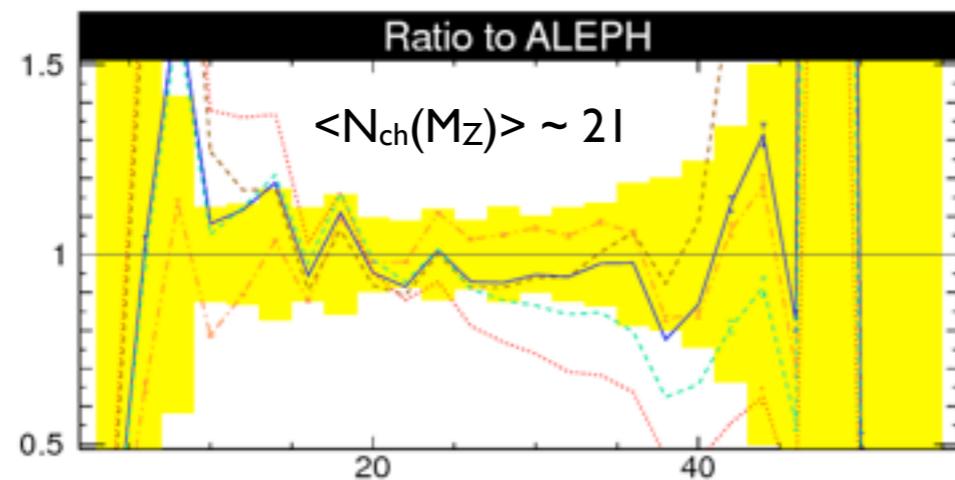
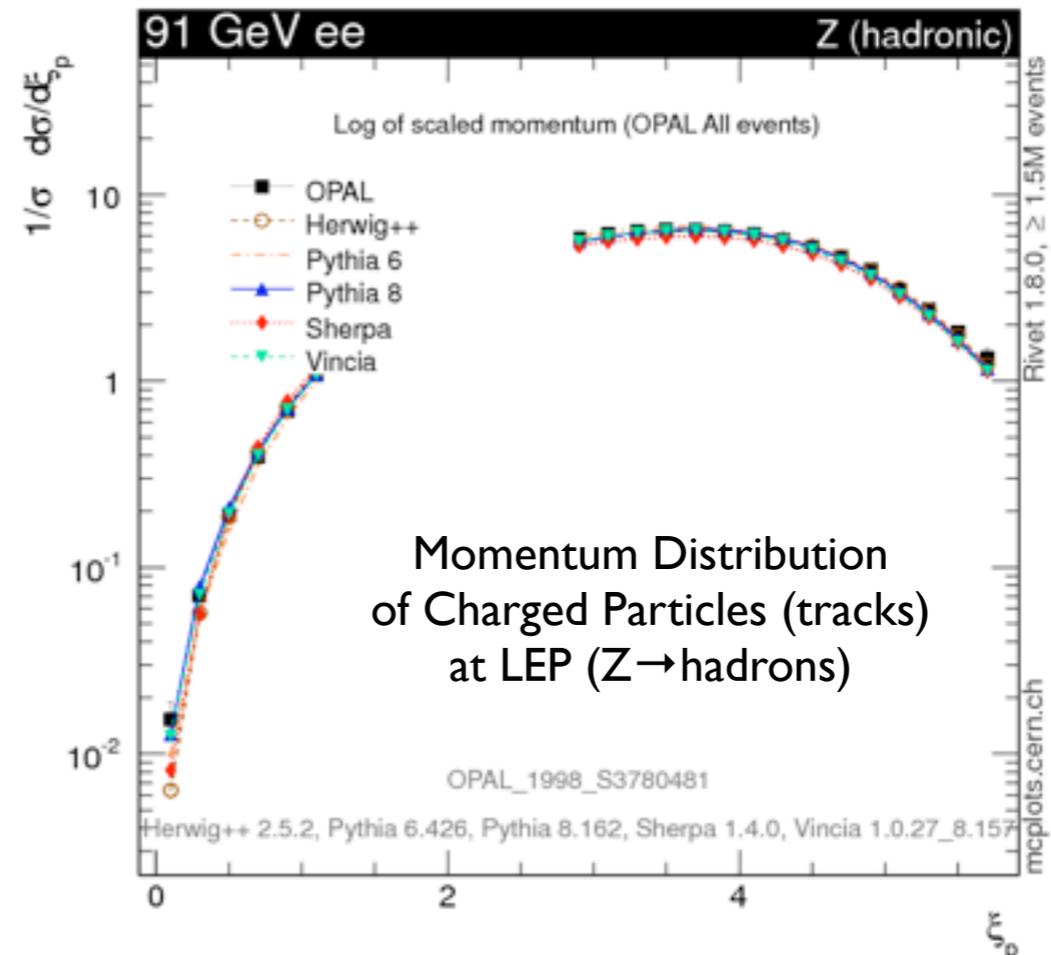
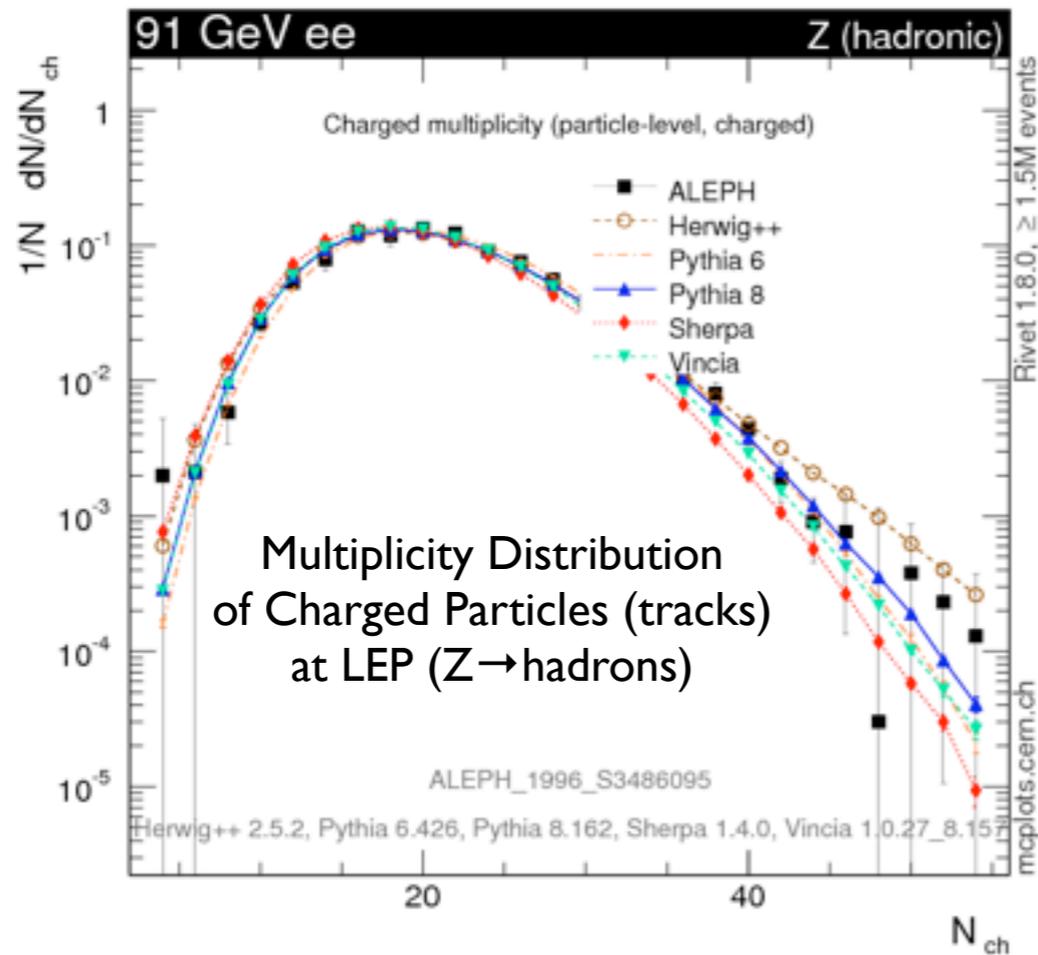


Baryons

Diquarks, Decuplet vs Octet, popcorn, junctions, ... ?

Fragmentation Tuning

(example)



Hadron Collisions

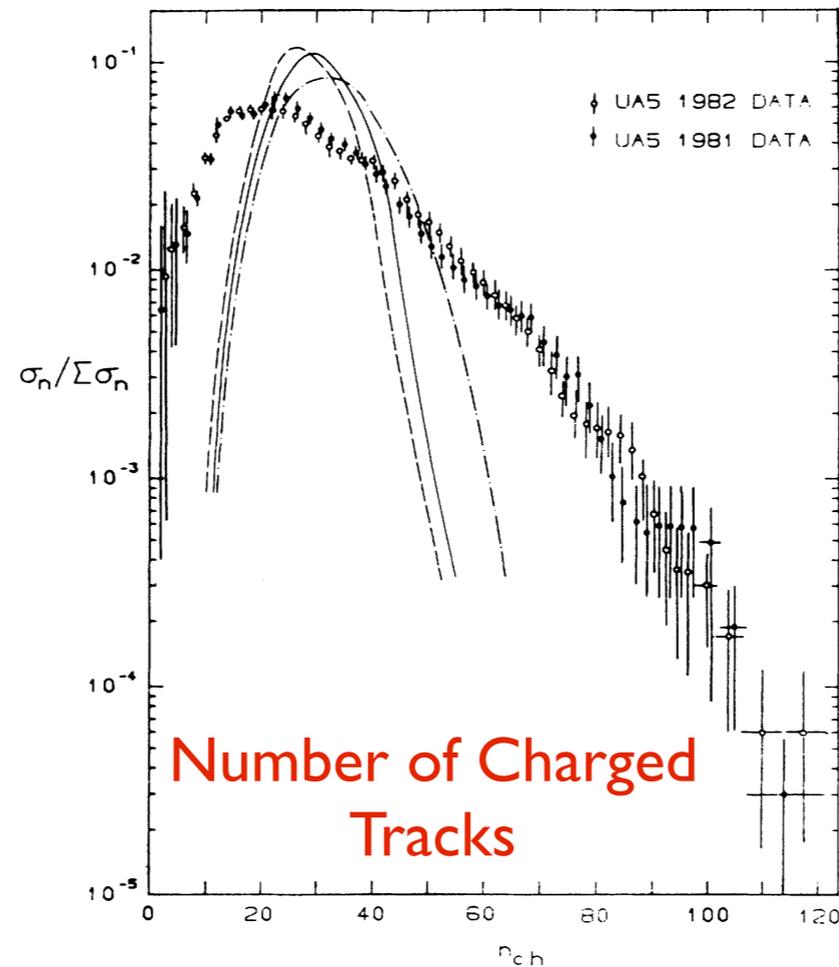
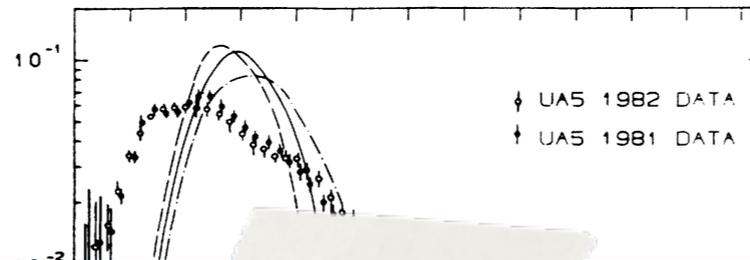


FIG. 3. Charged-multiplicity distribution at 540 GeV, UA5 results (Ref. 32) vs simple models: dashed low p_T only, full including hard scatterings, dash-dotted also including initial- and final-state radiation.

Hadron Collisions



Do not be scared of the failure of physical models
Usually points to more interesting physics

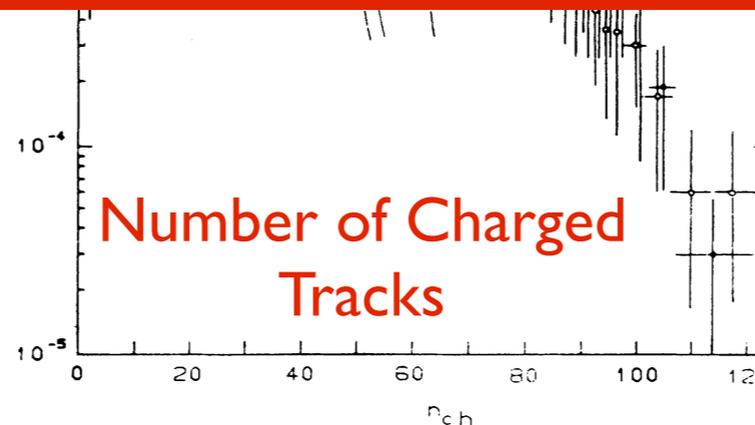


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Hadron Collisions

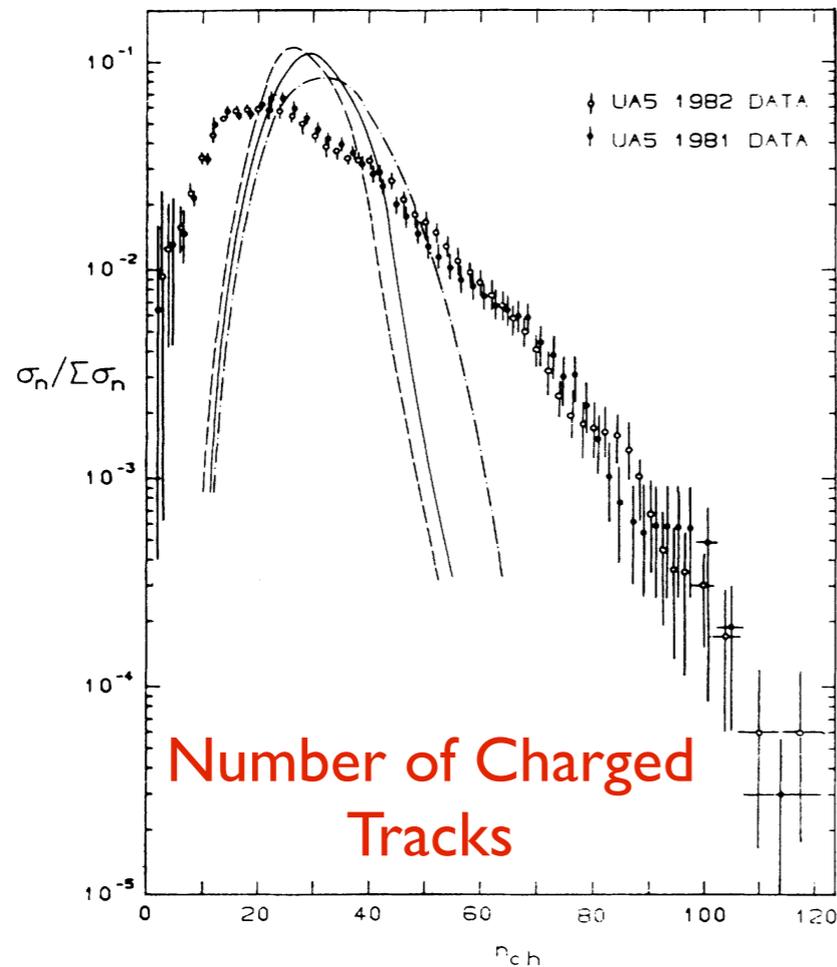


FIG. 3. Charged-multiplicity distribution at 540 GeV, UA5 results (Ref. 32) vs simple models: dashed low p_T only, full including hard scatterings, dash-dotted also including initial- and final-state radiation.

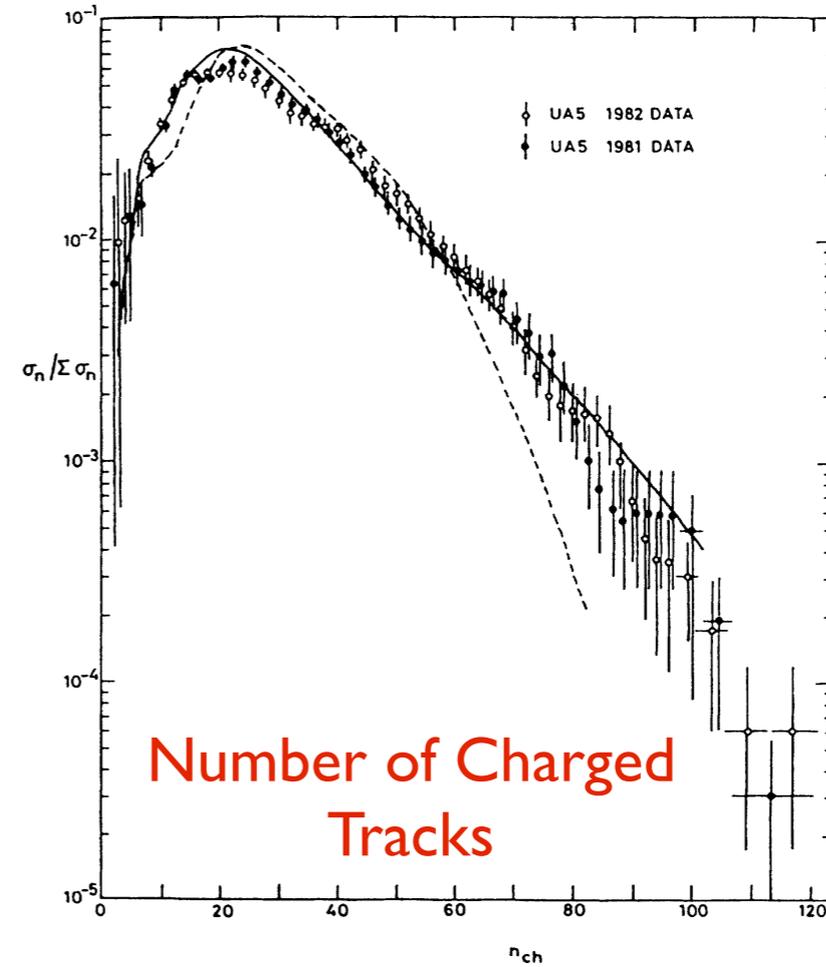


FIG. 12. Charged-multiplicity distribution at 540 GeV, UA5 results (Ref. 32) vs multiple-interaction model with variable impact parameter: solid line, double-Gaussian matter distribution; dashed line, with fix impact parameter [i.e., $\bar{O}_0(b)$].

Soft-inclusive QCD

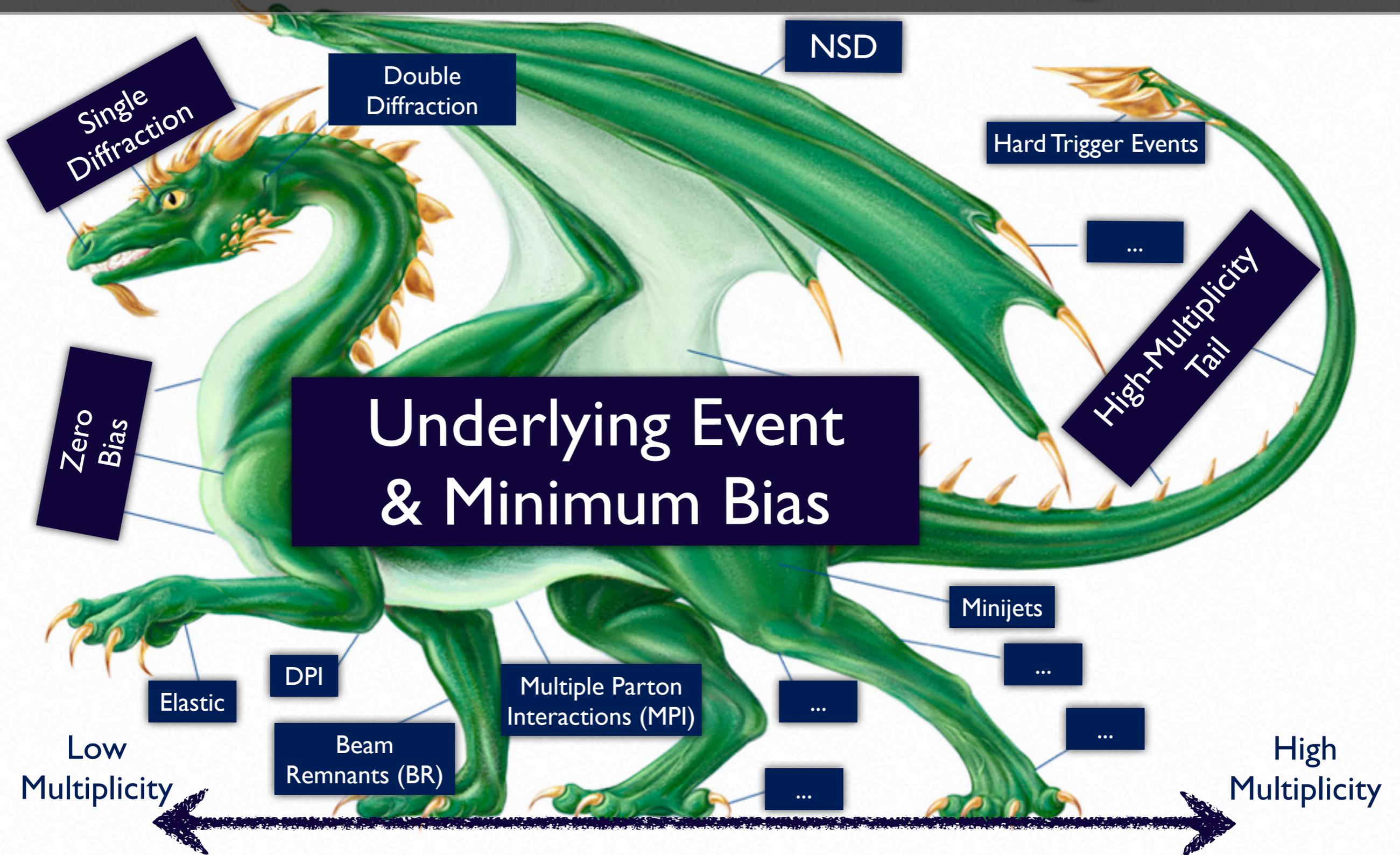
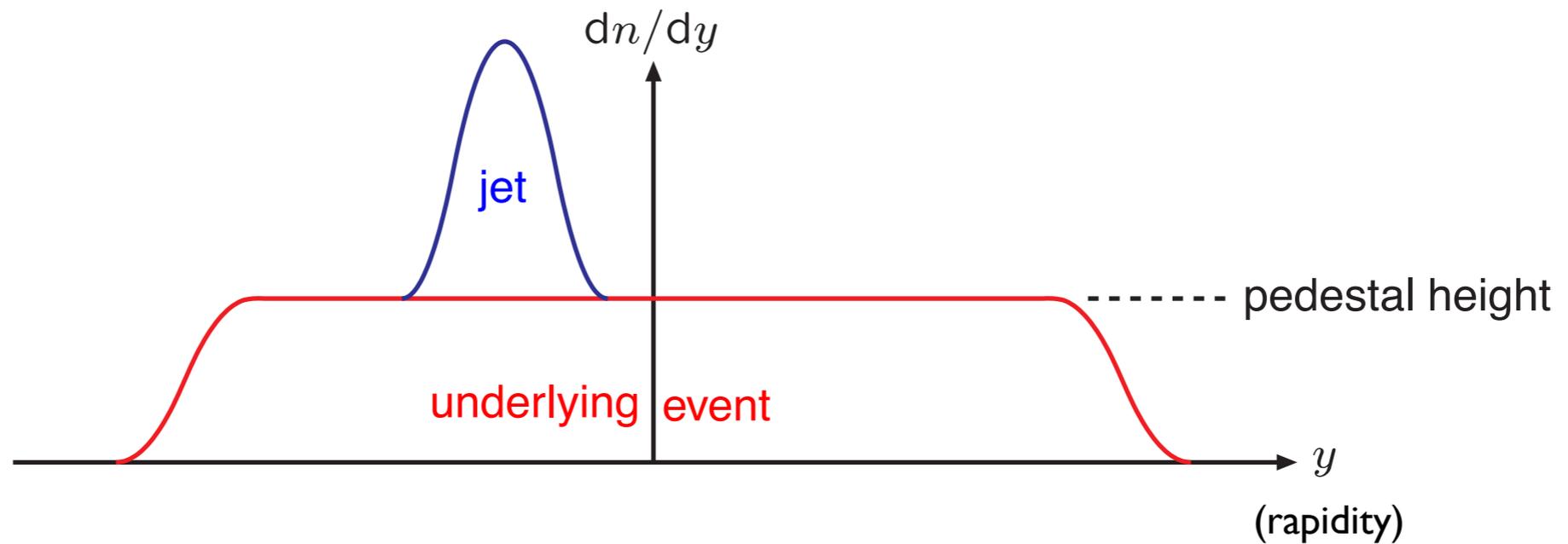


Image credits: E. Arenhaus & J. Walker

What is Underlying Event ?

“Pedestal Effect”



Useful variable in hadron collisions: **Rapidity**

Designed to be additive under Lorentz
Boosts along beam (z) direction

$$y = \frac{1}{2} \ln \left(\frac{E + p_z}{E - p_z} \right)$$

$$y \rightarrow -\infty \text{ for } p_z \rightarrow -E$$

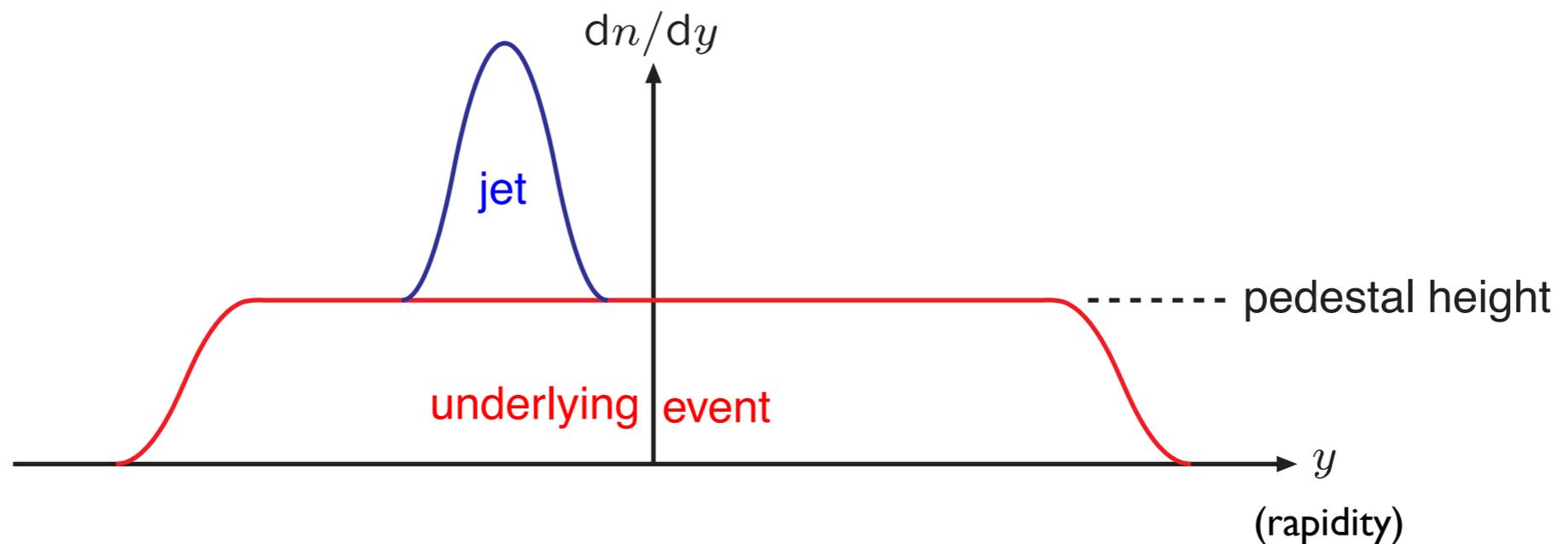
$$y \rightarrow 0 \text{ for } p_z \rightarrow 0$$

$$y \rightarrow \infty \text{ for } p_z \rightarrow E$$

Illustrations by T. Sjöstrand

What is Underlying Event ?

“Pedestal Effect”



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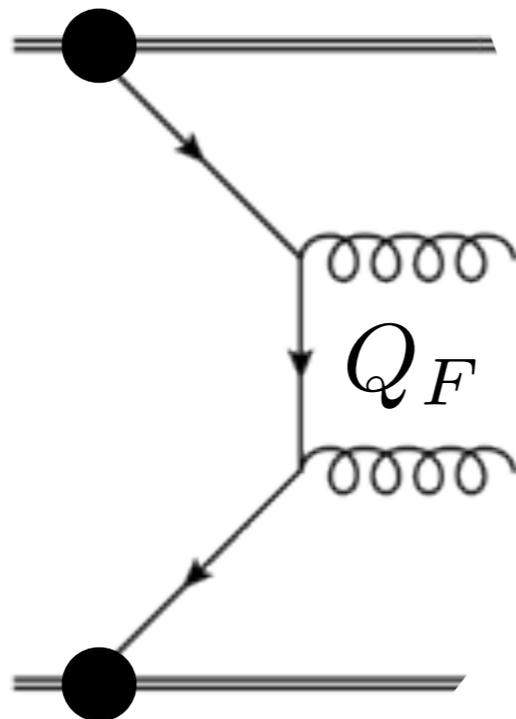
Homework:
Check how y
transforms under
Lorentz boost along z

$$y \rightarrow -\infty \text{ for } p_z \rightarrow -E \quad y \rightarrow 0 \text{ for } p_z \rightarrow 0 \quad y \rightarrow \infty \text{ for } p_z \rightarrow E$$

Illustrations by T. Sjöstrand

Twisted Stuff

Factorization: Subdivide Calculation



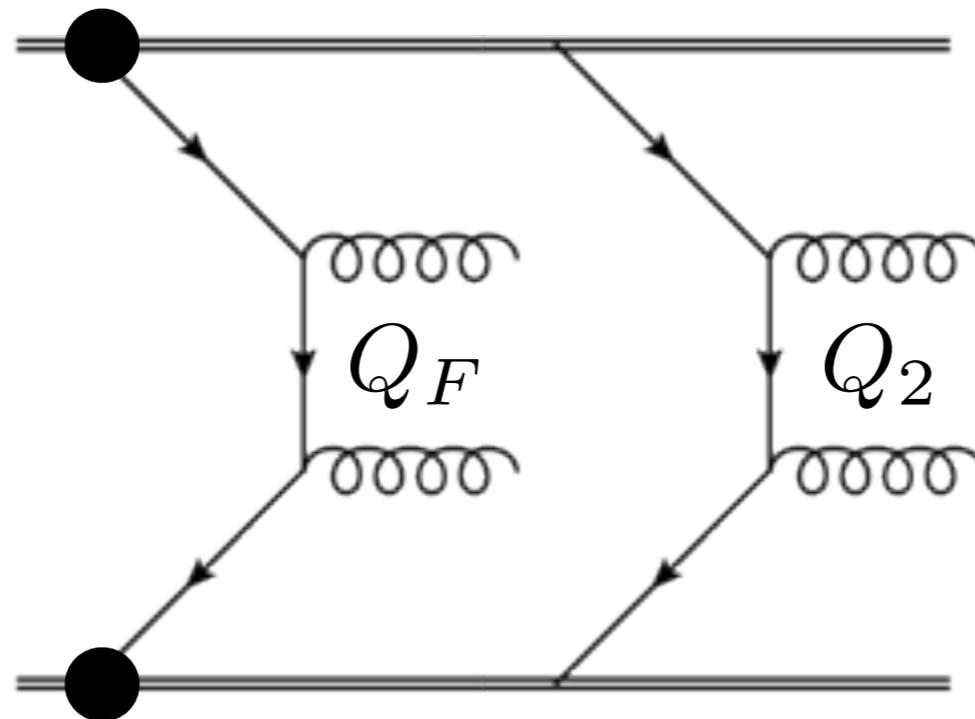
Multiple Parton Interactions go beyond existing theorems

→ perturbative short-distance physics in Underlying Event

→ Need to generalize factorization to MPI

Twisted Stuff

Factorization: Subdivide Calculation



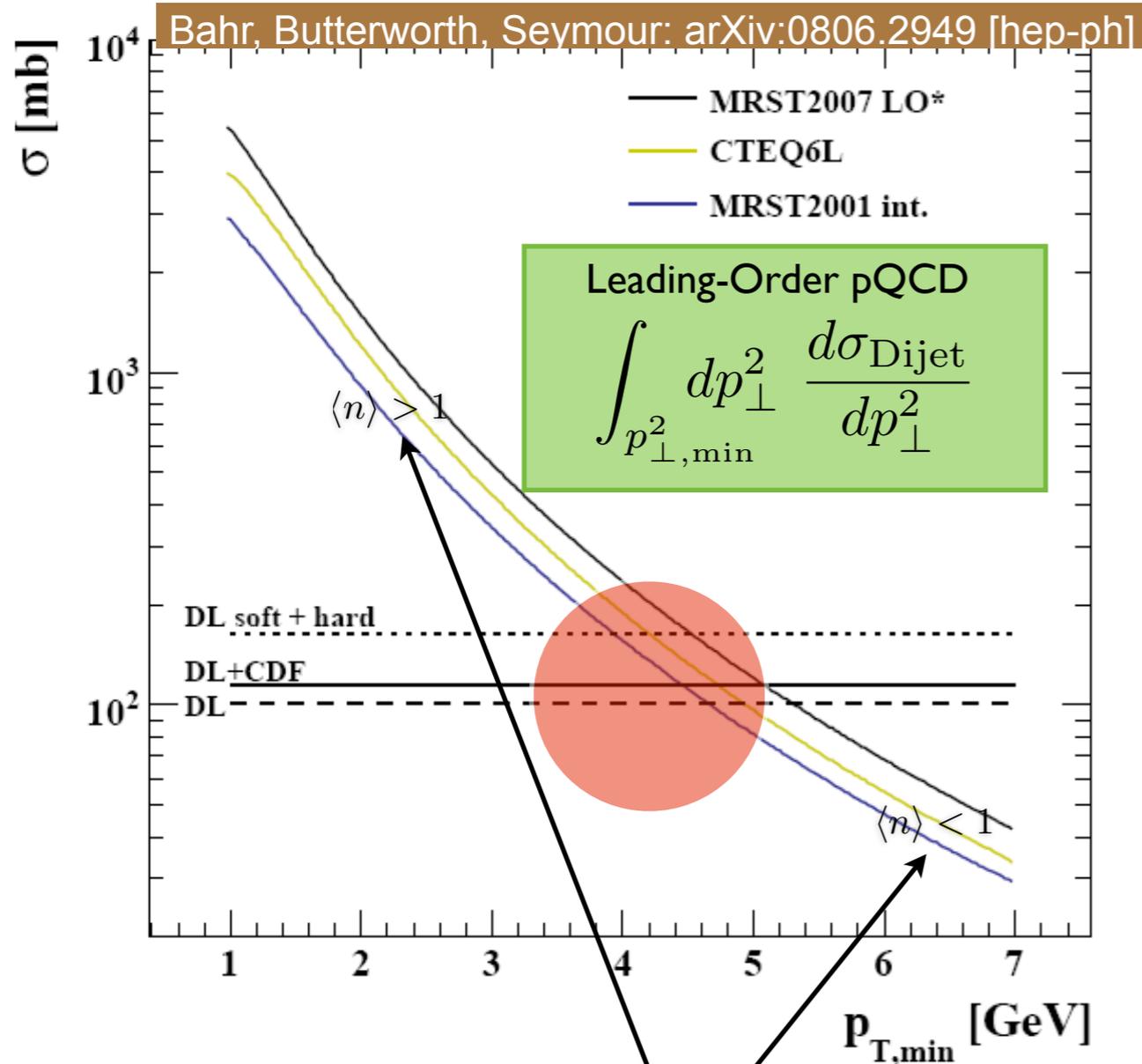
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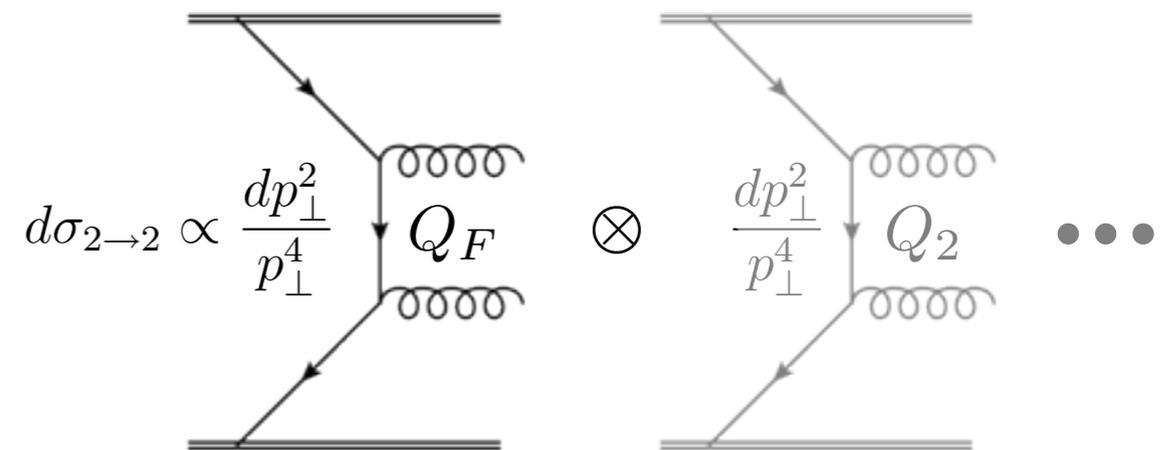
→ Need to generalize factorization to MPI

Multiple Interactions

= Allow several parton-parton interactions per hadron-hadron collision. Requires extended factorization ansatz.



Earliest MC model ("old" PYTHIA 6 model)
Sjöstrand, van Zijl PRD36 (1987) 2019



Lesson from bremsstrahlung in pQCD:
divergences \rightarrow fixed-order breaks down
Perturbation theory still ok, with
resummation (unitarity)

\rightarrow Resum dijets?
Yes \rightarrow MPI!

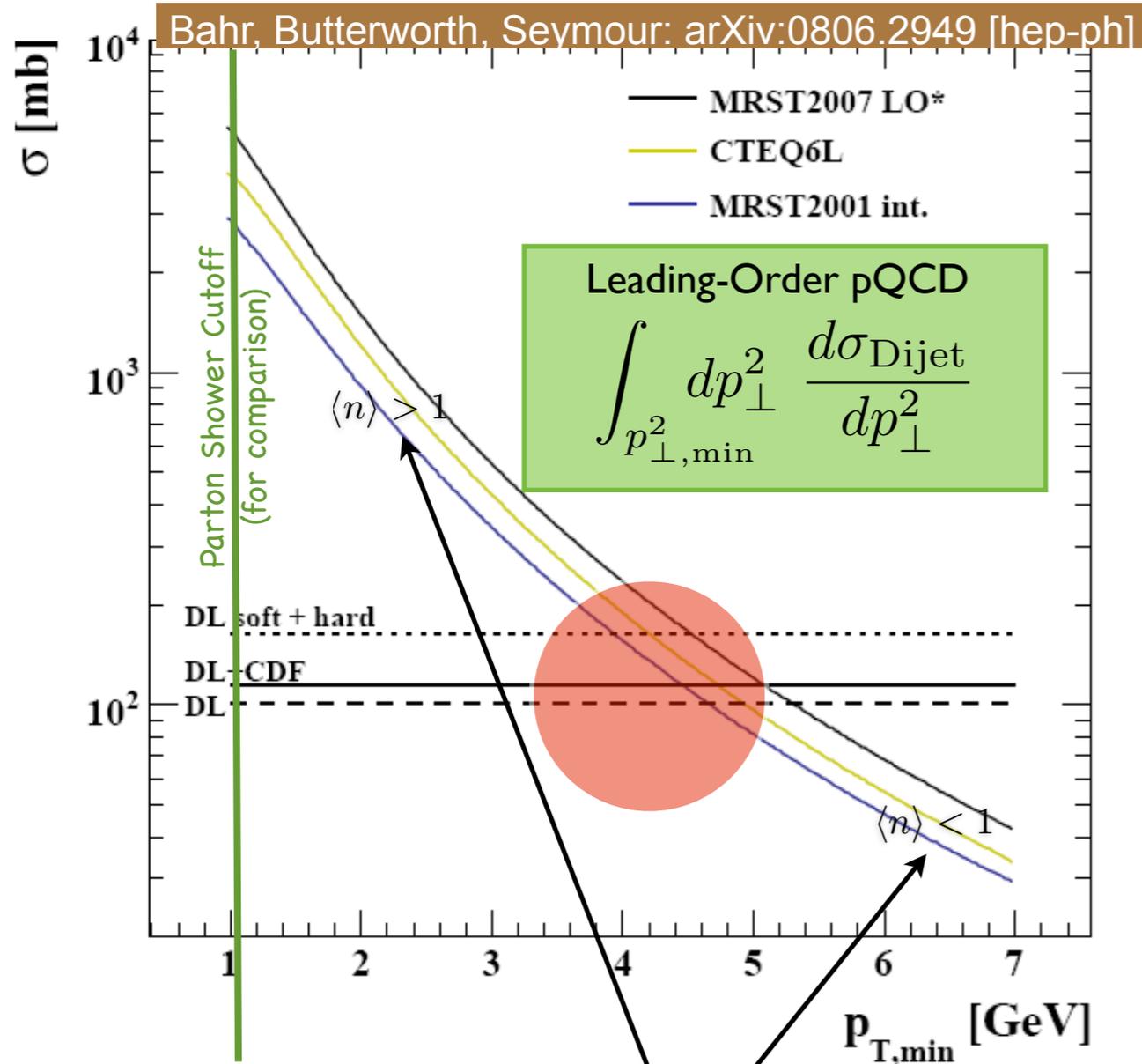
$$\sigma_{2 \rightarrow 2}(p_{\perp,\min}) = \langle n \rangle(p_{\perp,\min}) \sigma_{\text{tot}}$$

Parton-Parton Cross Section

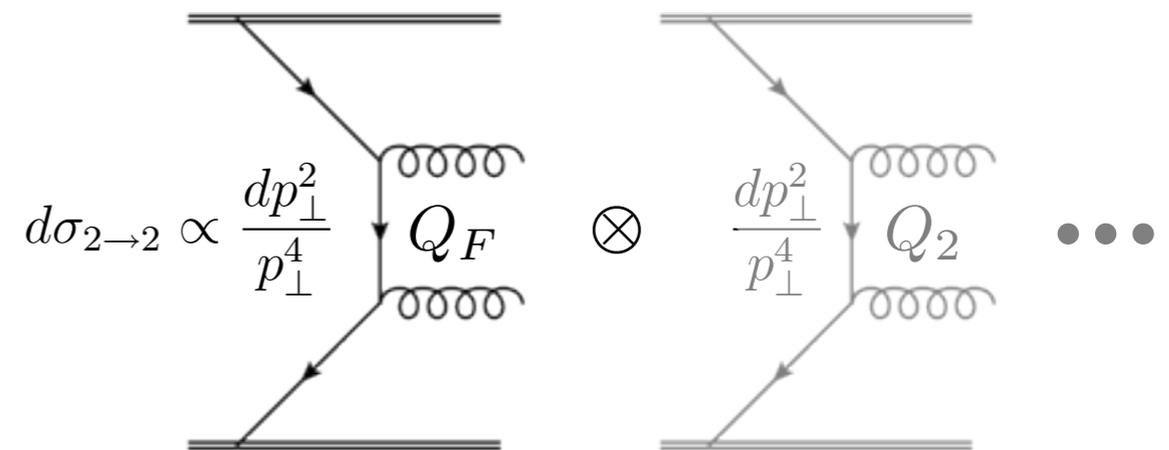
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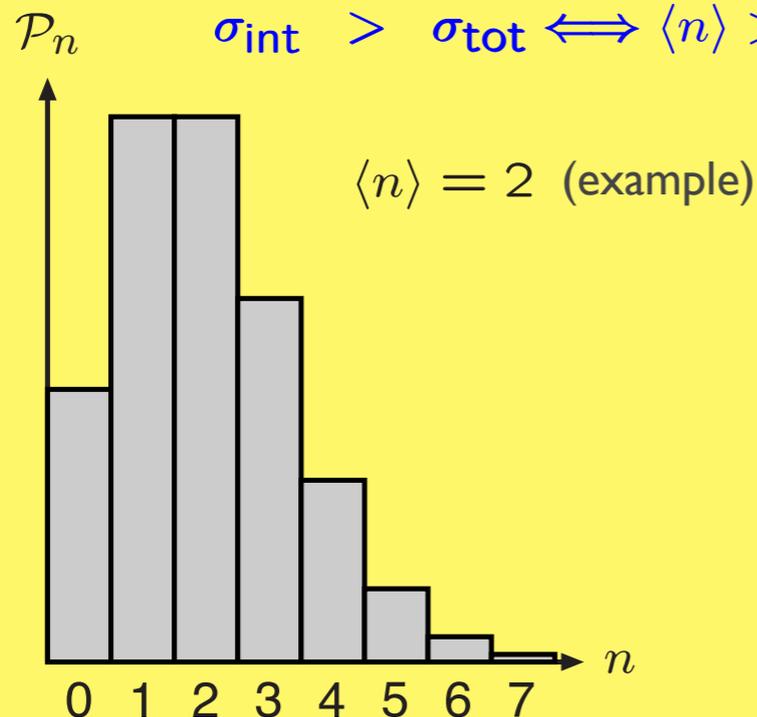
Hadron-Hadron Cross Section

How many?

Naively $\langle n_{2 \rightarrow 2}(p_{\perp \min}) \rangle = \frac{\sigma_{2 \rightarrow 2}(p_{\perp \min})}{\sigma_{\text{tot}}}$

Interactions independent (naive factorization) \rightarrow Poisson

$$\begin{aligned}\sigma_{\text{tot}} &= \sum_{n=0}^{\infty} \sigma_n \\ \sigma_{\text{int}} &= \sum_{n=0}^{\infty} n \sigma_n \\ \sigma_{\text{int}} &> \sigma_{\text{tot}} \iff \langle n \rangle > 1\end{aligned}$$



$$\mathcal{P}_n = \frac{\langle n \rangle^n}{n!} e^{-\langle n \rangle}$$

Real Life

Momentum conservation
suppresses high-n tail
+ physical correlations \rightarrow
not simple product

1: A Simple Model

The minimal model incorporating single-parton factorization, perturbative unitarity, and energy-and-momentum conservation

$$\sigma_{2 \rightarrow 2}(p_{\perp \min}) = \langle n \rangle(p_{\perp \min}) \sigma_{\text{tot}}$$

Parton-Parton Cross Section Hadron-Hadron Cross Section

1. Choose $p_{T\min}$ cutoff

= main tuning parameter

2. Interpret $\langle n \rangle(p_{T\min})$ as mean of Poisson distribution

Equivalent to assuming all parton-parton interactions equivalent and independent ~ each take an instantaneous “snapshot” of the proton

3. Generate n parton-parton interactions (pQCD $2 \rightarrow 2$)

Veto if total beam momentum exceeded \rightarrow overall (E,p) cons

4. Add impact-parameter dependence $\rightarrow \langle n \rangle = \langle n \rangle(b)$ Ordinary CTEQ, MSTW, NNPDF, ...

Assume factorization of transverse and longitudinal d.o.f., \rightarrow PDFs : $f(x,b) = f(x)g(b)$

b distribution \propto EM form factor \rightarrow **JIMMY model** Butterworth, Forshaw, Seymour Z.Phys. C72 (1996) 637

Constant of proportionality = second main tuning parameter

5. Add separate class of “soft” (zero- p_T) interactions representing

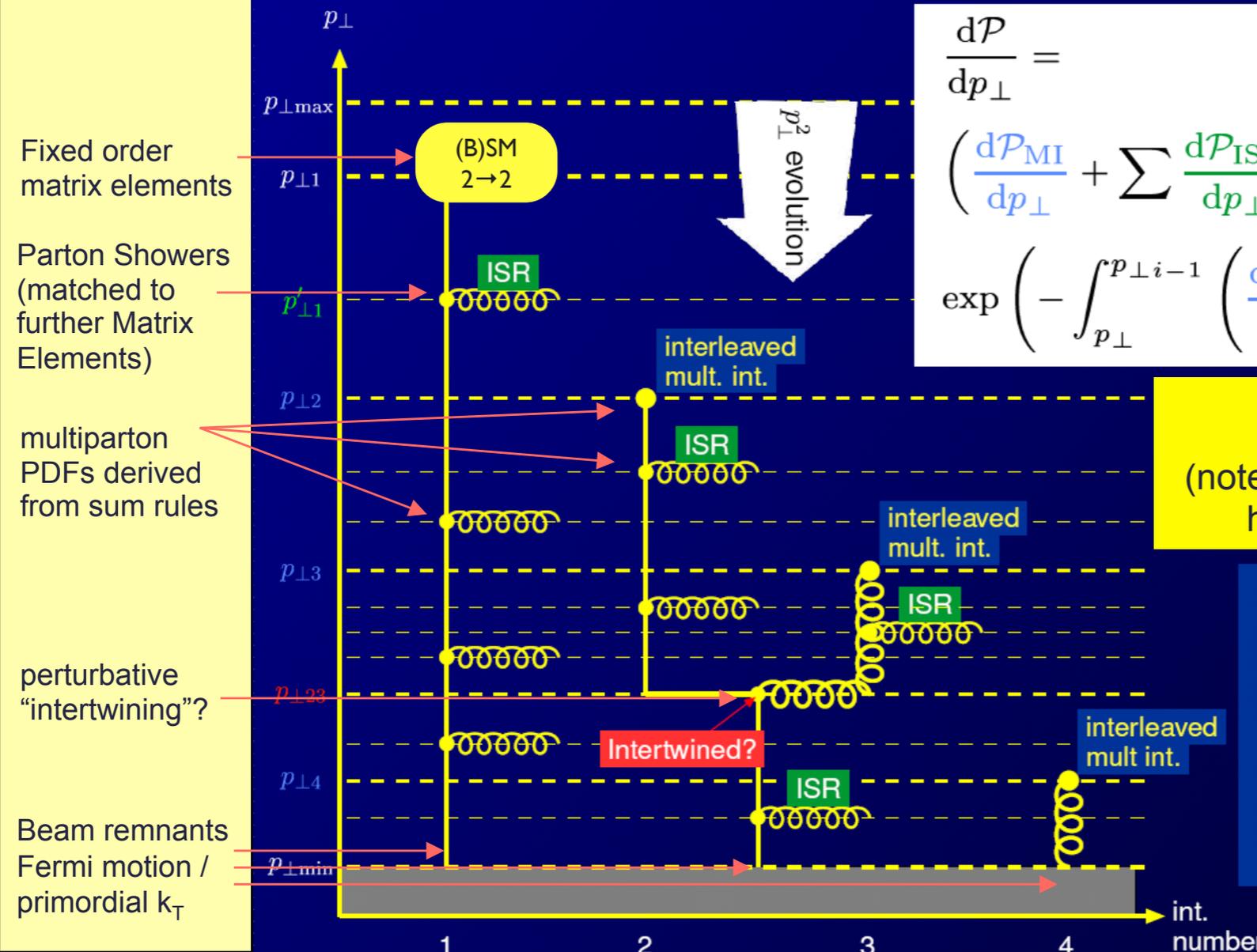
interactions with $p_T < p_{T\min}$ and require $\sigma_{\text{soft}} + \sigma_{\text{hard}} = \sigma_{\text{tot}}$

\rightarrow **Herwig++ model** Bähr et al, arXiv:0905.4671

(2: Interleaved Evolution)

Sjöstrand, P.S., JHEP 0403 (2004) 053; EPJ C39 (2005) 129

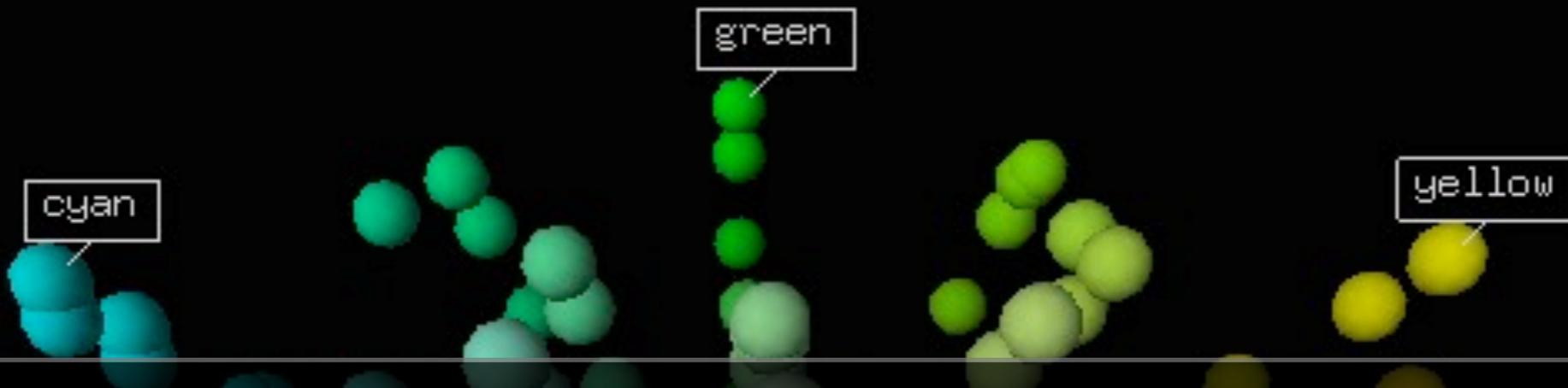
Add exclusivity progressively by evolving *everything* downwards.



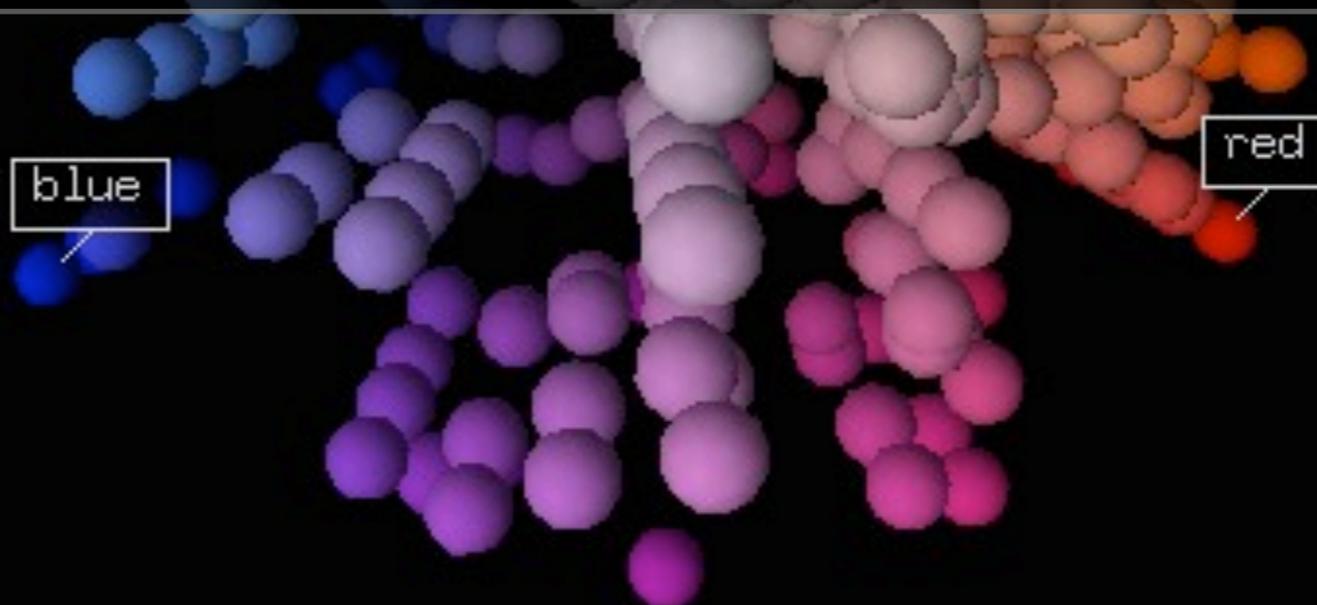
$$\frac{d\mathcal{P}}{dp_{\perp}} = \left(\frac{d\mathcal{P}_{MI}}{dp_{\perp}} + \sum \frac{d\mathcal{P}_{ISR}}{dp_{\perp}} + \sum \frac{d\mathcal{P}_{JI}}{dp_{\perp}} \right) \times \exp \left(- \int_{p_{\perp}}^{p_{\perp}^{i-1}} \left(\frac{d\mathcal{P}_{MI}}{dp'_{\perp}} + \sum \frac{d\mathcal{P}_{ISR}}{dp'_{\perp}} + \sum \frac{d\mathcal{P}_{JI}}{dp'_{\perp}} \right) dp'_{\perp} \right)$$

→ Underlying Event
(note: interactions correlated in colour: hadronization not independent)

~ "Finegraining"
→ correlations between all perturbative activity at successively smaller scales



Color Space in hadron collisions



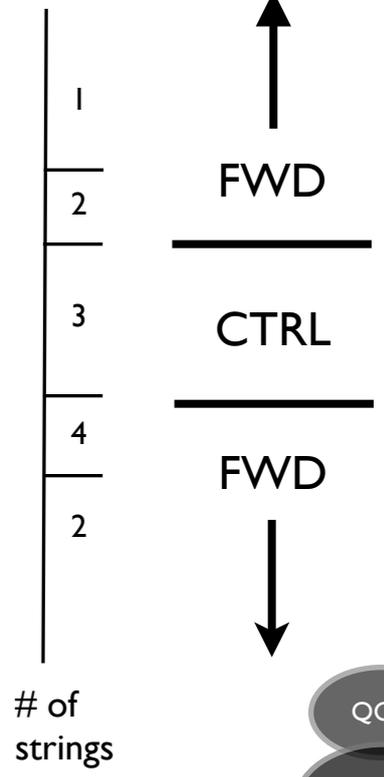
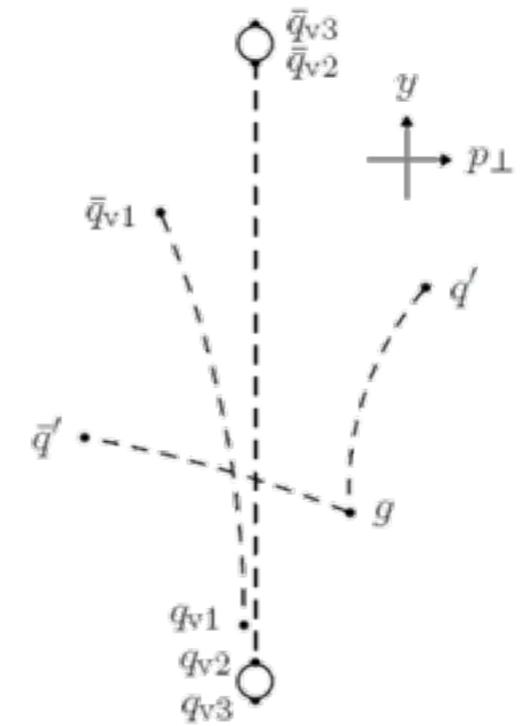
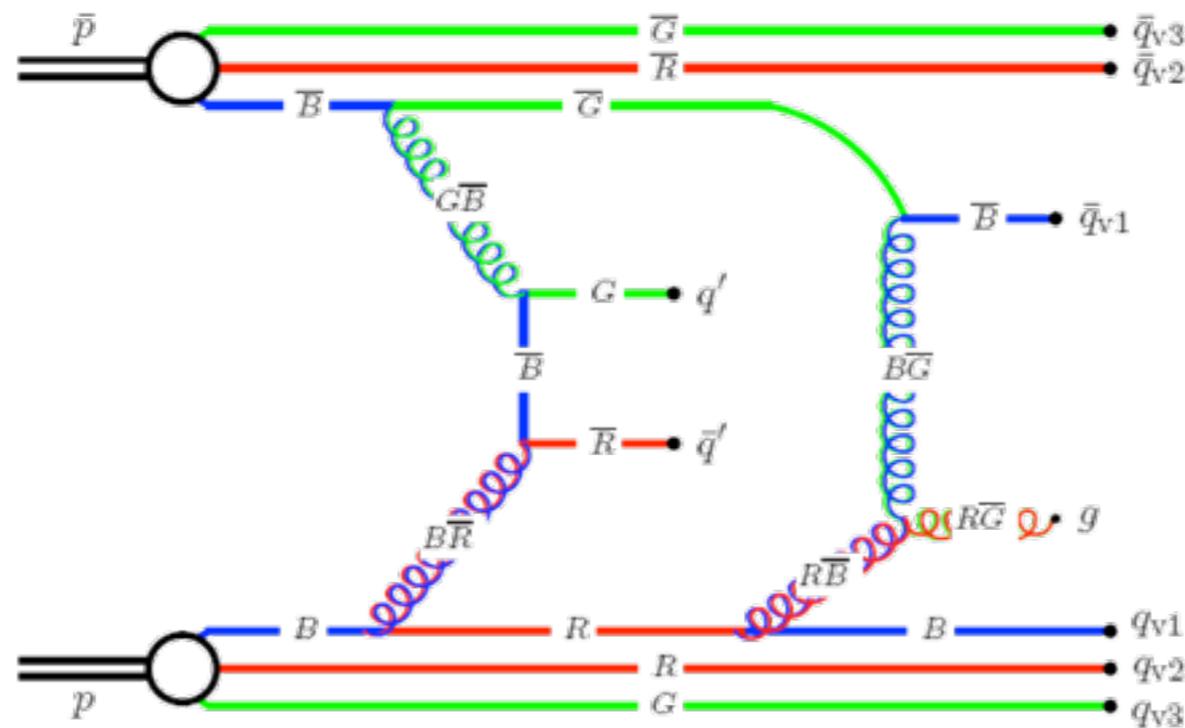
Color Connections

Each MPI (or cut Pomeron) exchanges color between the beams

► The colour flow determines the hadronizing string topology

- Each MPI, even when soft, is a color spark
- Final distributions crucially depend on color space

Different models make different ansätze



Sjöstrand & PS, JHEP 03(2004)053

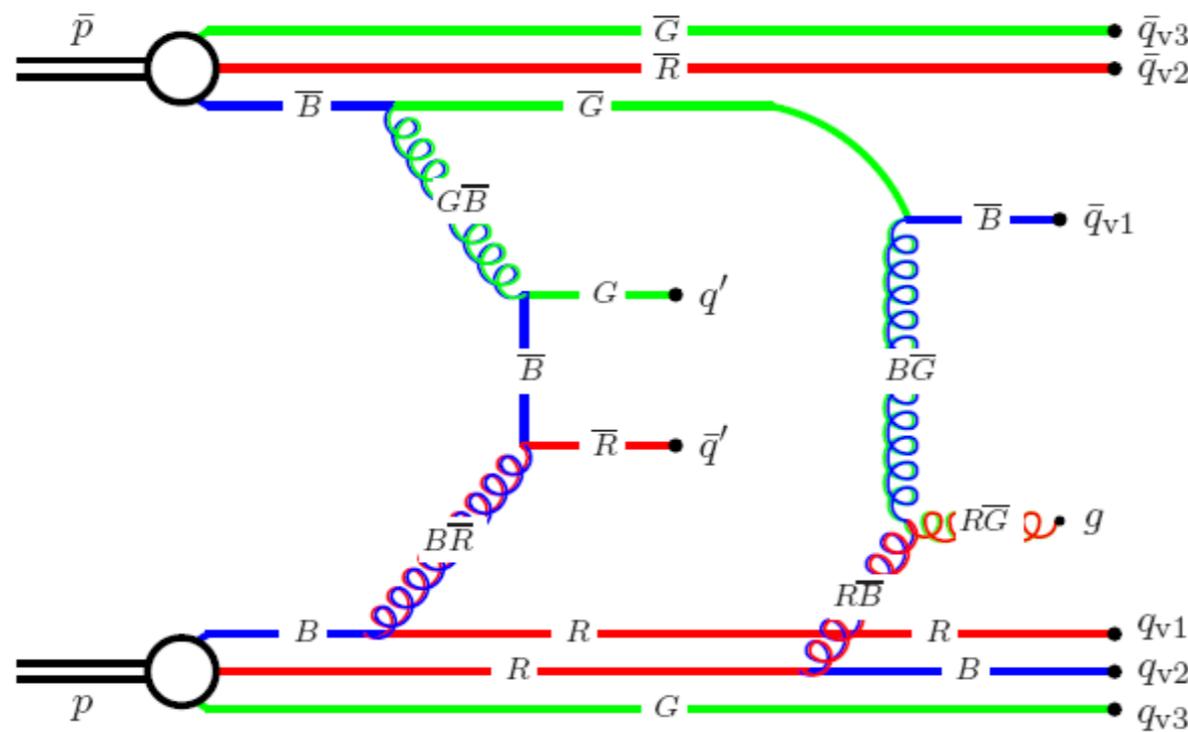
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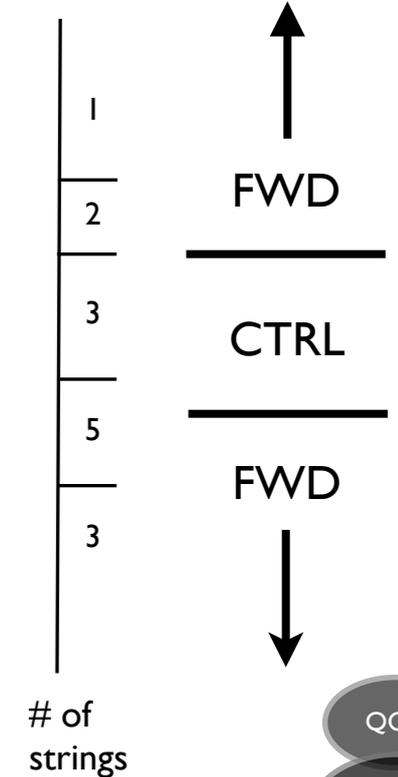
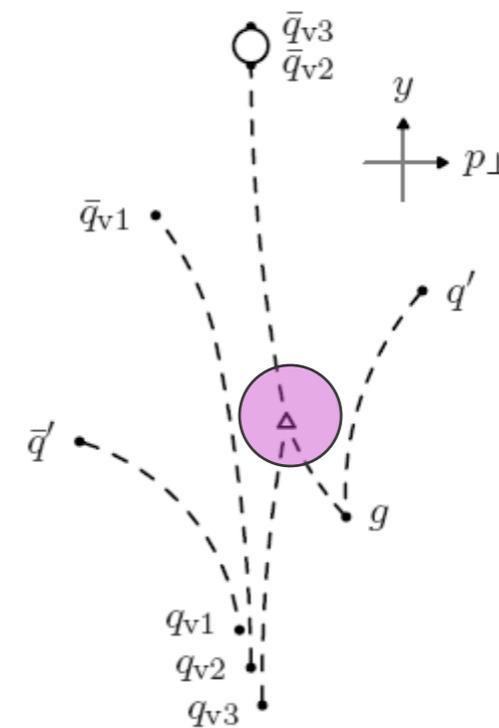
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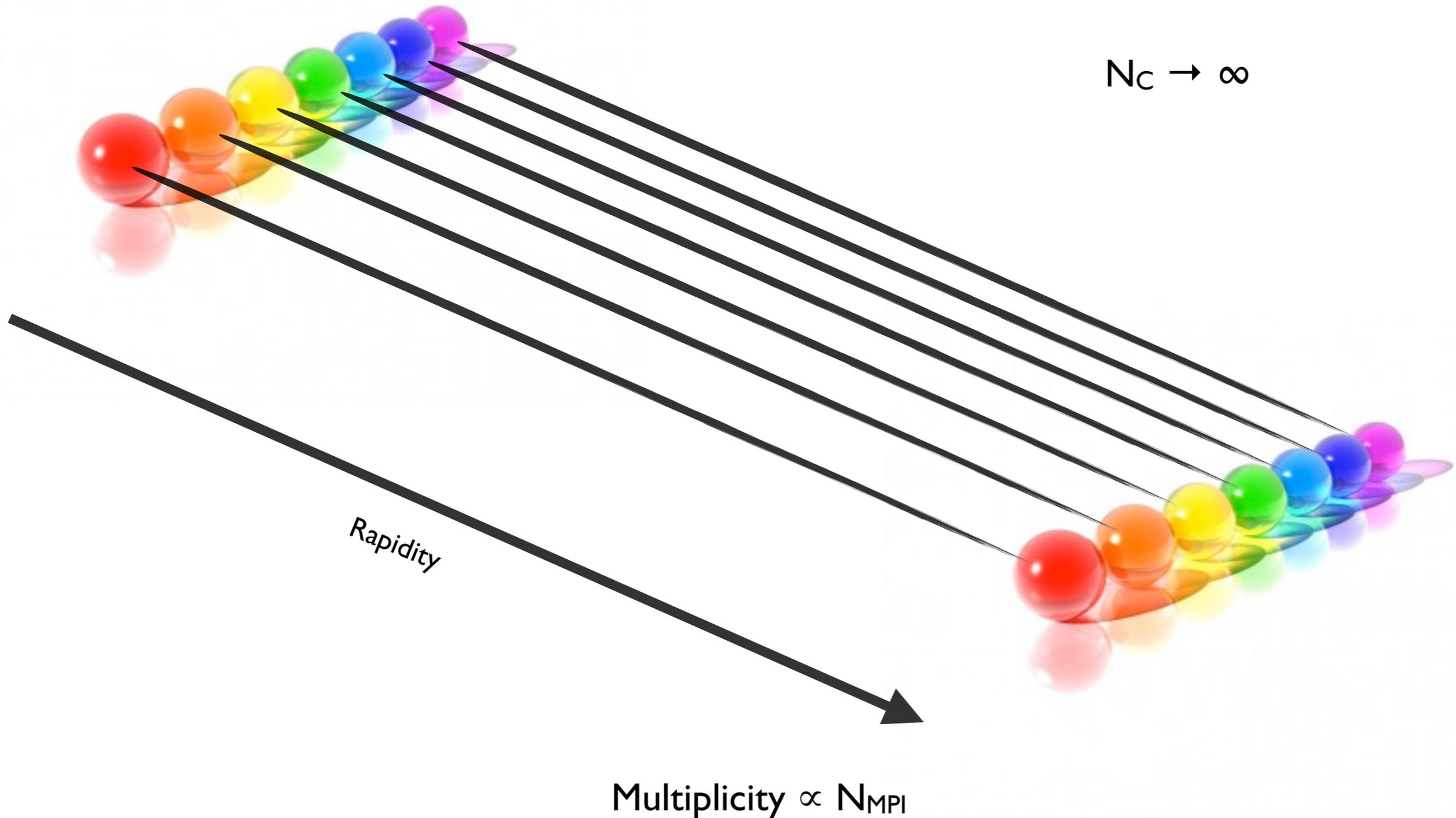
Sjöstrand & PS, JHEP 03(2004)053



Color Connections

Better theory models needed

$$N_c \rightarrow \infty$$

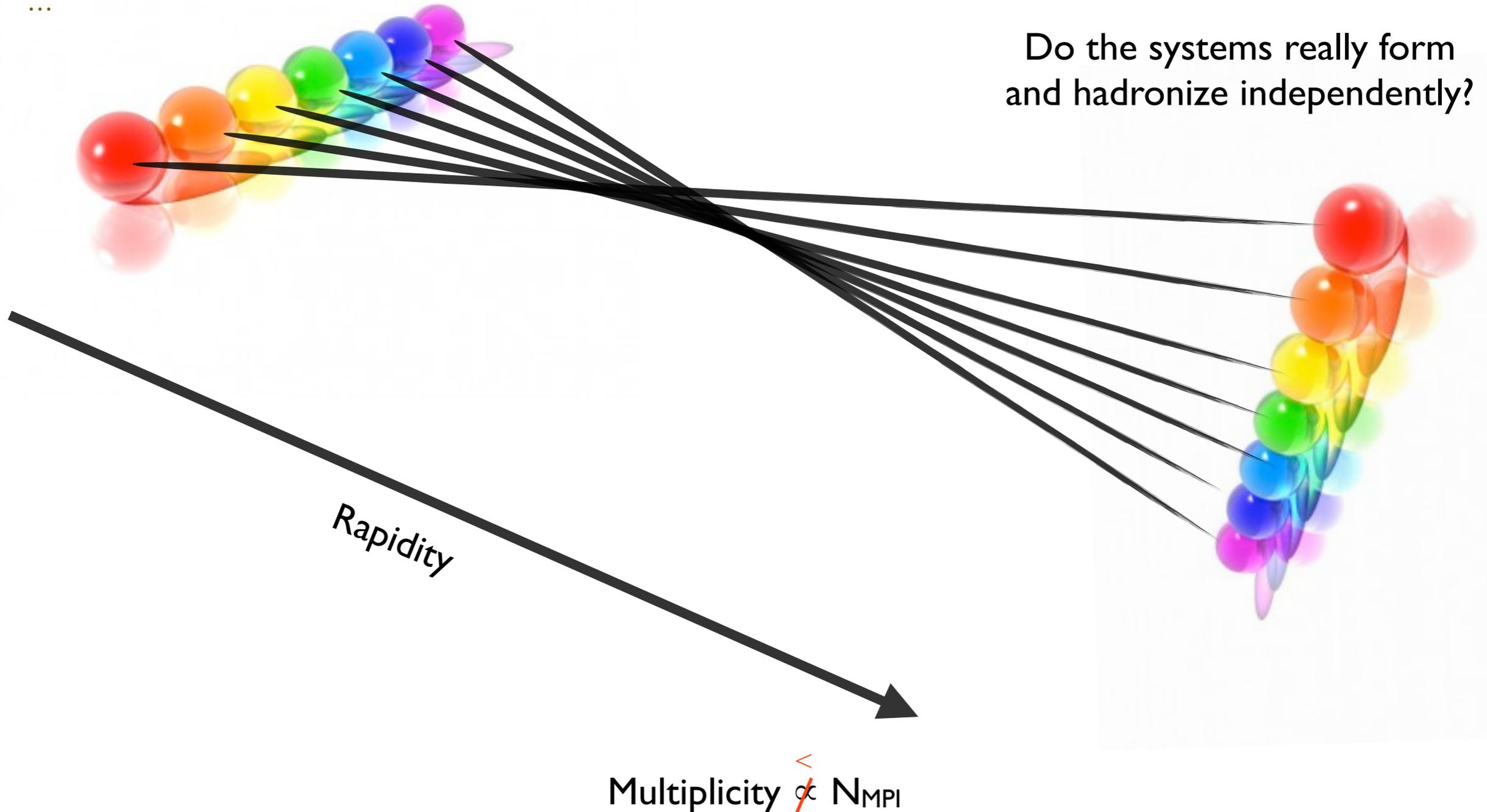


Color Reconnections?

E.g.,
Generalized Area Law (Rathsman: Phys. Lett. B452 (1999) 364)
Color Annealing (P.S., Wicke: Eur. Phys. J. C52 (2007) 133)

Better theory models needed

Do the systems really form and hadronize independently?



Min-Bias & Underlying Event

Main IR Parameters

Number of MPI



Pedestal Rise



Strings per Interaction



Min-Bias & Underlying Event

Main IR Parameters

Number of MPI



Infrared Regularization scale for the QCD 2→2 (Rutherford) scattering used for multiple parton interactions (often called p_{T0}) → size of overall activity

Pedestal Rise



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Proton transverse mass distribution → difference between central (active) vs peripheral (less active) collisions

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Color correlations between multiple-parton-interaction systems → shorter or longer strings → less or more hadrons per interaction

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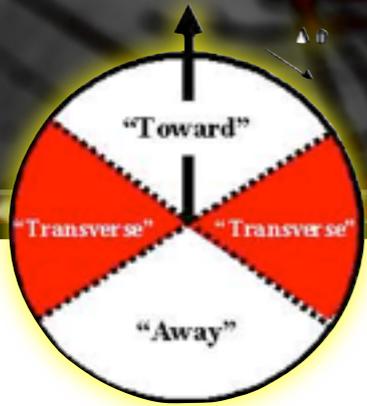
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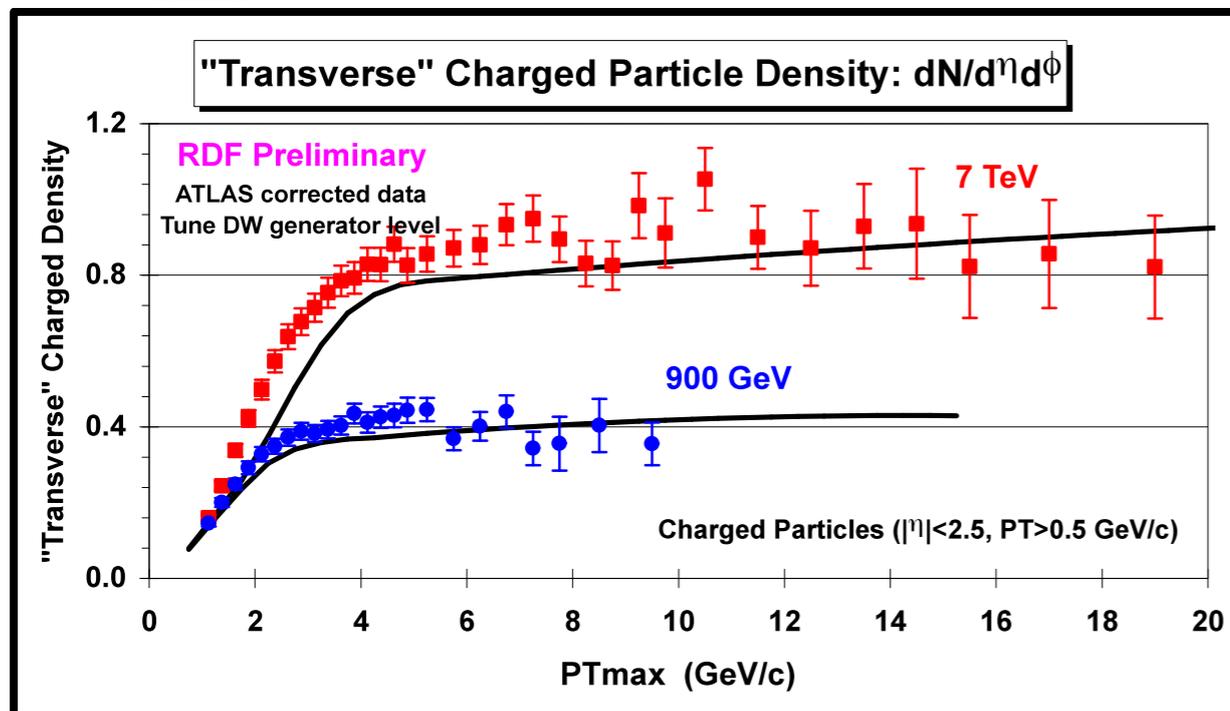


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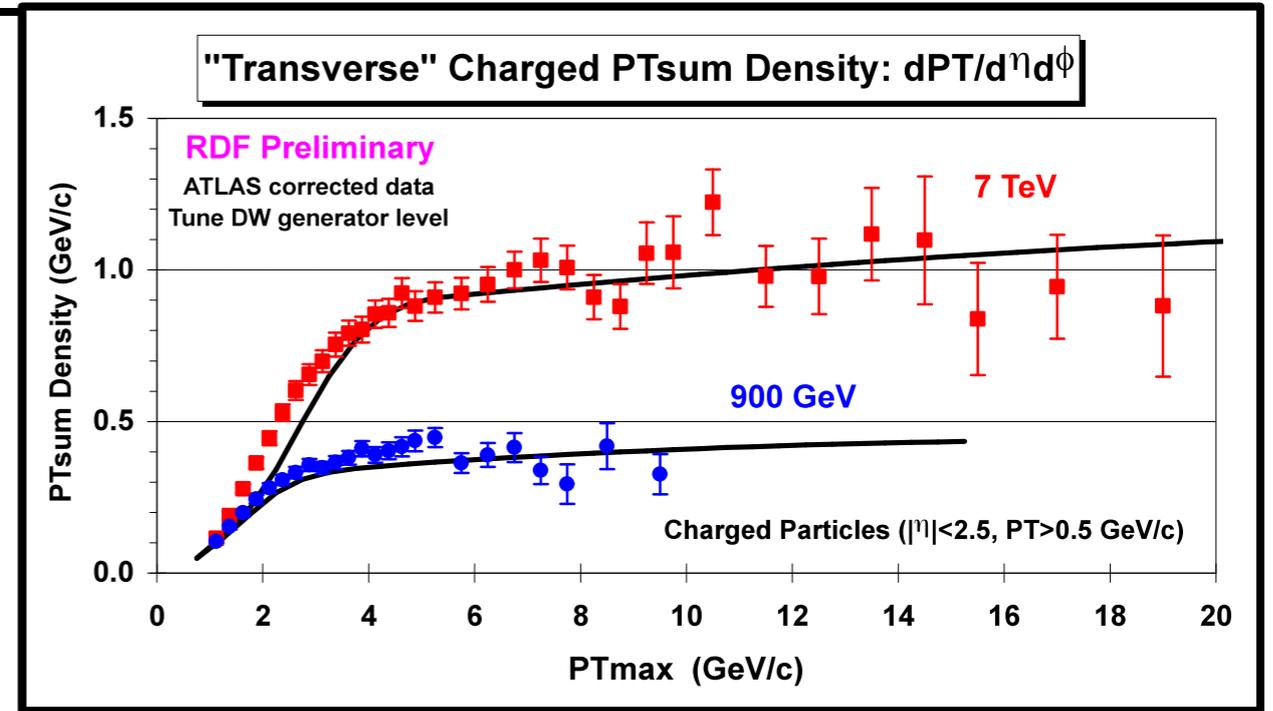
(Underlying Event)



LHC from 900 to 7000 GeV - ATLAS

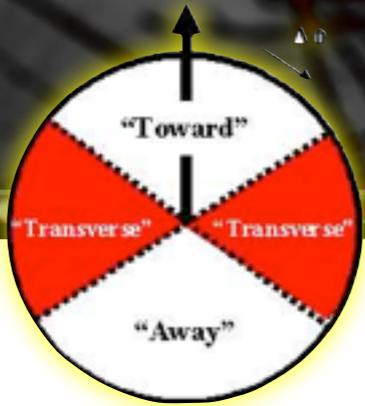


Track Density (TRANS)

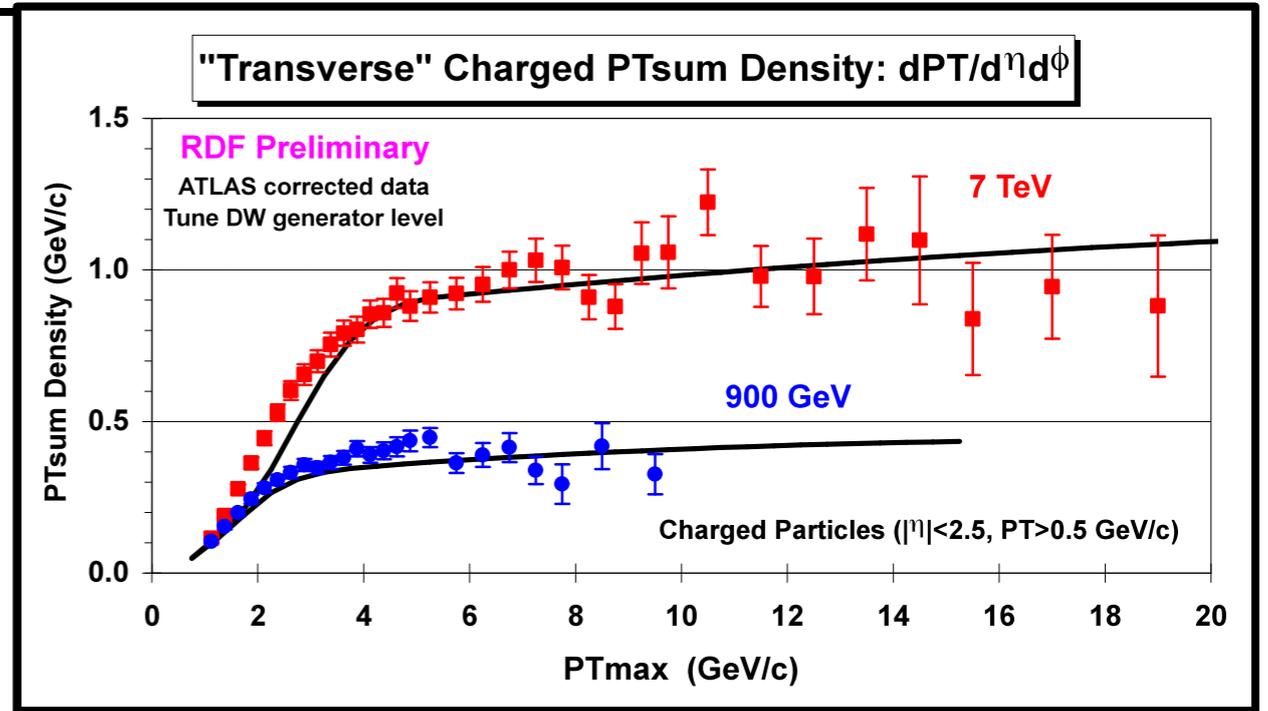
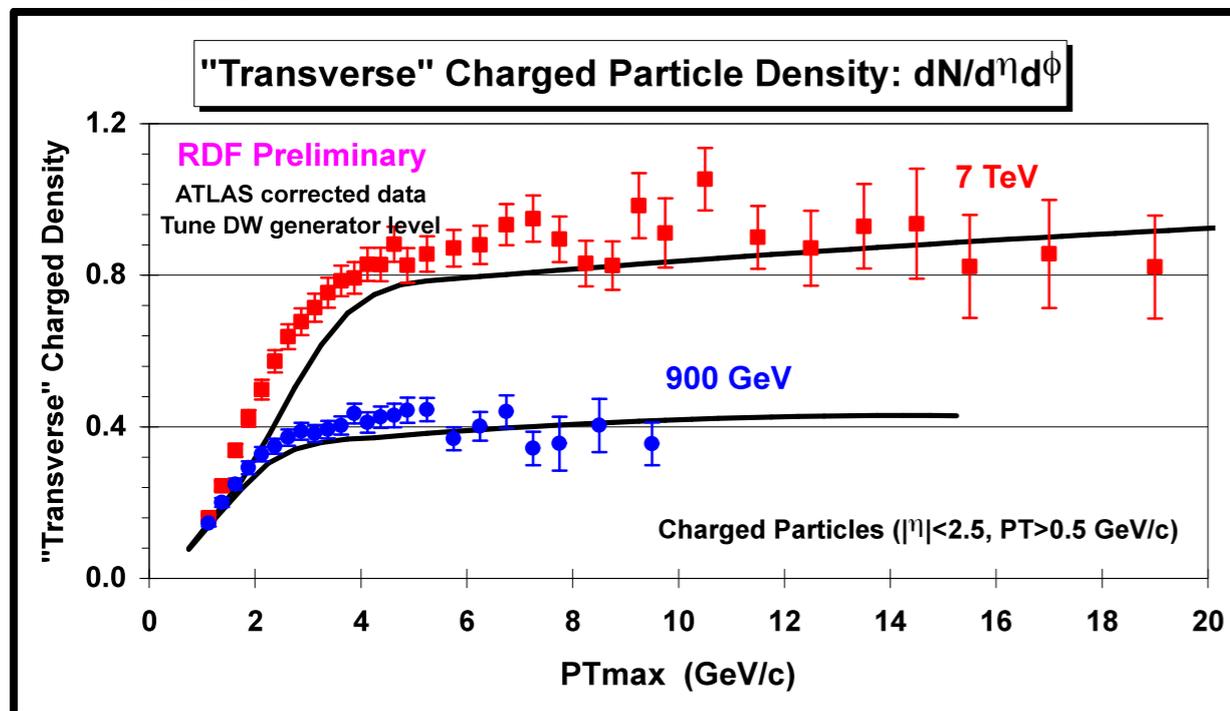


Sum(pT) Density (TRANS)

(Underlying Event)



LHC from 900 to 7000 GeV - ATLAS



Track Density (TRANS)

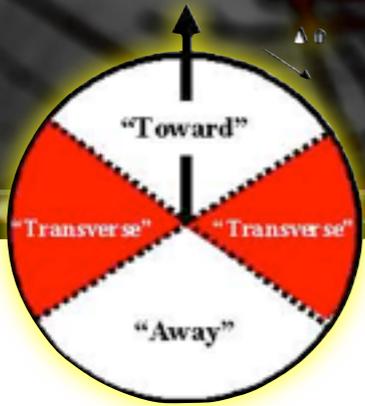
Sum(pT) Density (TRANS)

Not Infrared Safe

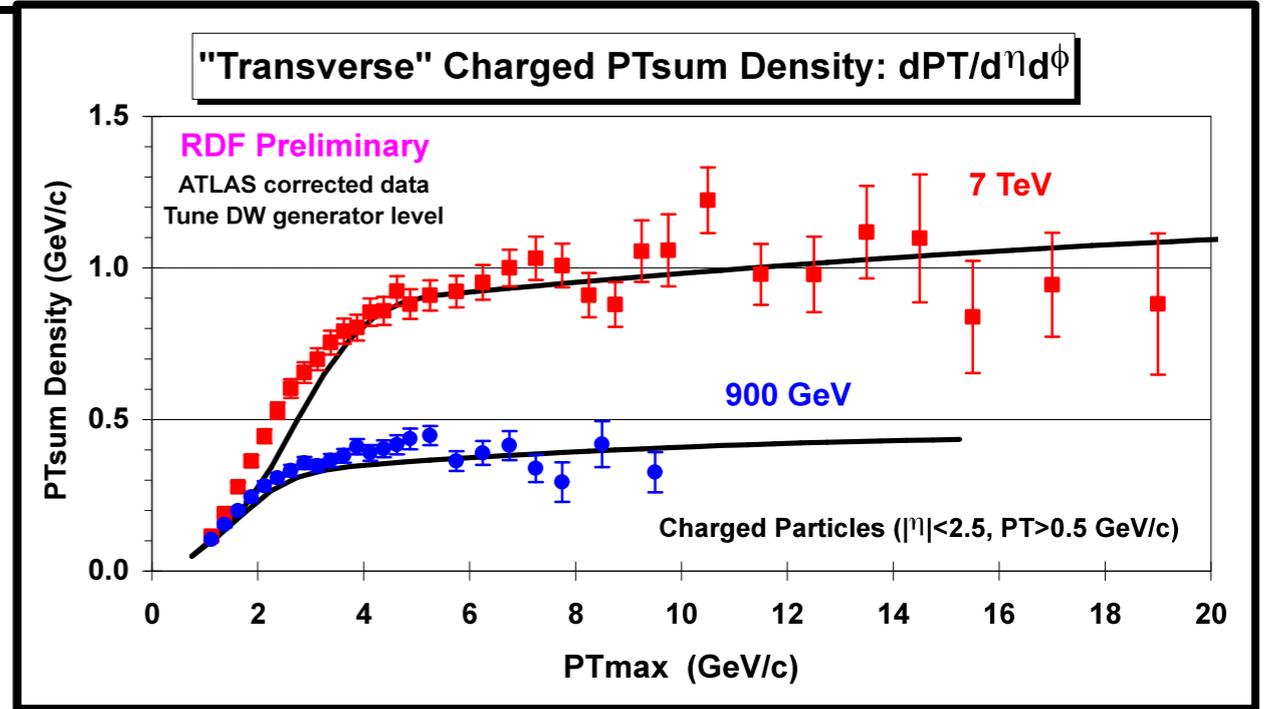
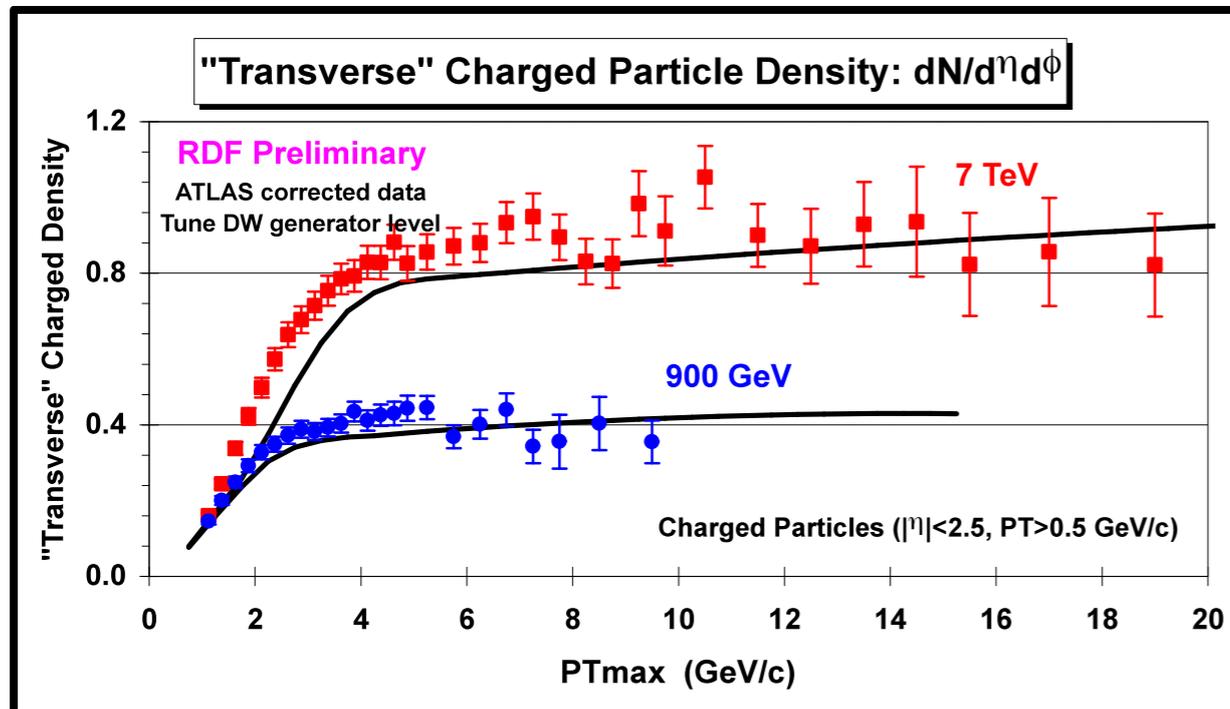
Large Non-factorizable Corrections

Prediction off by $\approx 10\%$

(Underlying Event)



LHC from 900 to 7000 GeV - ATLAS



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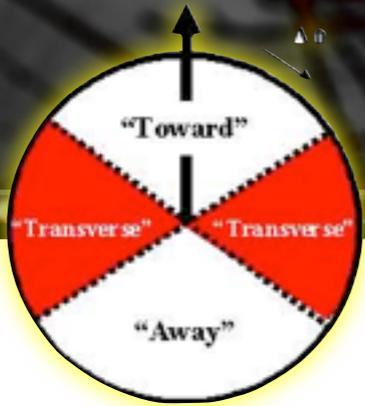
Sum(pT) Density (TRANS)

(more) Infrared Safe

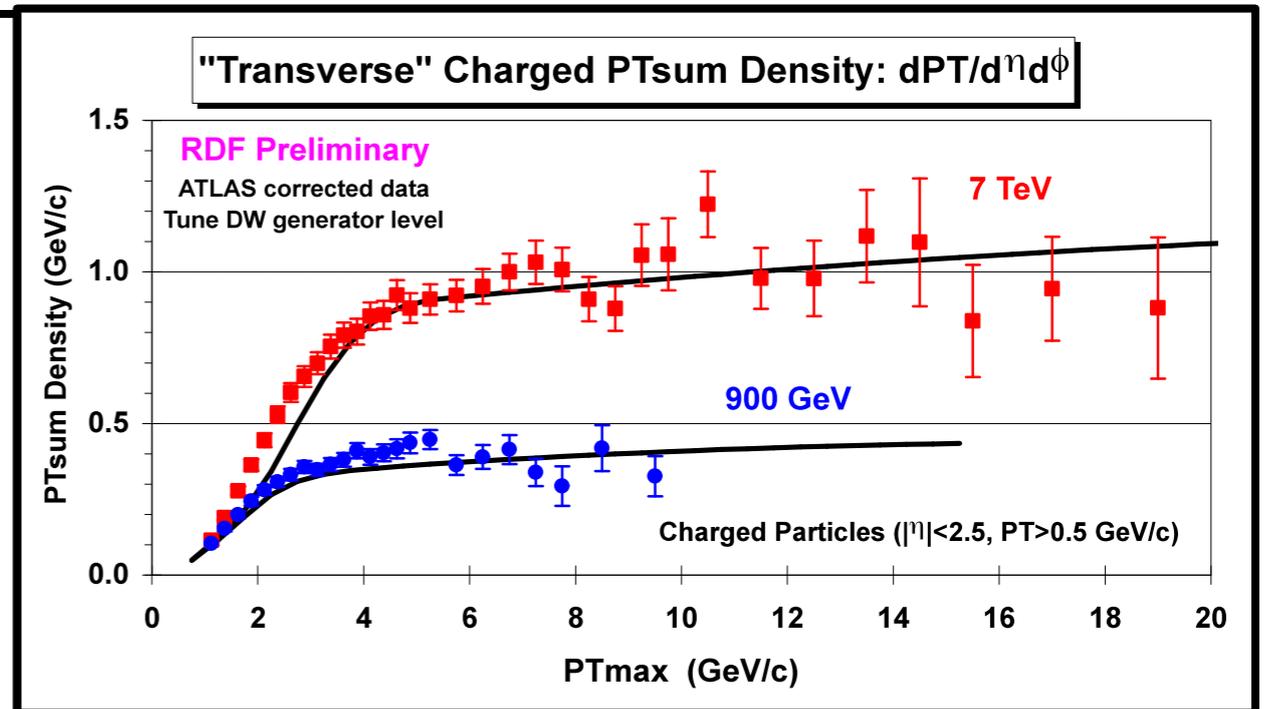
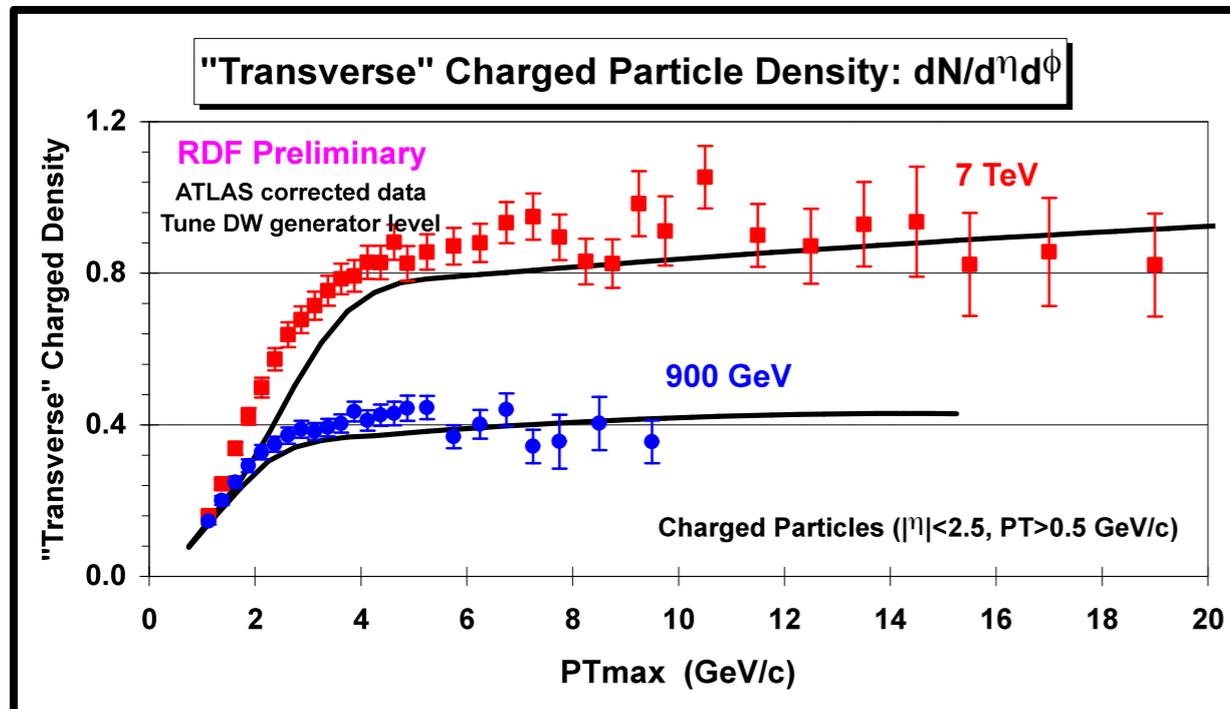
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LHC from 900 to 7000 GeV - ATLAS



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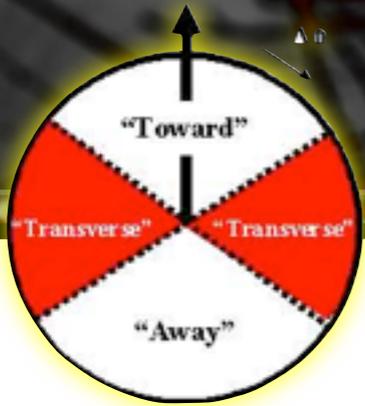
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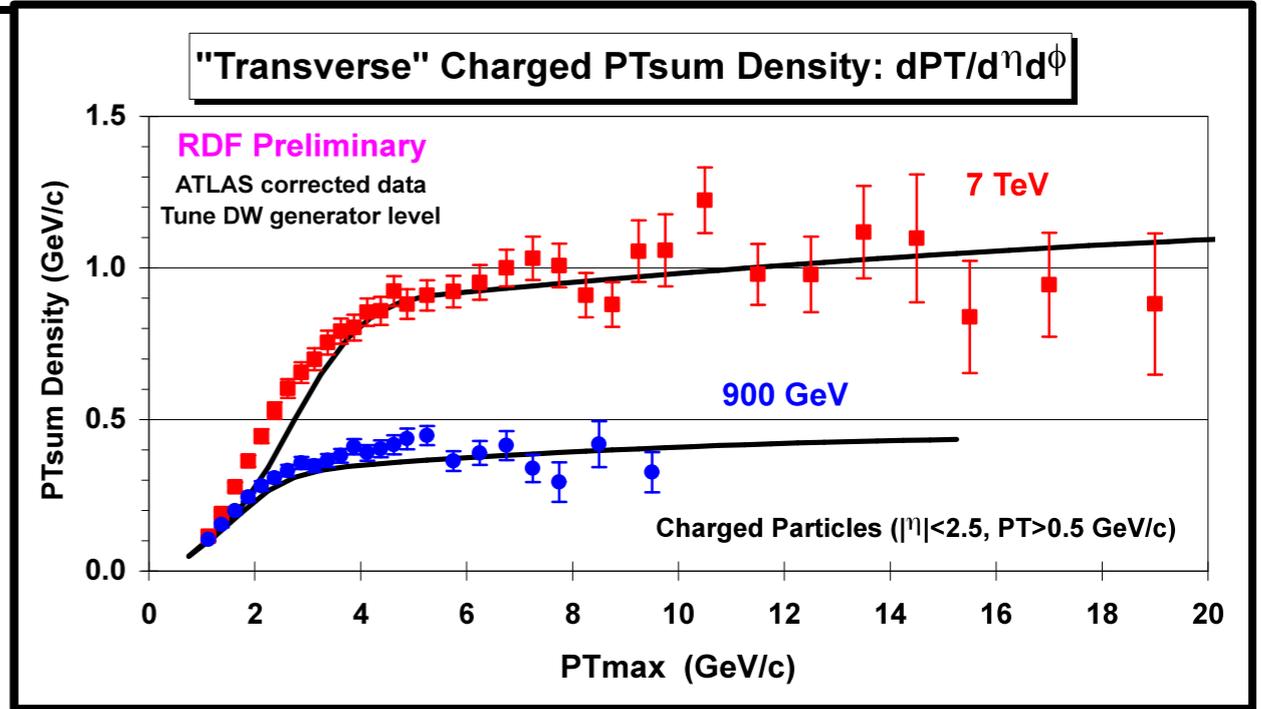
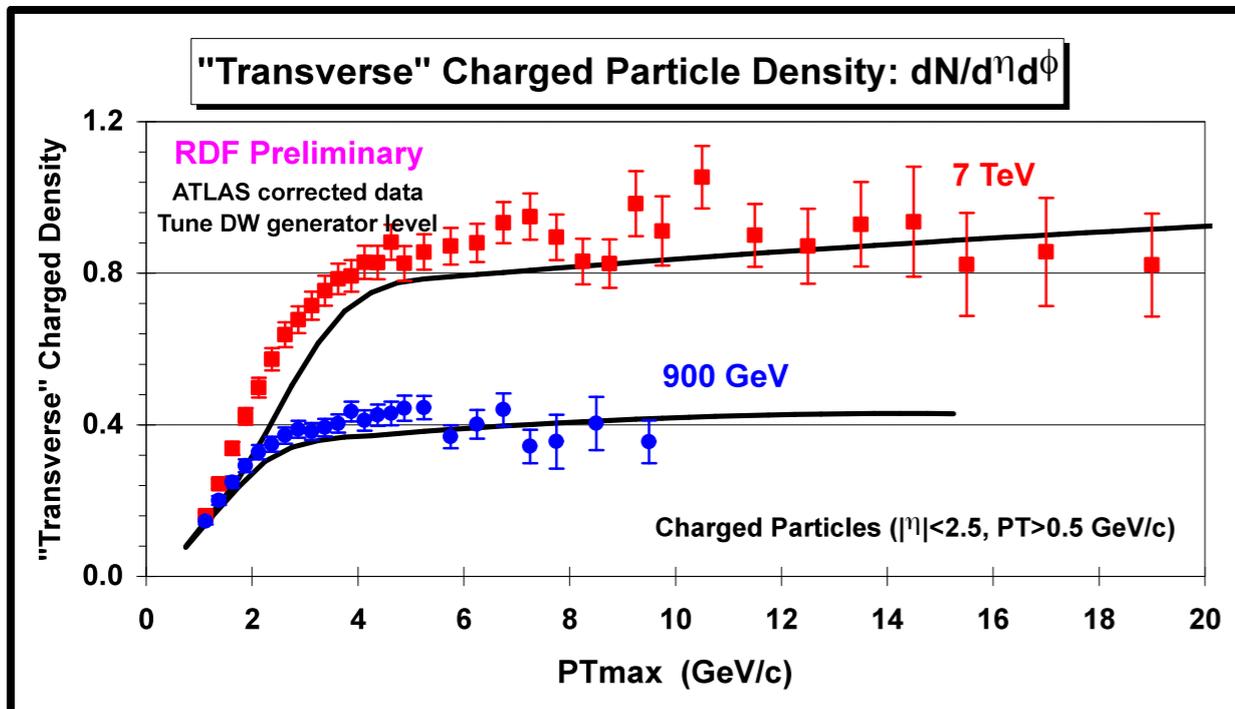
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R. Field: "See, I told you!"

(Underlying Event)



LHC from 900 to 7000 GeV - ATLAS



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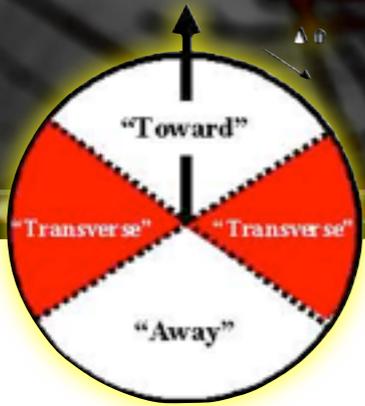
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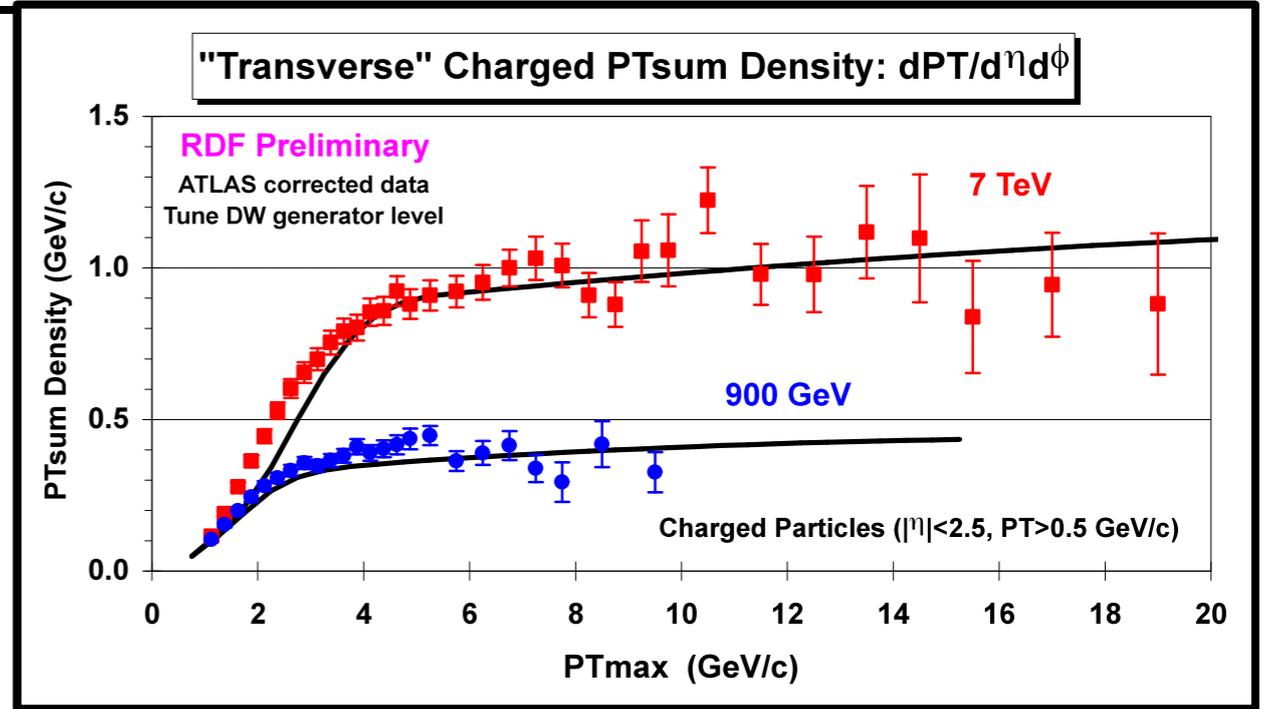
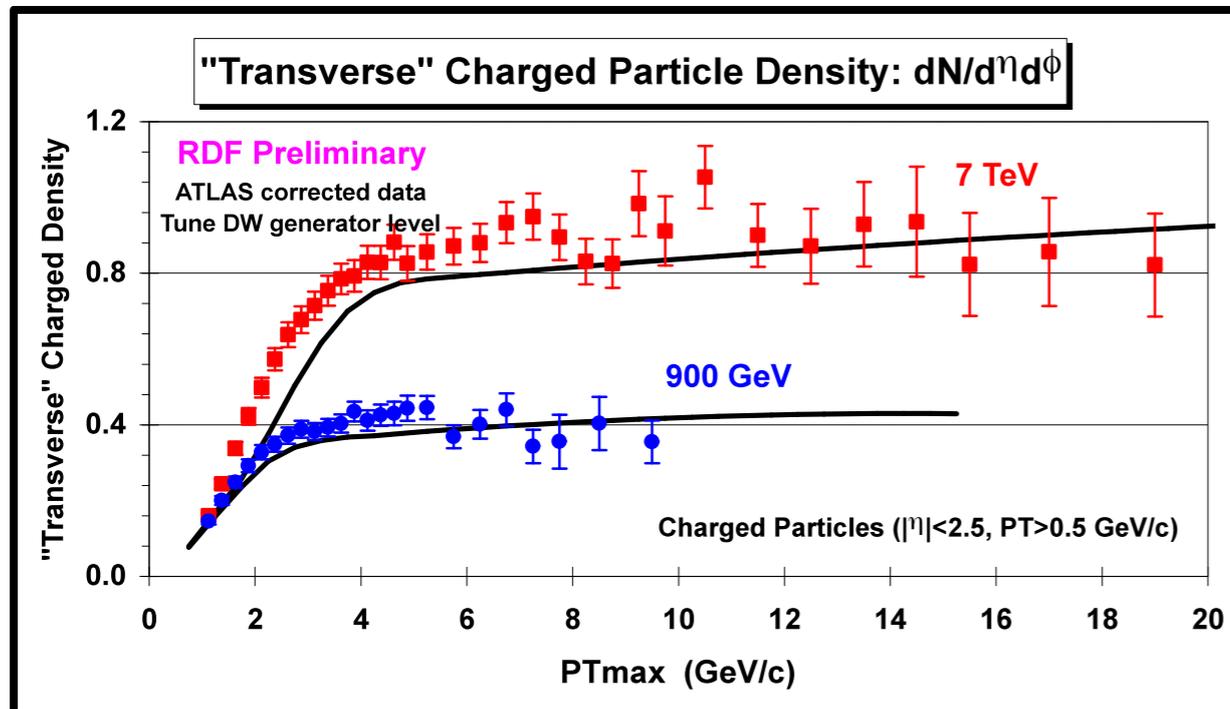
R. Field: "See, I told you!"

Y. Gehrstein: "they have to fudge it again"

(Underlying Event)



LHC from 900 to 7000 GeV - ATLAS



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Prediction off by $\approx 10\%$

Sum(pT) Density (TRANS)

(more) Infrared Safe

Large Non-factorizable Corrections

Prediction off by $< 10\%$

Truth is in the eye of the beholder:

R. Field: "See, I told you!"

Y. Gehrstein: "they have to fudge it again"

Summary 1/2

Fixed Order pQCD: Good for jets \sim hard scale

Beware: hierarchies / multi-scale problems

→ Scale choices become more important and more complicated

→ Enhancements from soft/collinear (conformal) singularities can invalidate fixed-order truncation

Parton Showers: Good for jets \ll hard scale

Bootstrapped approximation to infinite-order perturbation theory (resummation)

Exact in soft/collinear limits. Unpredictive for hard radiation

Coherence → Angular Ordering or Dipole-Antenna showers

Summary 2/2

Matching

At tree level (CKKW, MLM) → LO for multiple hard jets

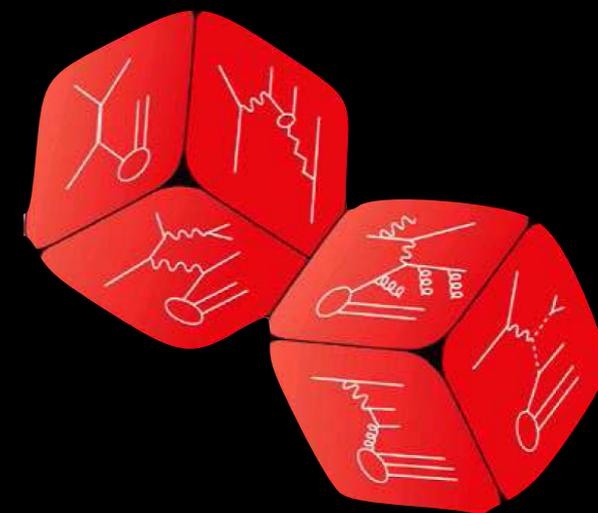
At NLO (MC@NLO, POWHEG) → NLO precision for Born

Substantial modeling uncertainties for soft physics. But fortunately ... it's soft.

Hadronization: based on tracing color flow through event. String model based on linear confinement, causality, and tunneling. Cluster model based on preconfinement and phase space.

Underlying Event: based on multiple parton interactions and impact-parameter dependence.

TASI 2012
Ready to Roll

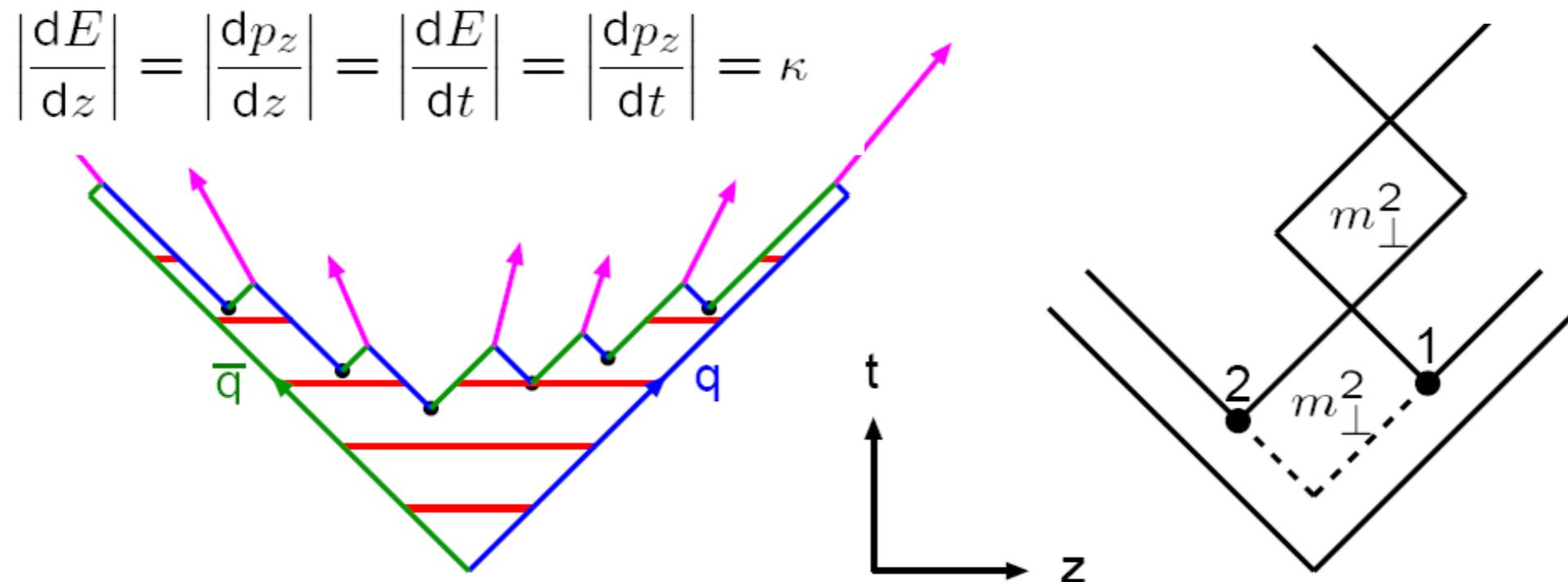


Thank you

Additional Slides

Large System

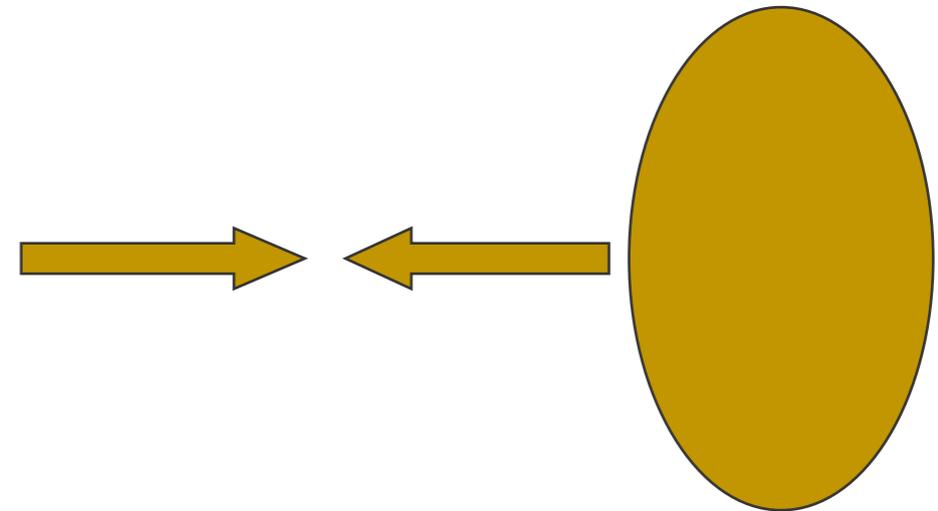
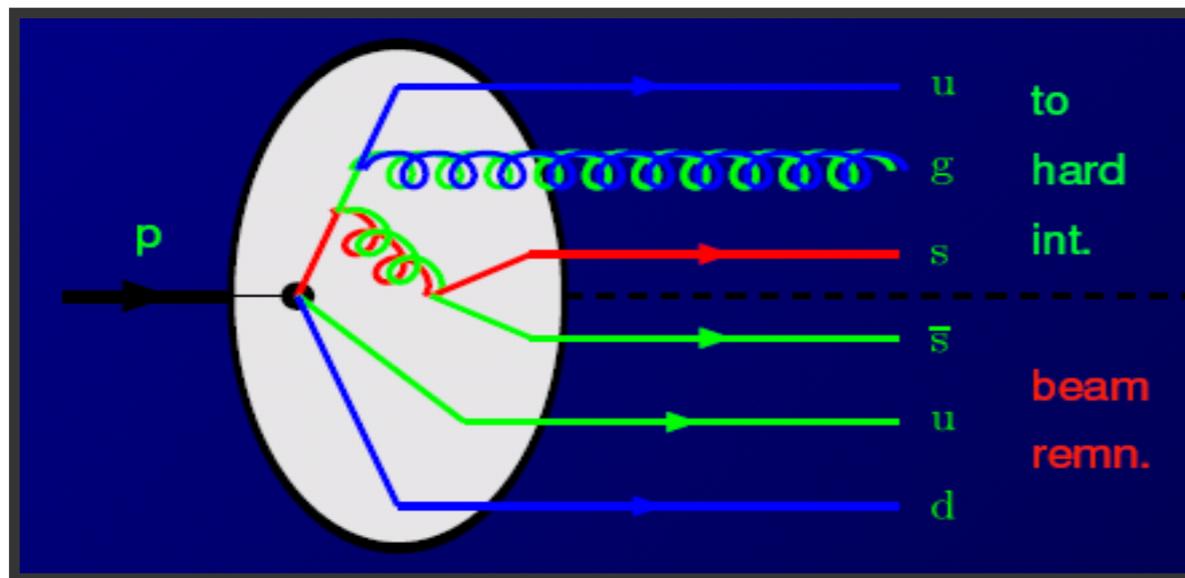
Illustrations by T. Sjöstrand



String breaks causally disconnected

- can proceed in arbitrary order (left-right, right-left, in-out, ...)
- constrains possible form of fragmentation function
- Justifies iterative ansatz (useful for MC implementation)

Multi-Parton PDFs



How are the initiators and remnant partons correlated?

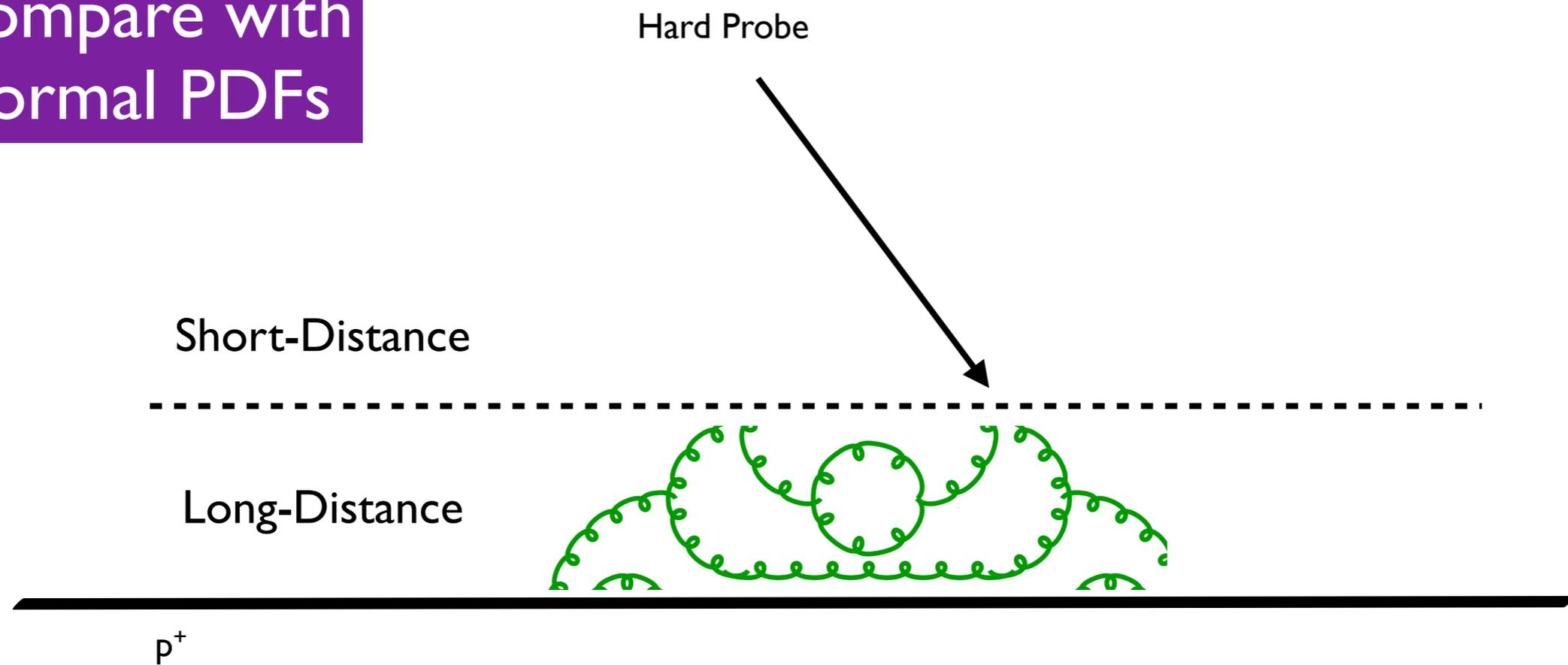


- in impact parameter?
- in flavour?
- in x (longitudinal momentum)?
- in k_T (transverse momentum)?
- in colour (\rightarrow string topologies!)
- What does the beam remnant look like?
- (How) are the showers correlated / intertwined?

(+ Diffraction)

“Intuitive picture”

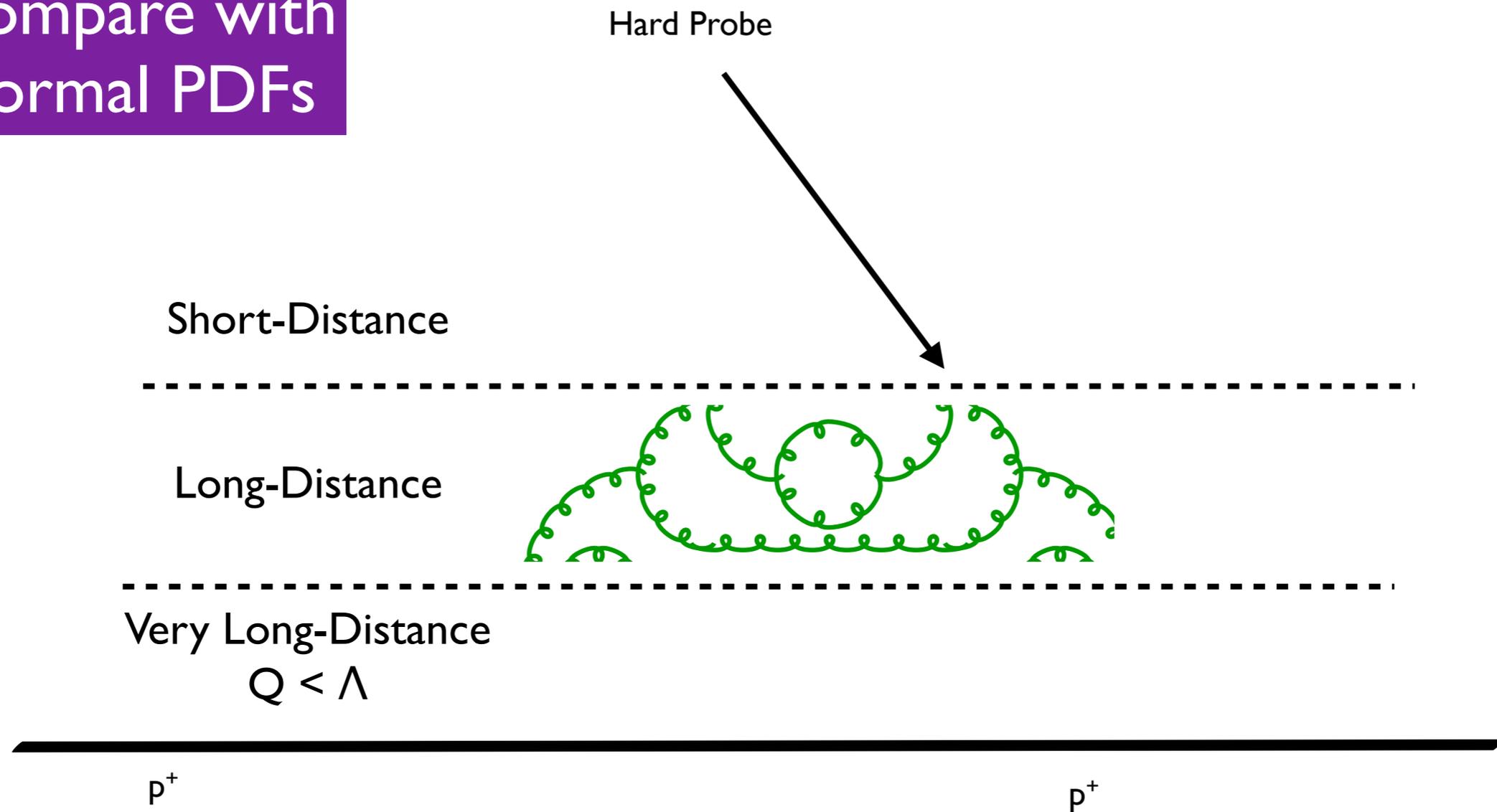
Compare with
normal PDFs



(+ Diffraction)

“Intuitive picture”

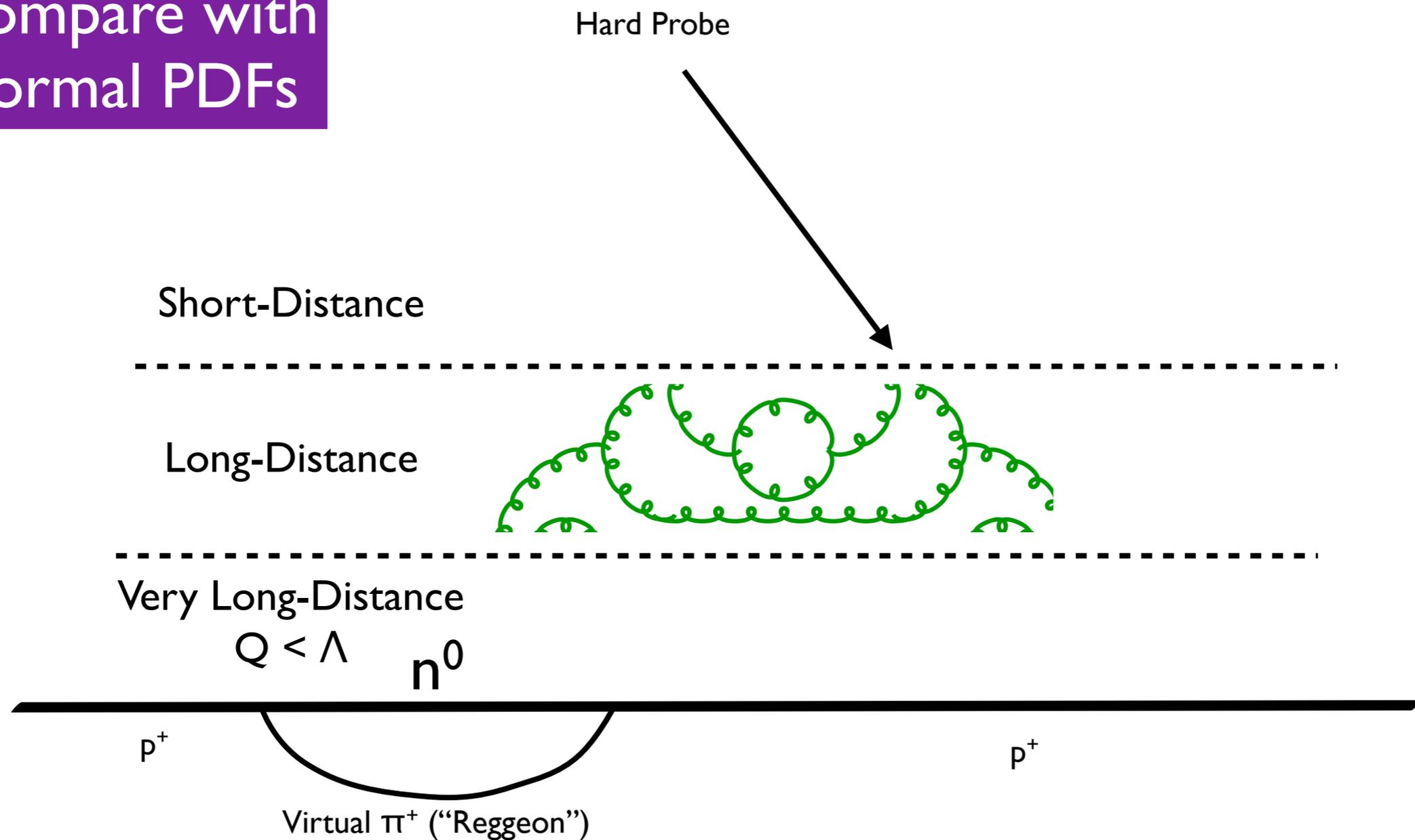
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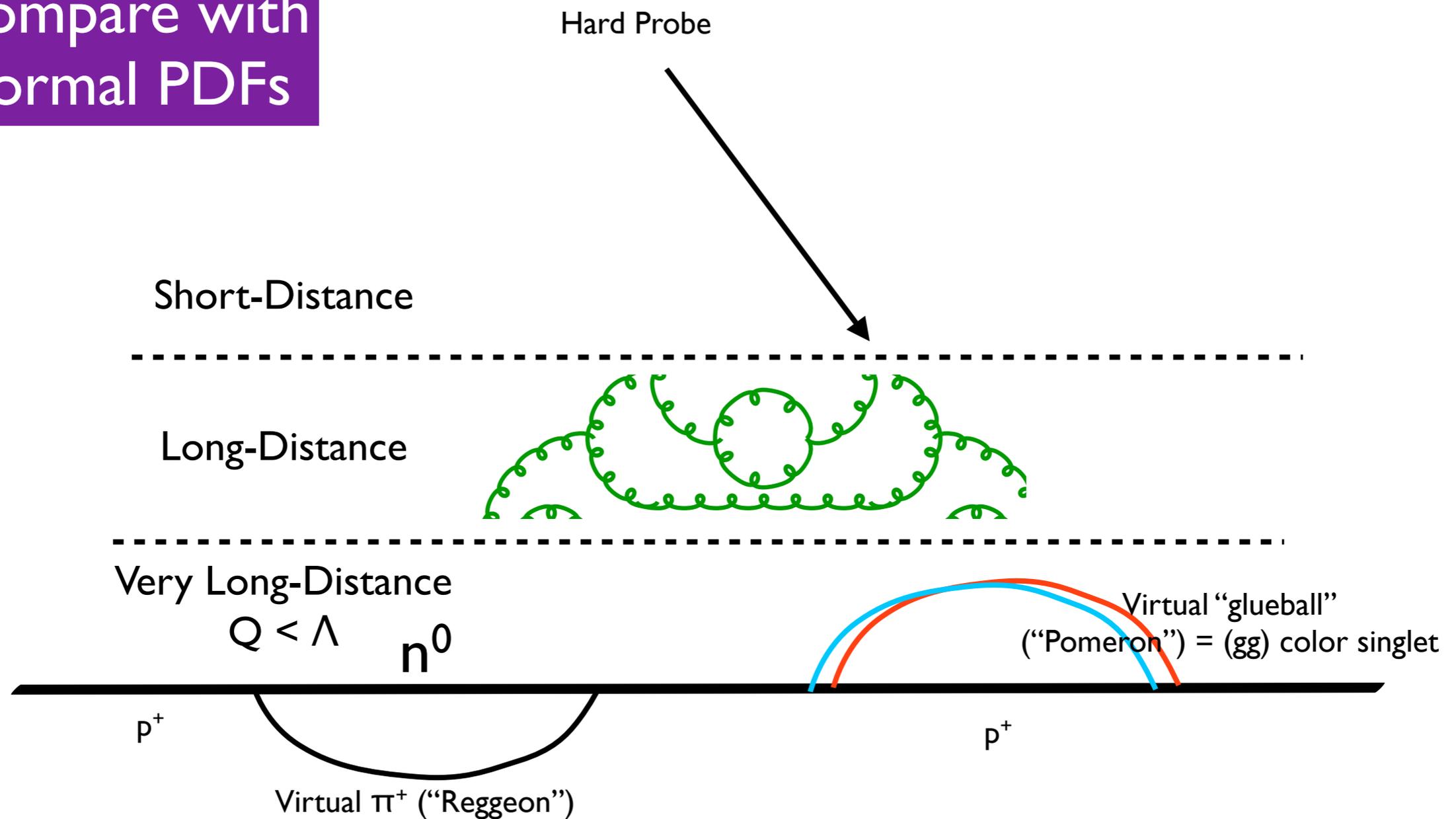
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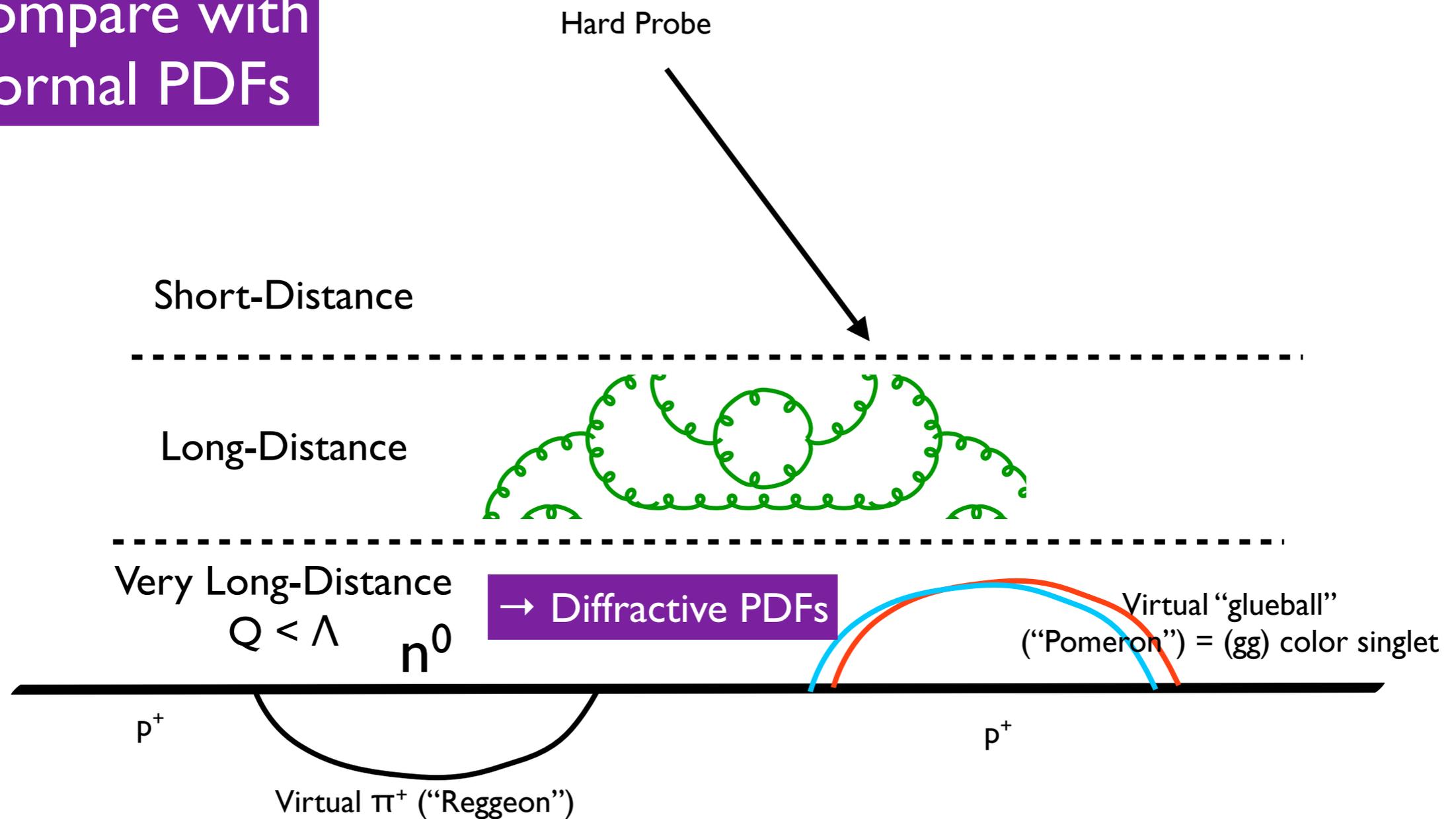
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