

# Matching at LO and NLO

Introduction to QCD - Lecture 4



Image Credits: istockphoto

P. Skands (CERN)

# The Problem

## Lecture 2 : Matrix elements are correct

When all jets are hard and there are no hierarchies

*(single-scale problem = small corner of phase space, but an important one!)*

But they are unpredictive for strongly ordered emissions

## Lecture 3 : Parton Showers are correct

When all emissions are (successively) strongly ordered

*(= dominant QCD structures)*

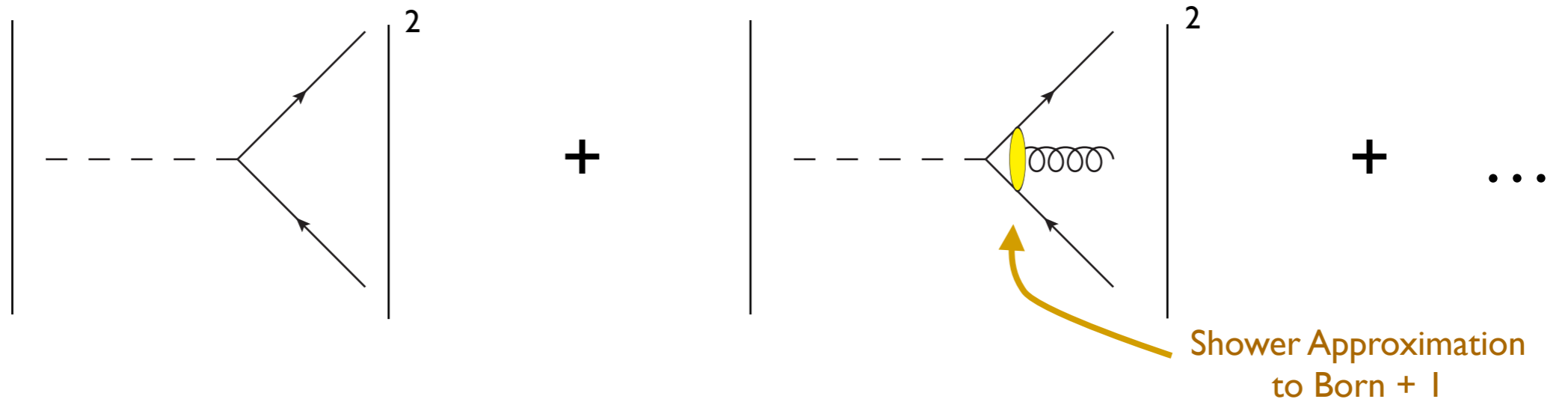
But they are unpredictive for hard jets

*Often too soft (but not guaranteed! Can also err by being too hard!)*

**ME-PS matching → ONE calculation to rule them all**

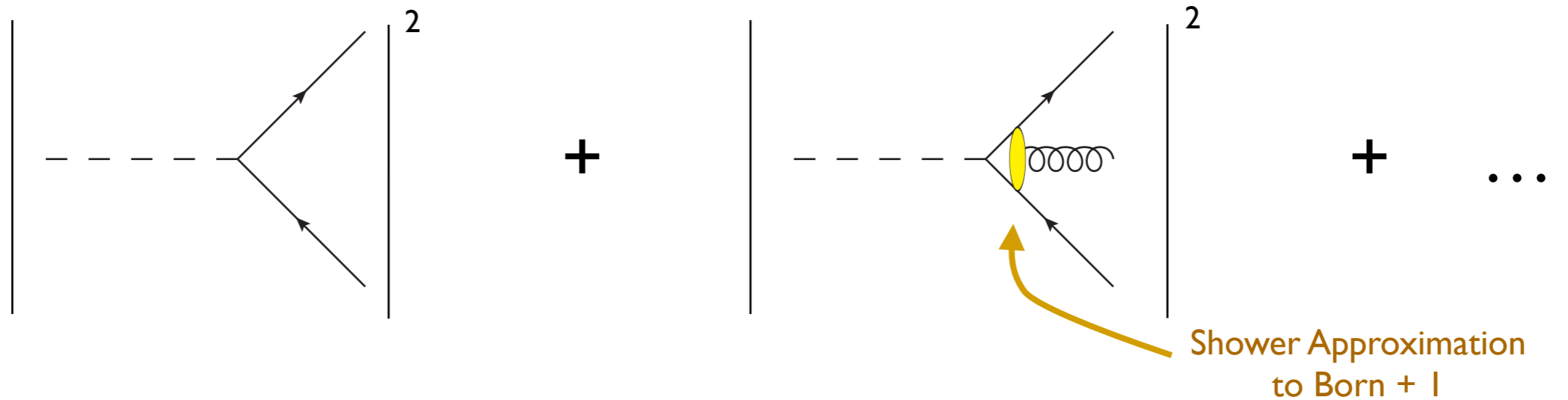
# Example: $H^0 \rightarrow b\bar{b}$

## Born + Shower

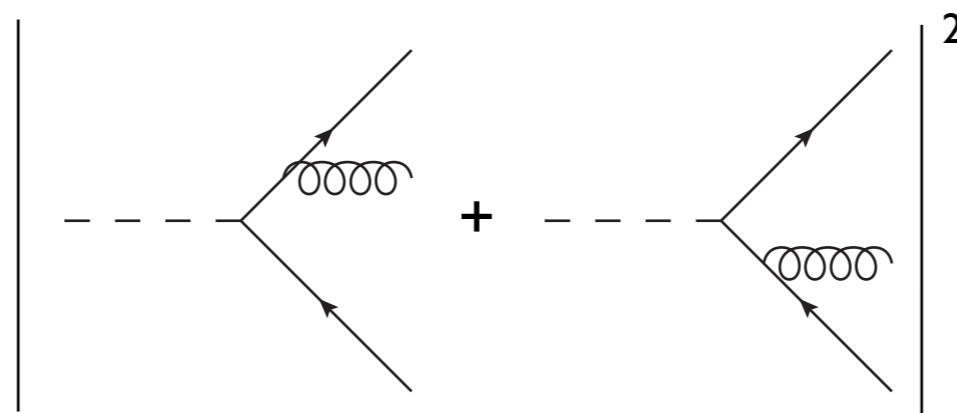


# Example: $H^0 \rightarrow b\bar{b}$

## Born + Shower



## Born + 1 @ LO



# Example: $H^0 \rightarrow b\bar{b}$

## Born + Shower

$$\left| \text{---} \begin{array}{c} \nearrow \\ \searrow \end{array} \right|^2 \left( \mathbf{1} + g_s^2 2C_F \left[ \frac{2s_{ik}}{s_{ij}s_{jk}} + \frac{1}{s_{IK}} \left( \frac{s_{ij}}{s_{jk}} + \frac{s_{jk}}{s_{ij}} \right) \right] + \dots \right)$$

## Born + I @ LO

$$\left| \text{---} \begin{array}{c} \nearrow \\ \searrow \end{array} \right|^2 \left( g_s^2 2C_F \left[ \frac{2s_{ik}}{s_{ij}s_{jk}} + \frac{1}{s_{IK}} \left( \frac{s_{ij}}{s_{jk}} + \frac{s_{jk}}{s_{ij}} + 2 \right) \right] \right)$$

# Example: $H^0 \rightarrow b\bar{b}$

## Born + Shower

$$\left| \text{---} \begin{array}{l} \nearrow \\ \searrow \end{array} \right|^2 \left( \mathbf{1} + g_s^2 2C_F \left[ \frac{2s_{ik}}{s_{ij}s_{jk}} + \frac{1}{s_{IK}} \left( \frac{s_{ij}}{s_{jk}} + \frac{s_{jk}}{s_{ij}} \right) \right] + \dots \right)$$

## Born + I @ LO

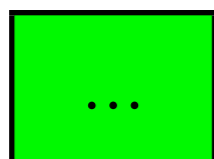
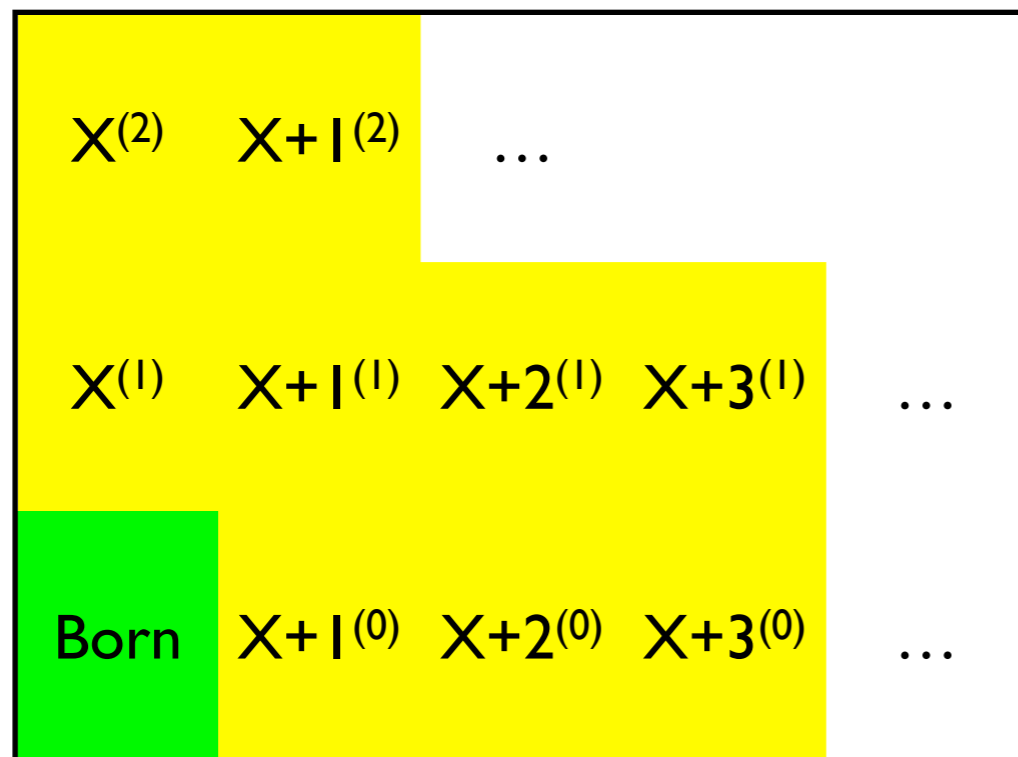
$$\left| \text{---} \begin{array}{l} \nearrow \\ \searrow \end{array} \right|^2 \left( g_s^2 2C_F \left[ \frac{2s_{ik}}{s_{ij}s_{jk}} + \frac{1}{s_{IK}} \left( \frac{s_{ij}}{s_{jk}} + \frac{s_{jk}}{s_{ij}} + 2 \right) \right] \right)$$

**Total Overkill** to add these two. All I really need is just that **+2** ...

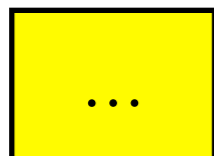
# Adding Calculations

## Born × Shower

(see lecture 3)



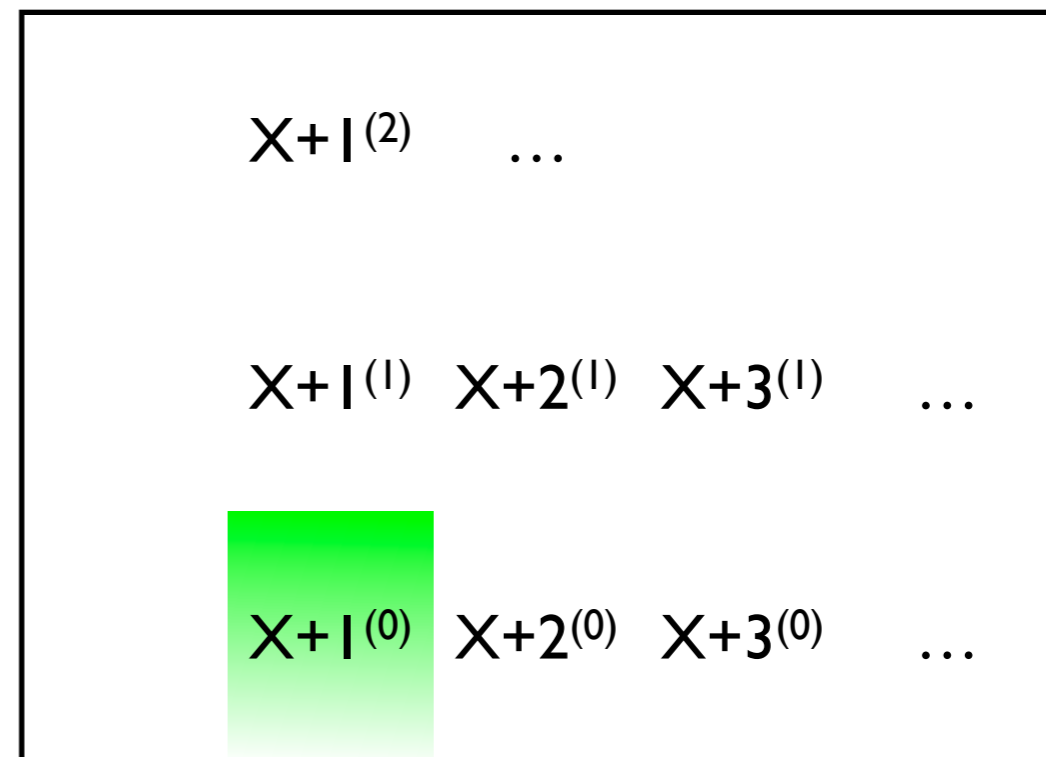
Fixed-Order Matrix Element



Shower Approximation

## X+1 @ LO

(with  $p_T$  cutoff, see lecture 2)

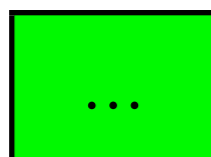
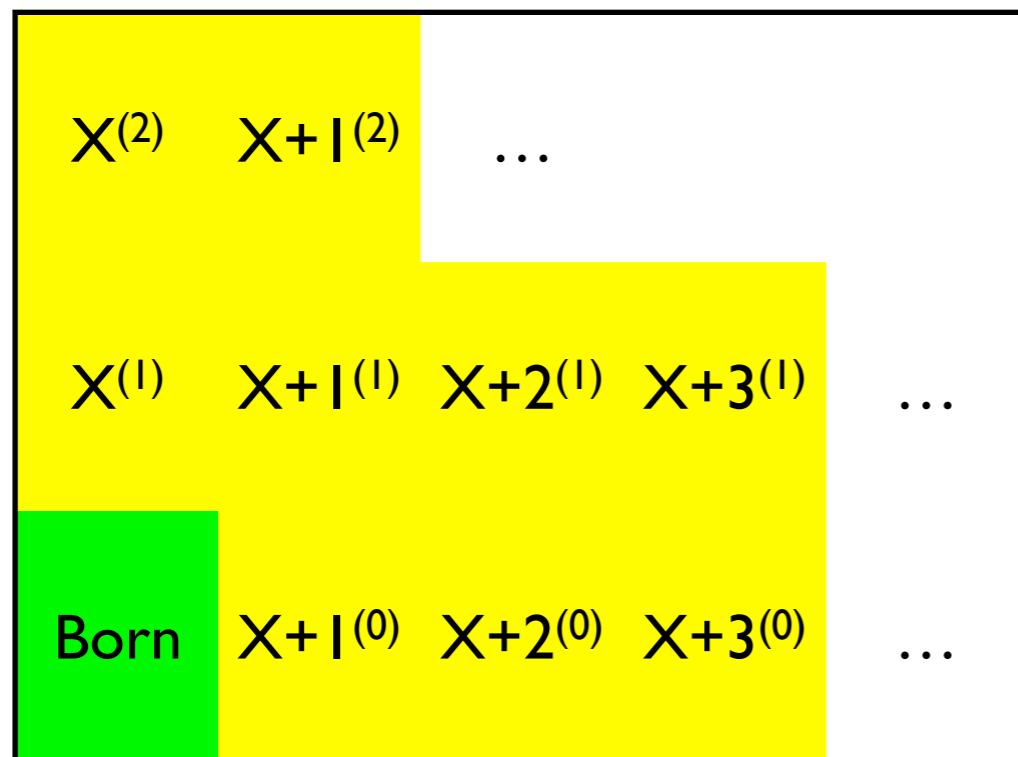


Fixed-Order ME above  $p_T$  cut  
& nothing below

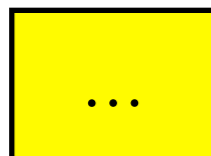
# Adding Calculations

## Born × Shower

(see lecture 3)



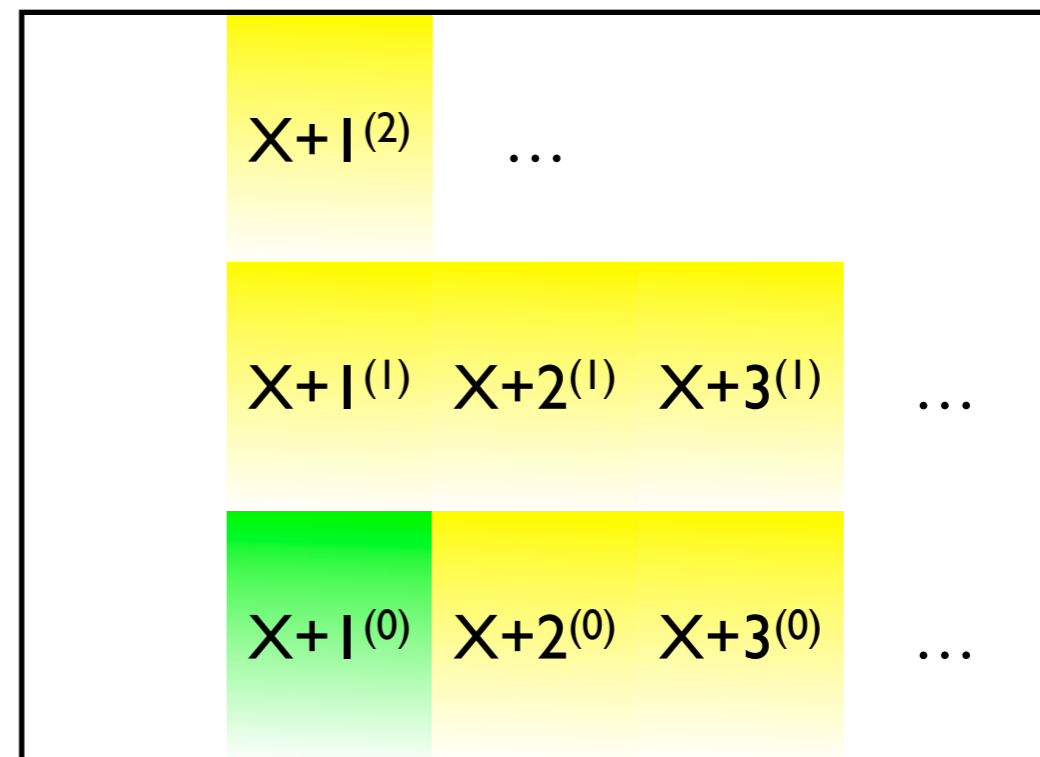
Fixed-Order Matrix Element



Shower Approximation

## X+1 @ LO × Shower

(with  $p_T$  cutoff, see lecture 2)



Fixed-Order ME above  $p_T$  cut  
& nothing below



Shower approximation above  $p_T$  cut  
& nothing below



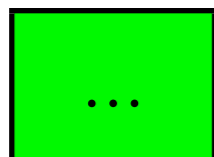
# → Double Counting

## Born $\times$ Shower + (X+1) $\times$ shower

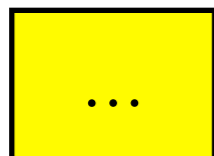
Double Counting of terms present in both expansions

$X^{(2)}$	$X+1^{(2)}$	...		
$X^{(1)}$	$X+1^{(1)}$	$X+2^{(1)}$	$X+3^{(1)}$	...
Born	$X+1^{(0)}$	$X+2^{(0)}$	$X+3^{(0)}$	...

Worse than useless



Fixed-Order Matrix Element



Shower Approximation



Double counting above  $p_T$  cut & shower approximation below

# Interpretation

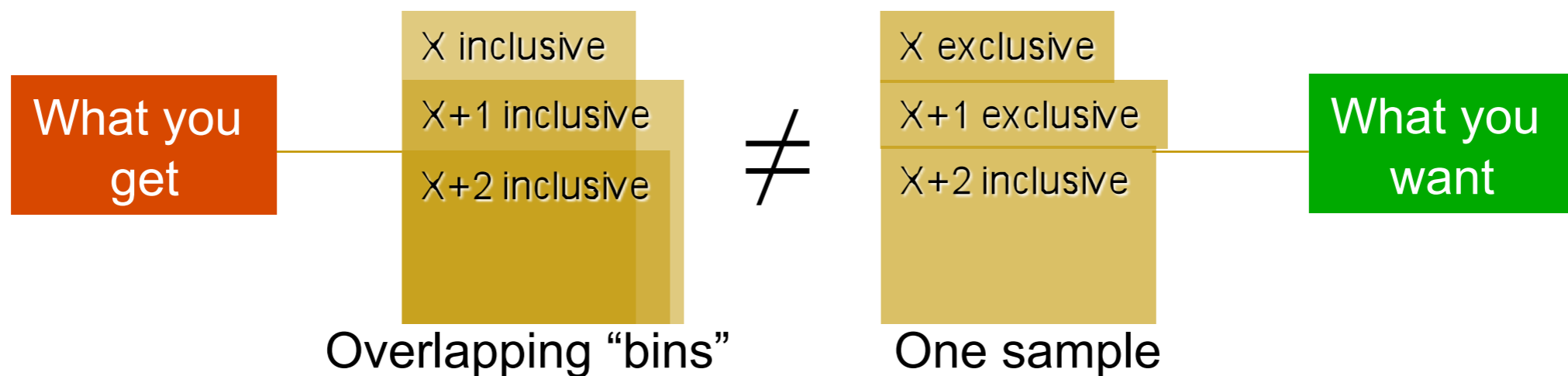
## ► A (Complete Idiot's) Solution – Combine

1.  $[X]_{ME}$  + showering
2.  $[X + 1 \text{ jet}]_{ME}$  + showering
3. ...

Run generator for  $X$  (+ shower)  
Run generator for  $X+1$  (+ shower)  
Run generator for ... (+ shower)  
Combine everything into one sample

## ► Doesn't work

- $[X]$  + shower is inclusive
- $[X+1]$  + shower is also inclusive





# Cures

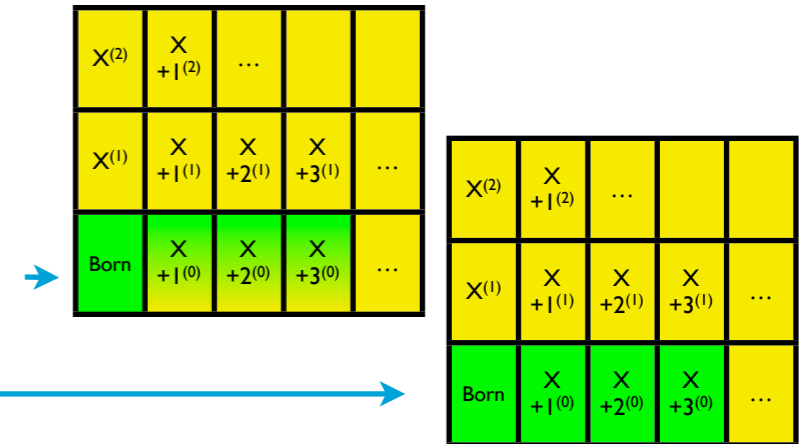


# Cures

## Tree-Level Matrix Elements

PHASE-SPACE SLICING (a.k.a. CKKW, MLM, ...)

UNITARITY (a.k.a. merging, PYTHIA, VINCIA, ...)



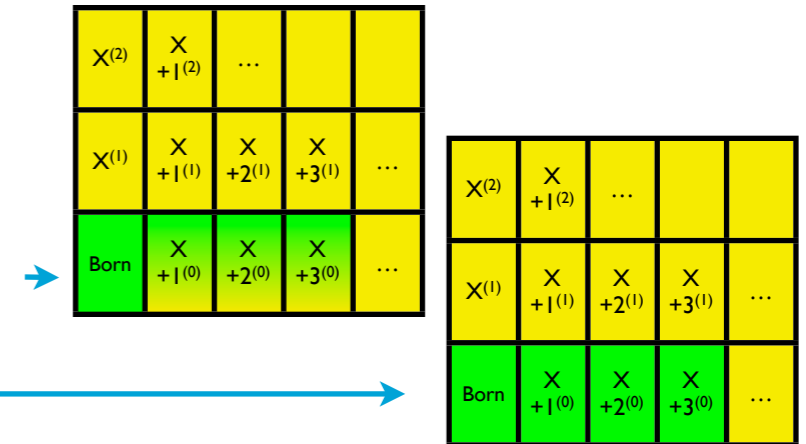


# Cures

## Tree-Level Matrix Elements

PHASE-SPACE SLICING (*a.k.a. CKKW, MLM, ...*)

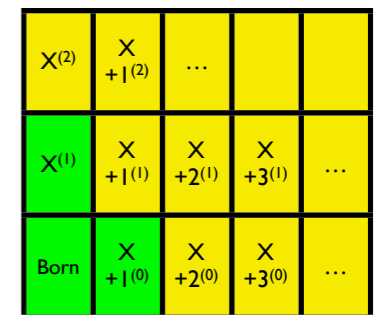
UNITARITY (*a.k.a. merging, PYTHIA, VINCIA, ...*)



## NLO Matrix Elements

SUBTRACTION (*a.k.a. MC@NLO*)

UNITARITY + SUBTRACTION (*a.k.a. POWHEG, VINCIA*)



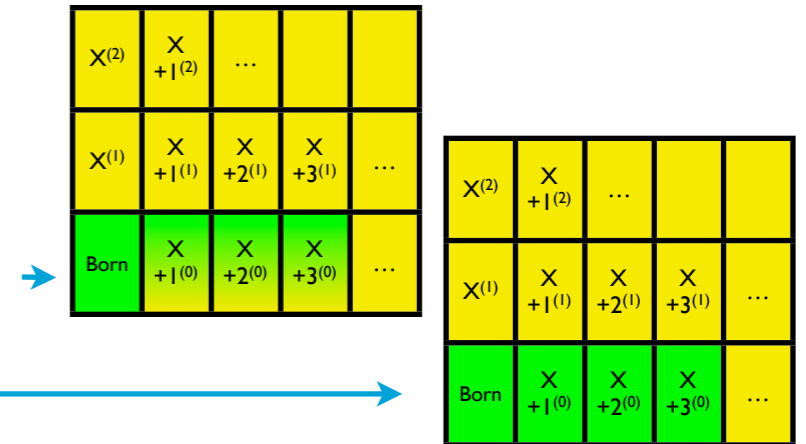


# Cures

## Tree-Level Matrix Elements

PHASE-SPACE SLICING (a.k.a. CKKW, MLM, ...)

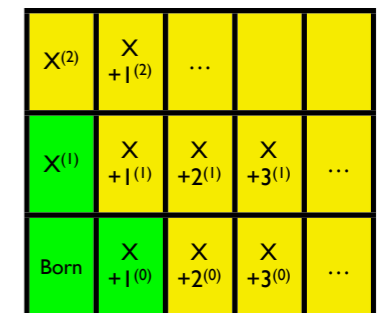
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## NLO Matrix Elements

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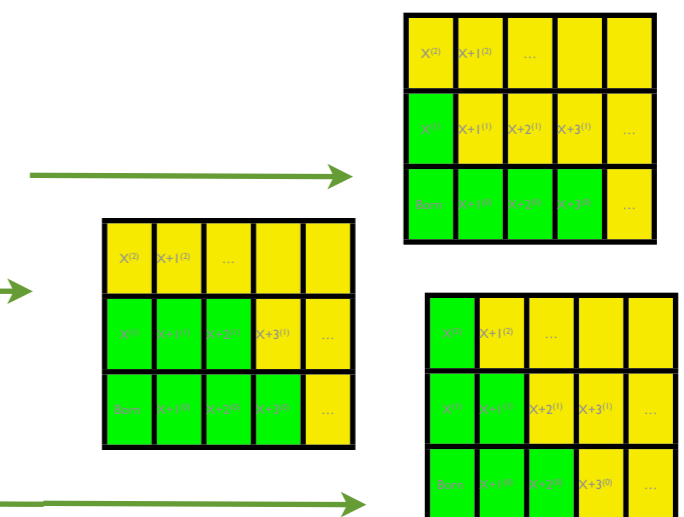


## + WORK IN PROGRESS ...

NLO + multileg tree-level matrix elements

NLO multileg matching

Matching at NNLO



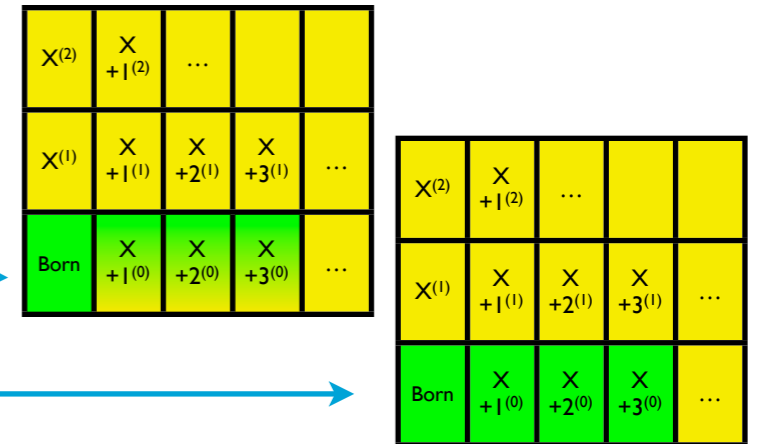


# Cures

## Tree-Level Matrix Elements

PHASE-SPACE SLICING (a.k.a. CKKW, MLM, ...)

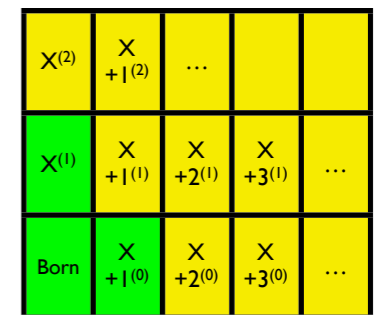
UNITARITY (a.k.a. merging, PYTHIA, VINCIA, ...)



## NLO Matrix Elements

SUBTRACTION (a.k.a. MC@NLO)

UNITARITY + SUBTRACTION (a.k.a. POWHEG, VINCIA)

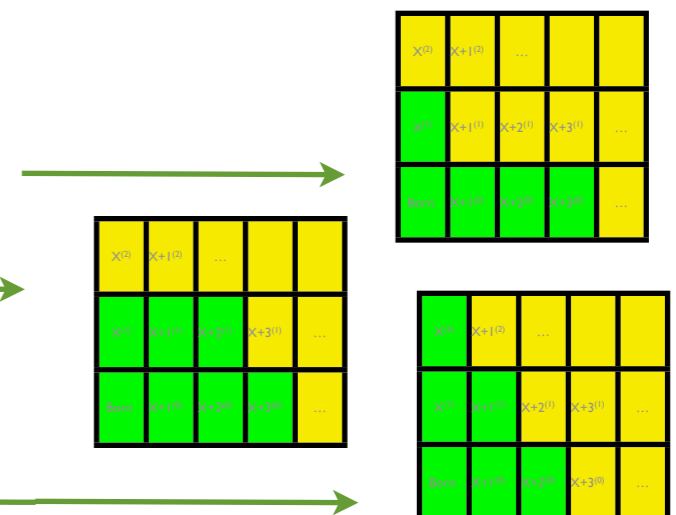


## + WORK IN PROGRESS ...

NLO + multileg tree-level matrix elements

NLO multileg matching

Matching at NNLO



$X^{(2)}$	$X_{+1^{(2)}}$	...		
$X^{(1)}$	$X_{+1^{(1)}}$	$X_{+2^{(1)}}$	$X_{+3^{(1)}}$	...
Born	$X_{+1^{(0)}}$	$X_{+2^{(0)}}$	$X_{+3^{(0)}}$	...

# Phase-Space Slicing

## Matching to Tree-Level

### Matrix Elements

A.K.A. CKKW, CKKW-L, MLM

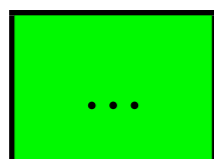
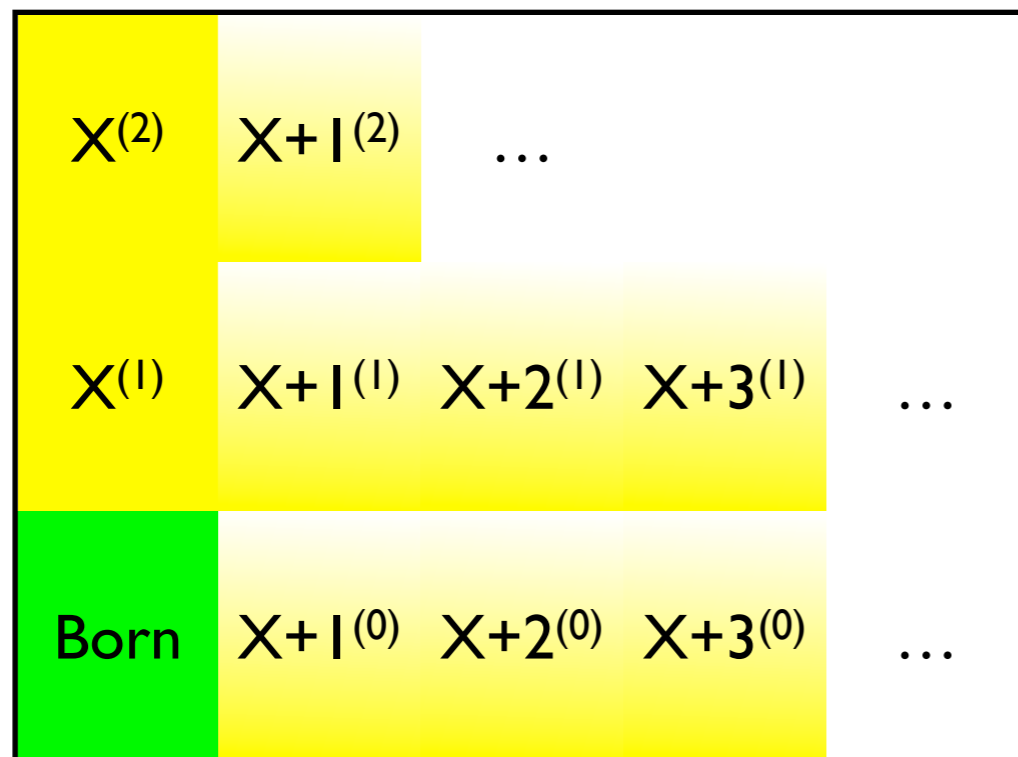


# Phase Space Slicing

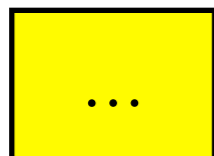
(with "matching scale")

## Born $\times$ Shower

+ shower veto above  $p_T$



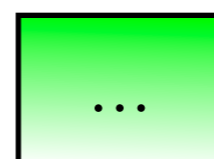
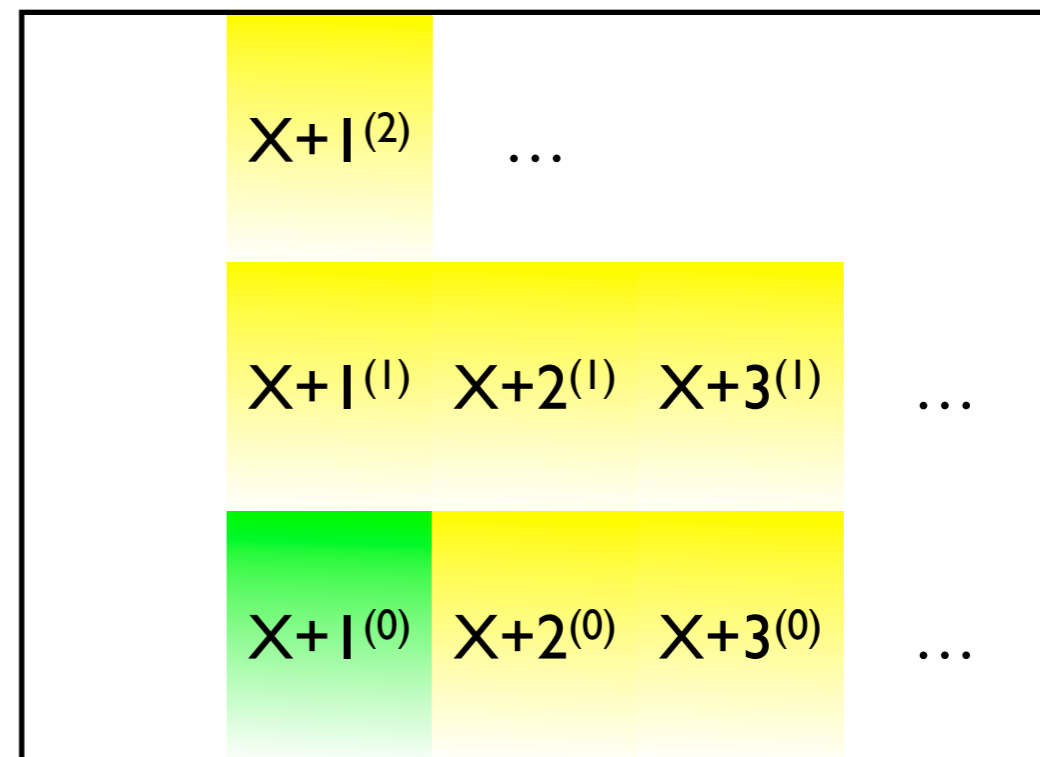
Fixed-Order Matrix Element



Shower Approximation

## $X+1$ @ LO $\times$ Shower

with 1 jet above  $p_T$



Fixed-Order ME above  $p_T$  cut & nothing below

# Phase Space Slicing

(with "matching scale")

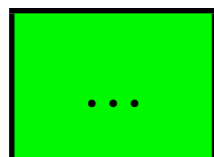
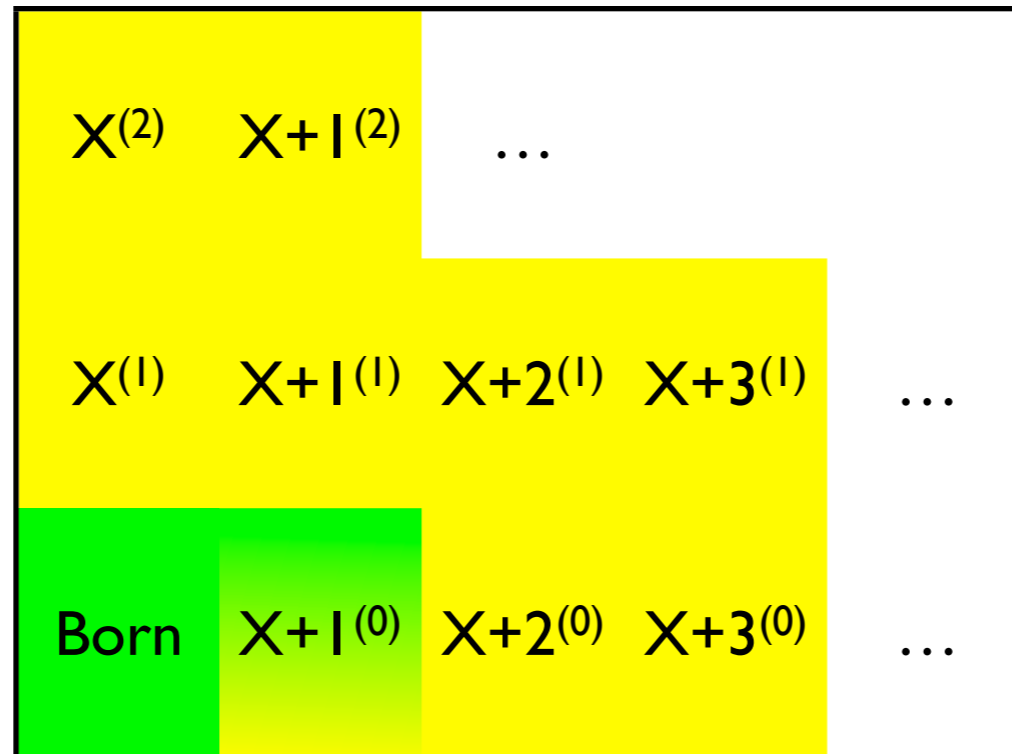
**Born × Shower** +

**X+1 @ LO × Shower**

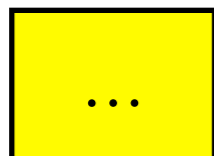
+ shower veto above  $p_T$

with 1 jet above  $p_T$

X+1 now correct in both soft and hard limits



Fixed-Order Matrix Element



Shower Approximation



Fixed-Order ME above  $p_T$  cut & nothing below



Fixed-Order ME above  $p_T$  cut & Shower Approximation below

# Multi-Leg Slicing

(a.k.a. CKKW or MLM matching)

CKKW: Catani, Krauss, Kuhn, Webber, JHEP 0111:063,2001.

MLM: Michelangelo L Mangano

## Keep going

Veto all shower emissions above “matching scale”

*Except for the highest-multiplicity matrix element (not competing with anyone)*

Multileg  
Tree-level  
matching:

$X^{(2)}$	$X+1^{(2)}$	...		
$X^{(1)}$	$X+1^{(1)}$	$X+2^{(1)}$	$X+3^{(1)}$	...
Born	$X+1^{(0)}$	$X+2^{(0)}$	$X+3^{(0)}$	...

Precision:  
LO: when all jets hard  
Still LL: for soft emissions

# Classic Example

## W + Jets

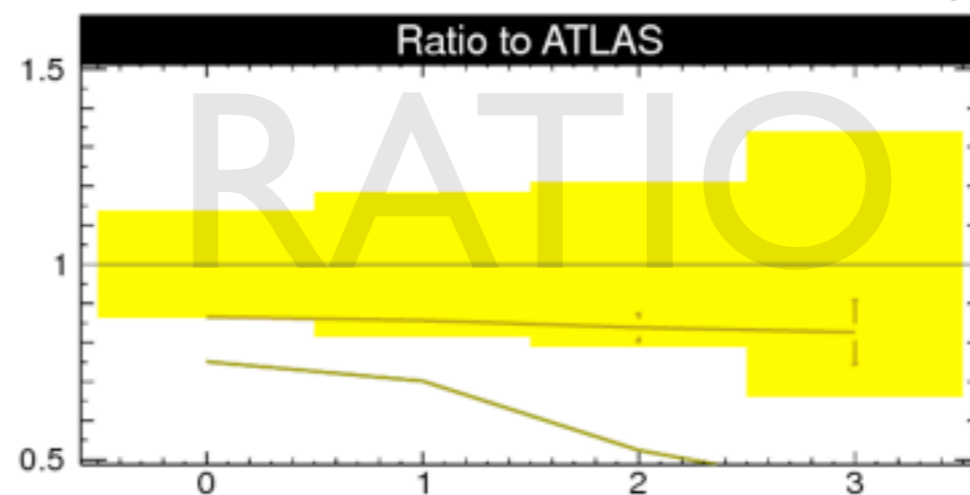
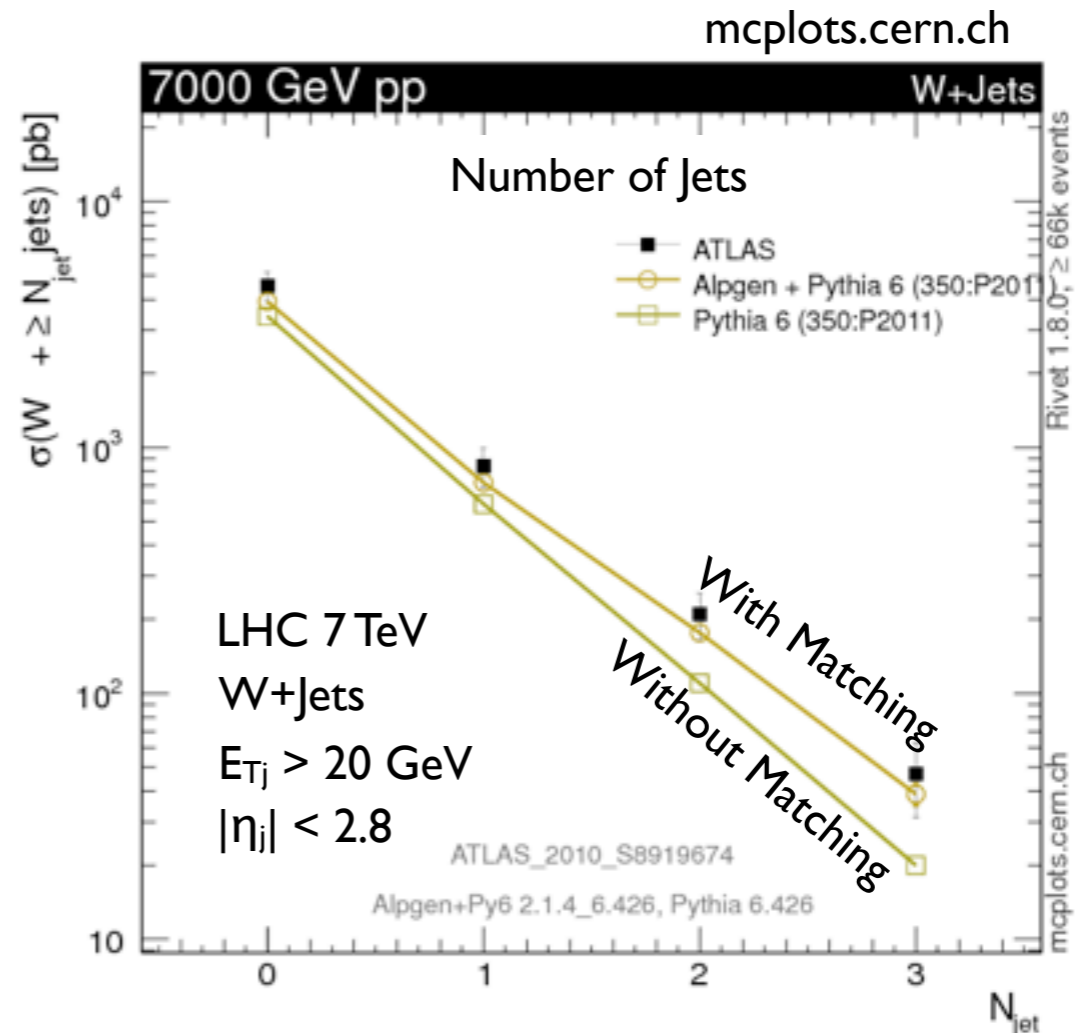
Number of jets in  
 $pp \rightarrow W+X$  at the LHC

From 0 (W inclusive) to  
W+3 jets

PYTHIA includes  
matching up to W+1 jet  
+ shower

With ALPGEN, also the  
LO matrix elements for  
2 and 3 jets are included

But Normalization still  
only LO



# Classic Example

## W + Jets

Number of jets in  
 $pp \rightarrow W+X$  at the LHC

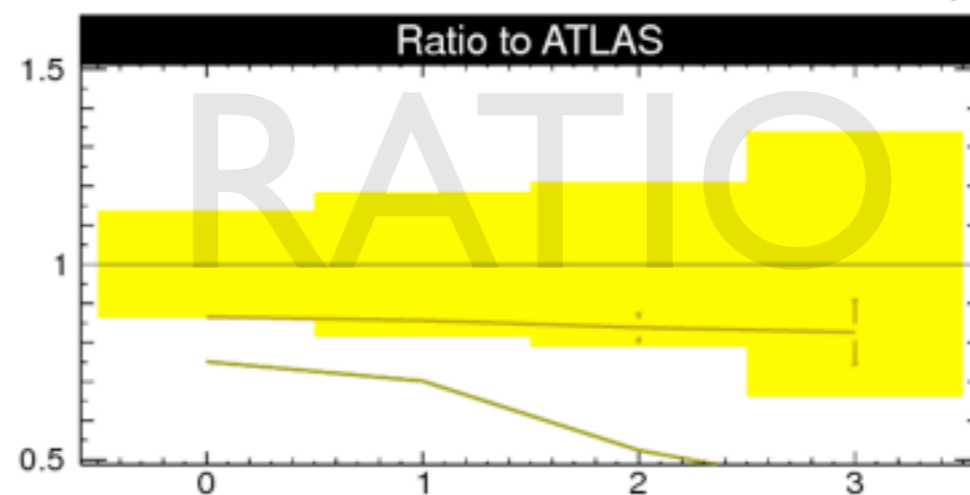
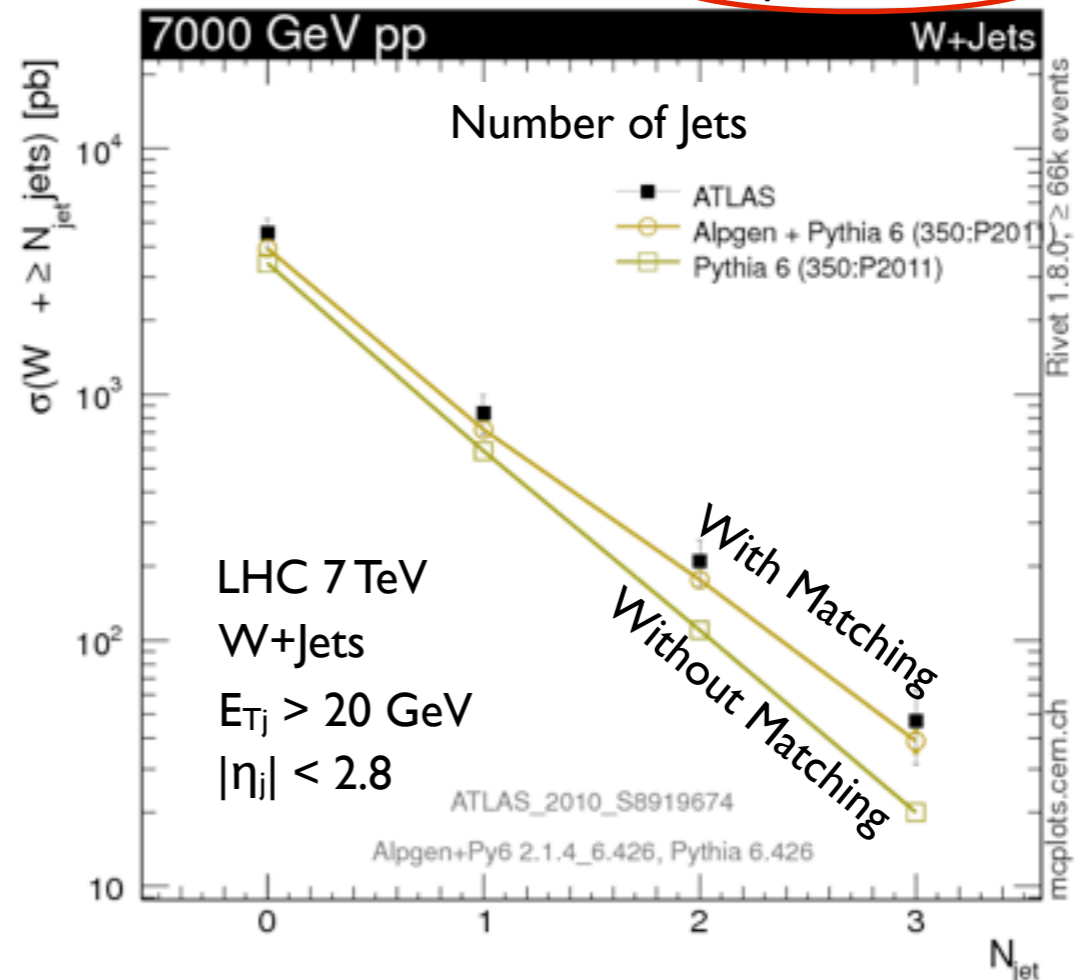
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But Normalization still  
only LO

mcplots.cern.ch



# Slicing: Some Subtleties

## **Choice of slicing scale (=matching scale)**

Fixed order must still be reliable when regulated with this scale

→ matching scale should never be chosen more than  $\sim$  one order of magnitude below hard scale.

## **Precision still “only” Leading Order**

## **Choice of Renormalization Scale**

We already saw this can be very important (and tricky) in multi-scale problems.

Caution advised (see also supplementary slides & lecture notes)

# Choice of Matching Scale



Reminder: in perturbative region, QCD is approximately ***scale invariant***

→ A scale of 20 GeV for a W boson becomes 40 GeV for something weighing  $2M_W$ , etc ... (+ adjust for  $C_A/C_F$  if g-initiated)

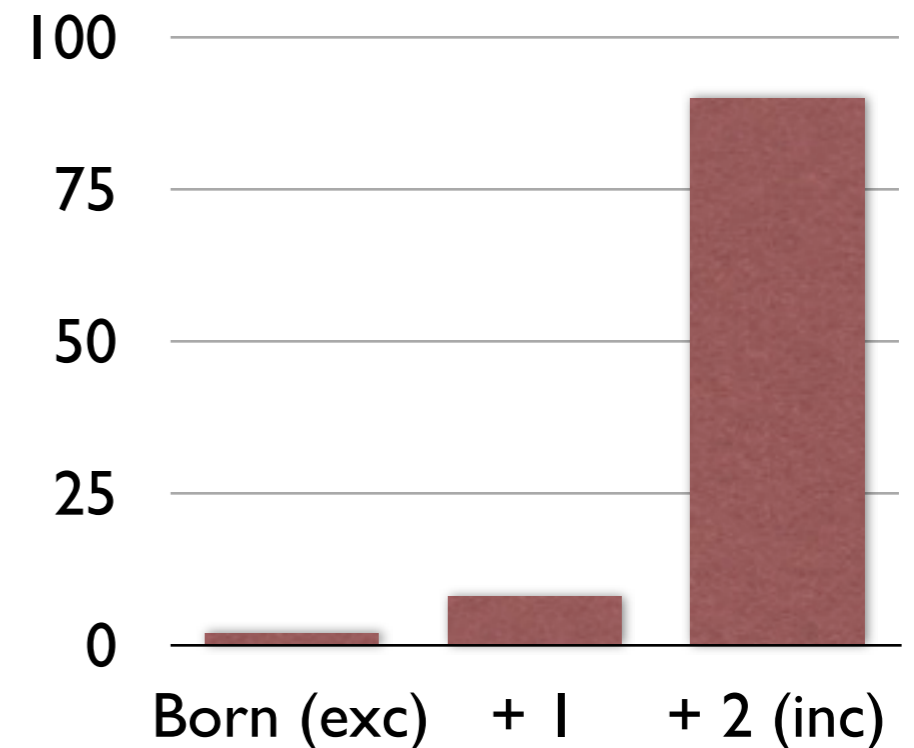
→ The matching scale should be written as a **ratio** (Bjorken scaling)

Using a too low matching scale → everything just becomes highest ME



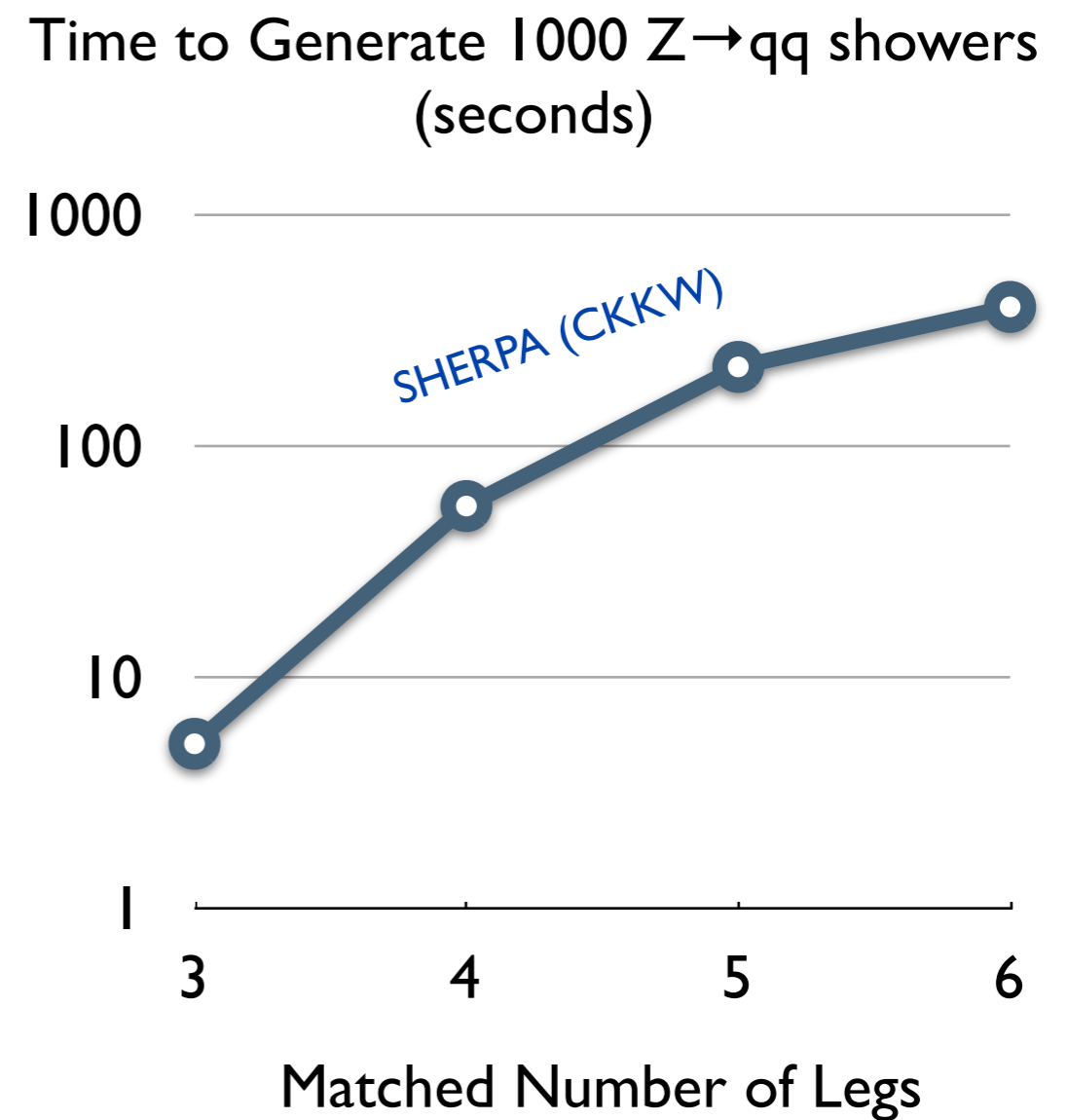
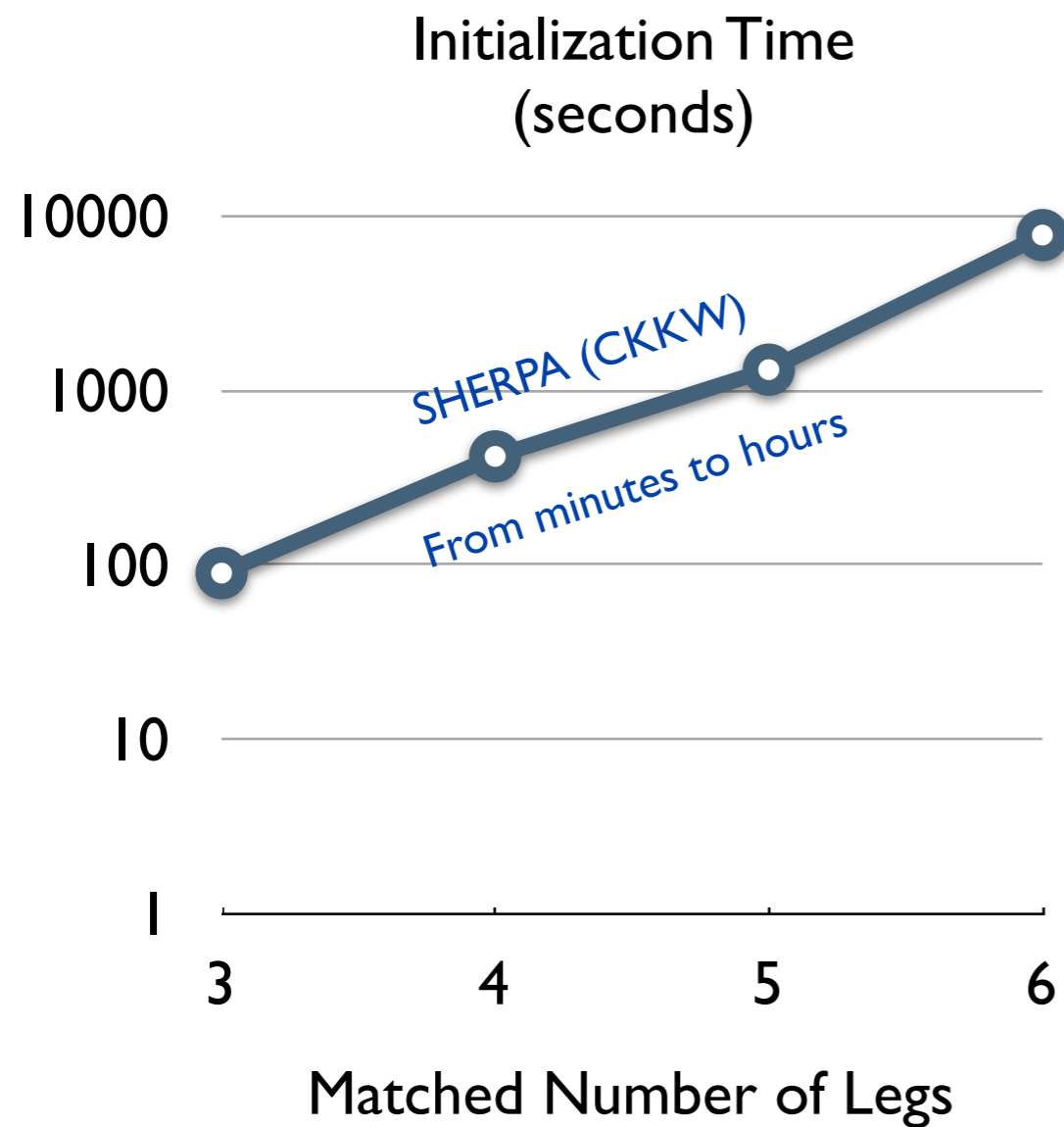
*Caveat emptor: showers generally do not include helicity correlations*

■ Low Matching Scale



# Phase-Space Slicing: SPEED

Here's what it costs



$Z \rightarrow qq$  ( $q=uds\bar{c}b$ ) + shower. Matched and unweighted. Hadronization off  
gfortran/g++ with gcc v.4.4 -O2 on single 3.06 GHz processor with 4GB memory

Generator Versions: Pythia 6.425 (Perugia 2011 tune), Pythia 8.150, Sherpa 1.3.0, Vincia 1.026 (without uncertainty bands, NLL/NLC=OFF)



$X^{(2)}$	$X_{+1^{(2)}}$	...		
$X^{(1)}$	$X_{+1^{(1)}}$	$X_{+2^{(1)}}$	$X_{+3^{(1)}}$	...
Born	$X_{+1^{(0)}}$	$X_{+2^{(0)}}$	$X_{+3^{(0)}}$	...

# Subtraction

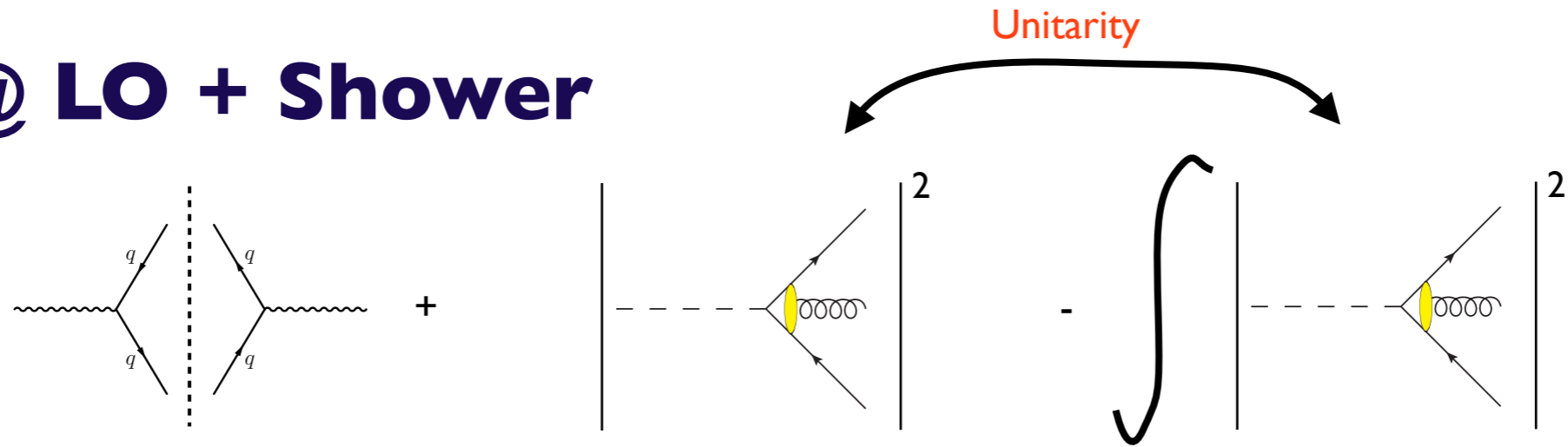
## Matching to Born+NLO

### Matrix Elements

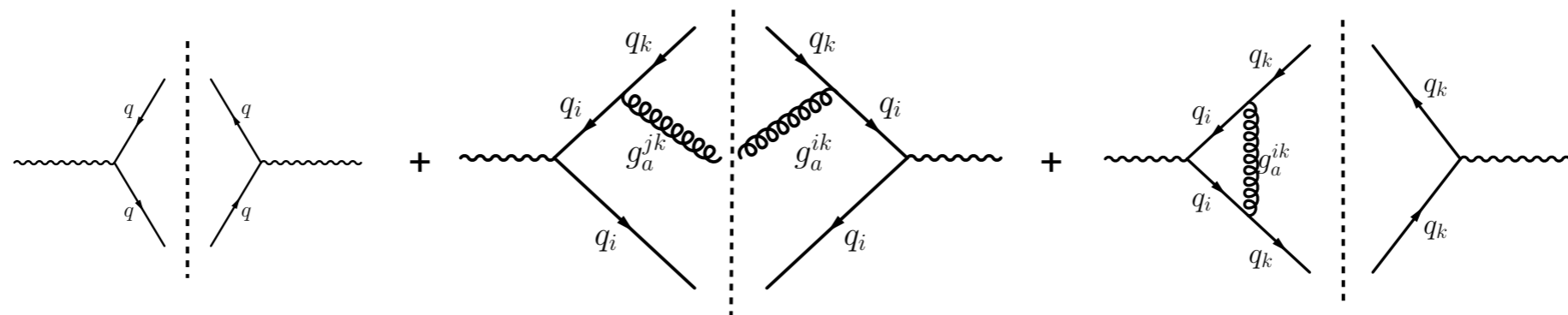
A.K.A. MC@NLO, POWHEG, VINCIA<sub>[incl X+n @ LO]</sub>

# Showers vs NLO

## X @ LO + Shower

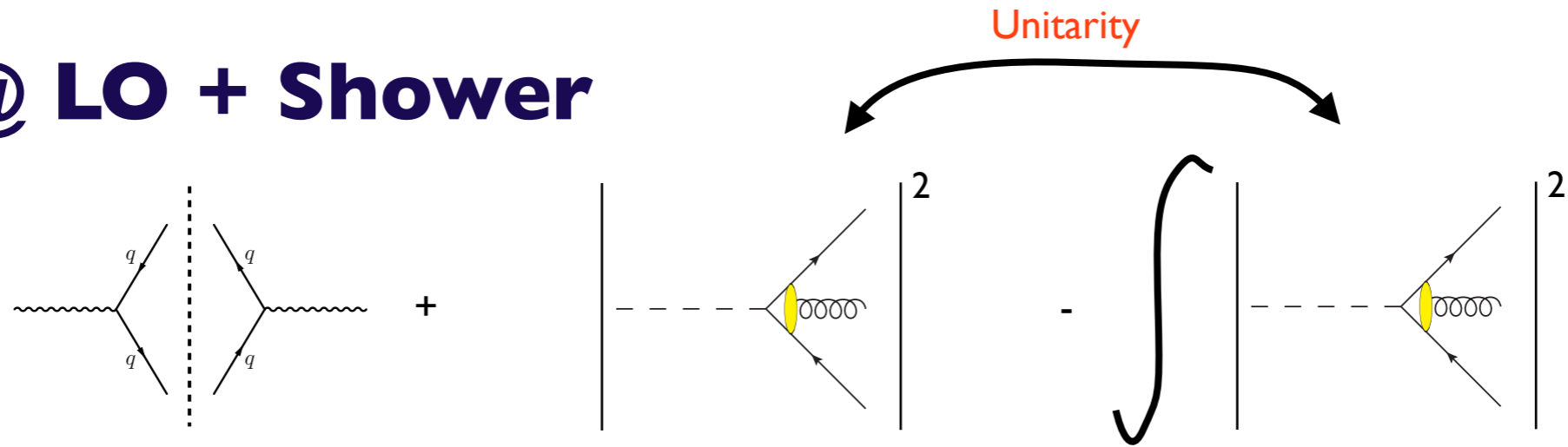


## X @ NLO

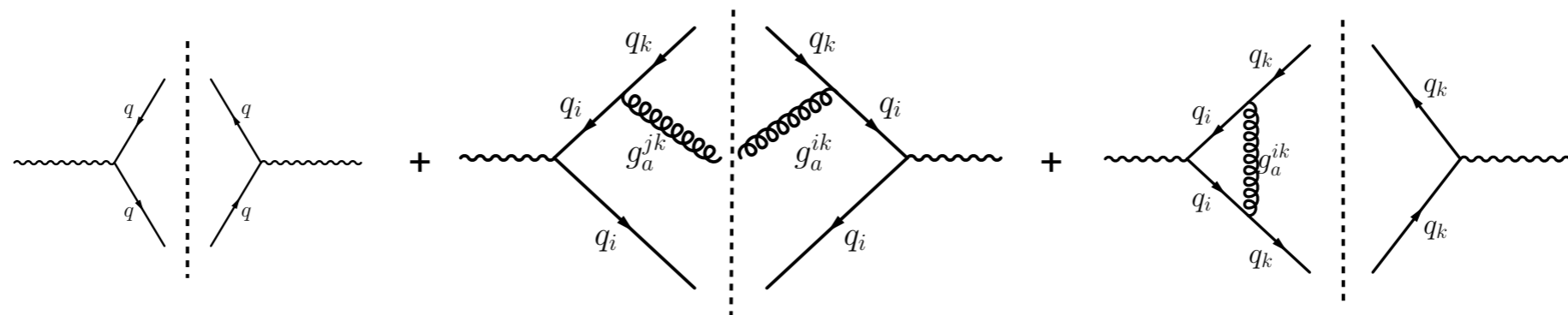


# Showers vs NLO

**X @ LO + Shower**

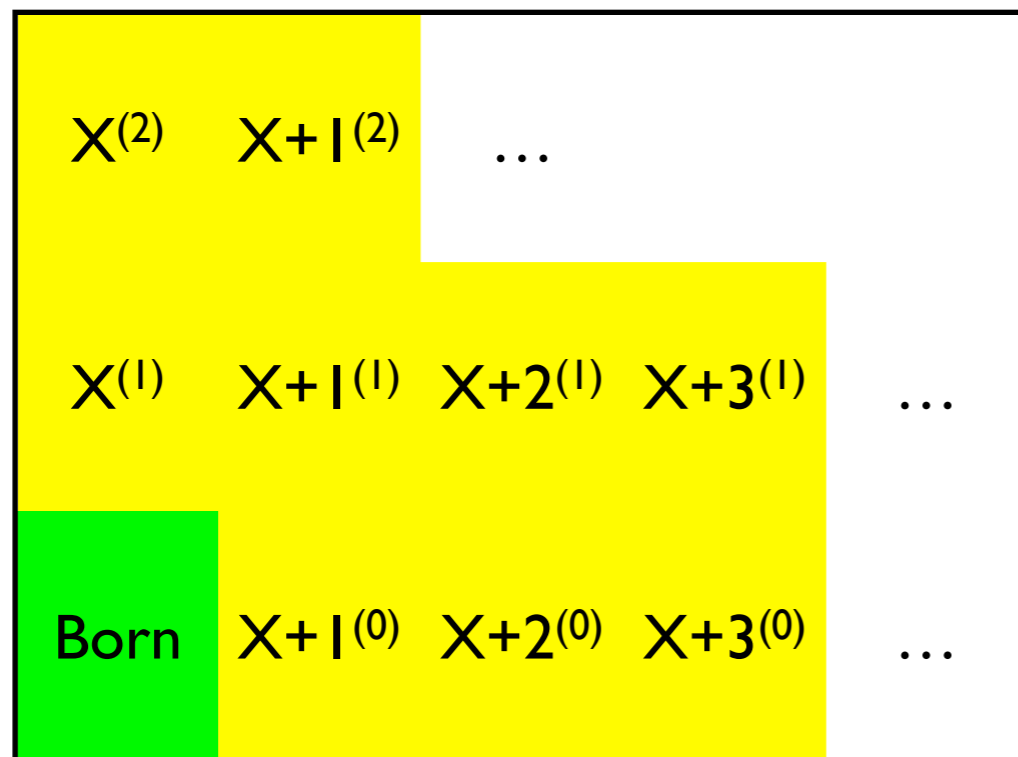


**X @ NLO**

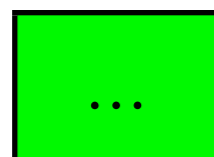
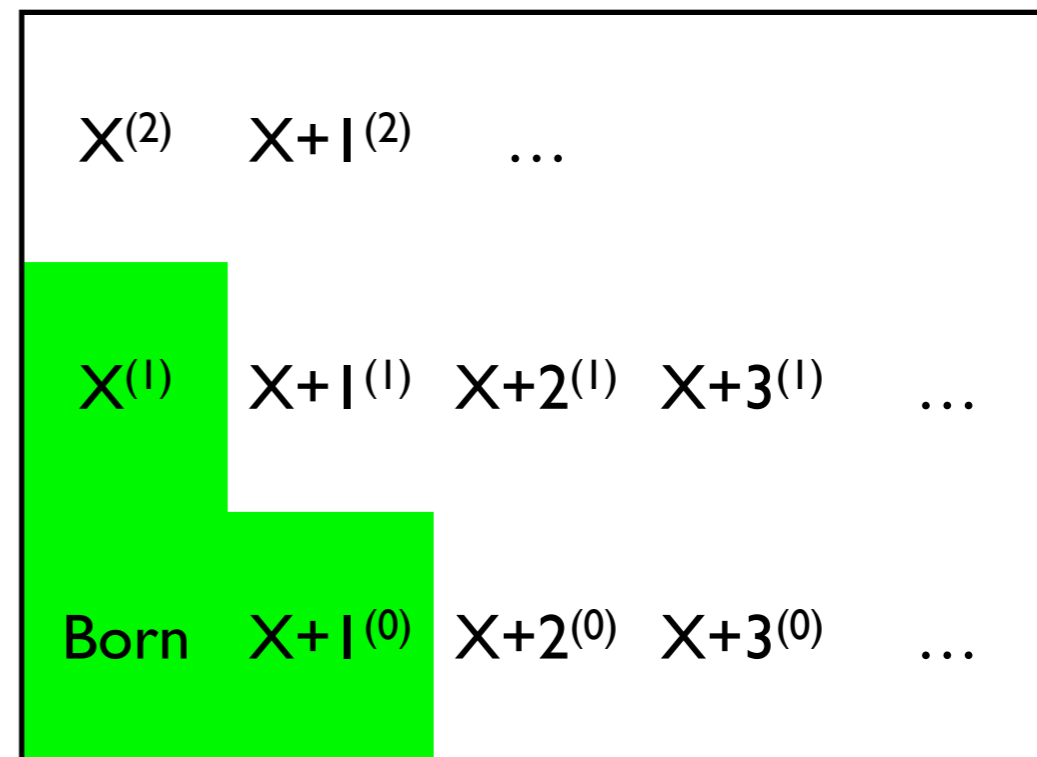


# MC@NLO : Subtraction

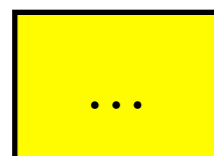
## LO × Shower



## NLO



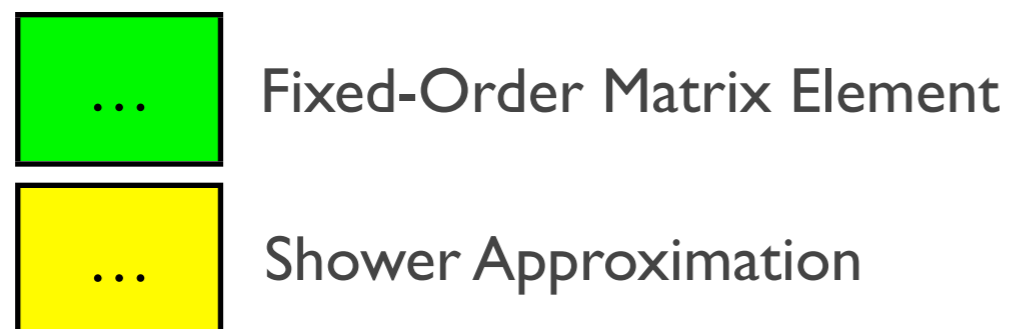
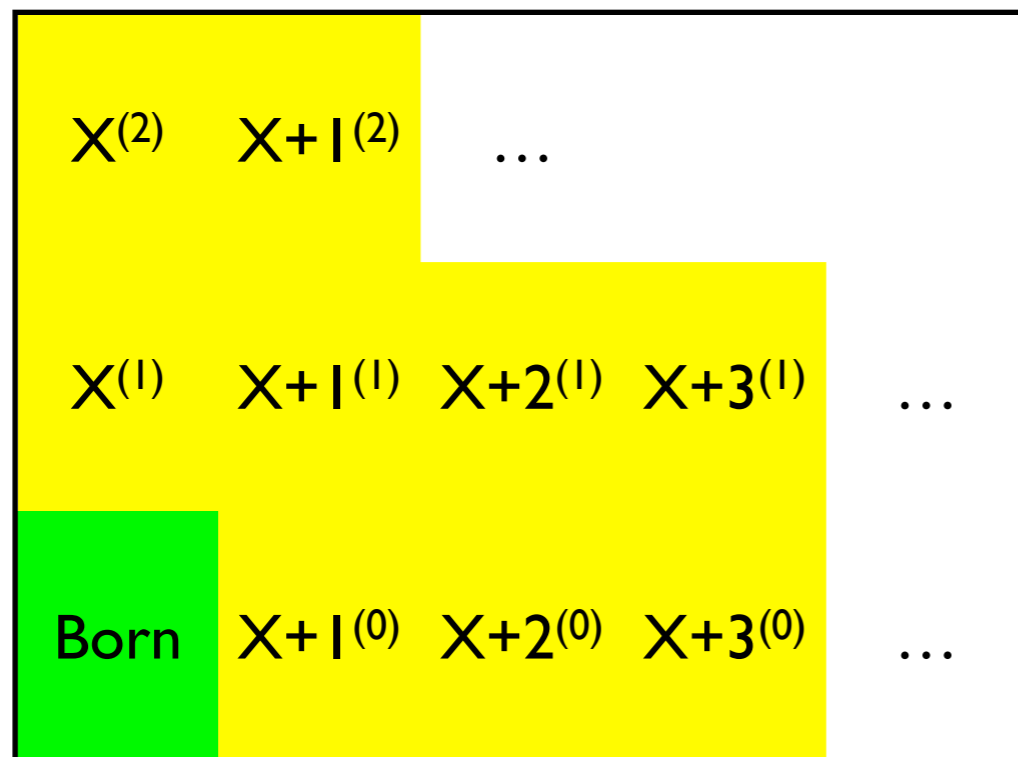
Fixed-Order Matrix Element



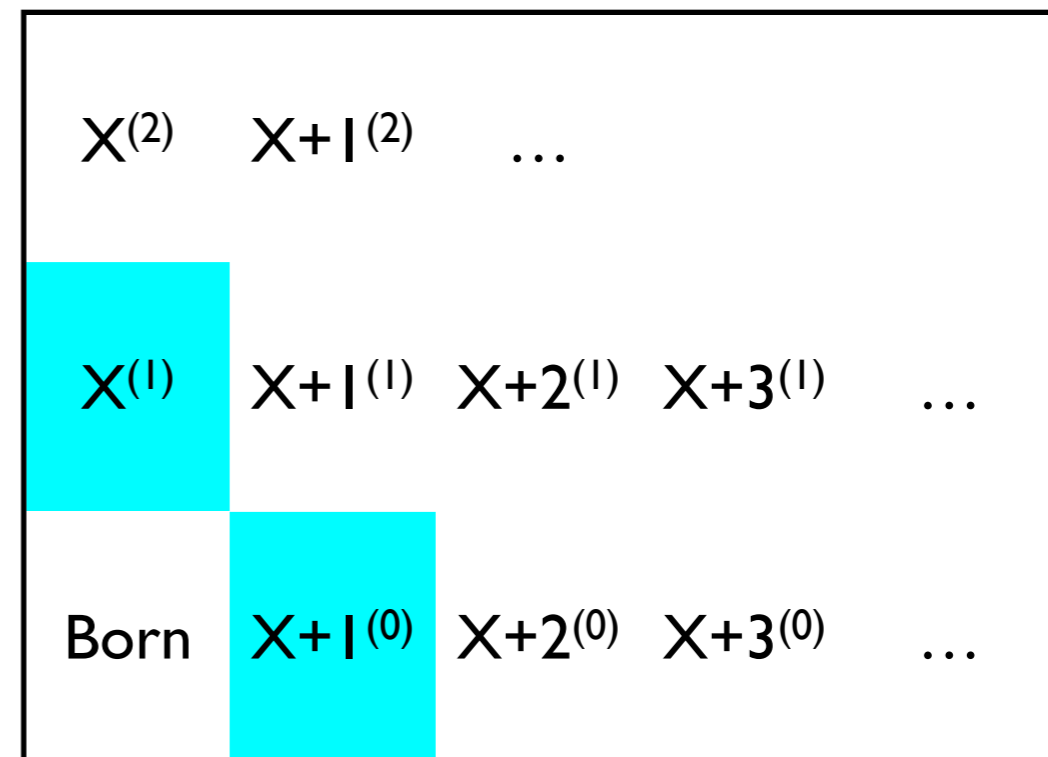
Shower Approximation

# MC@NLO : Subtraction

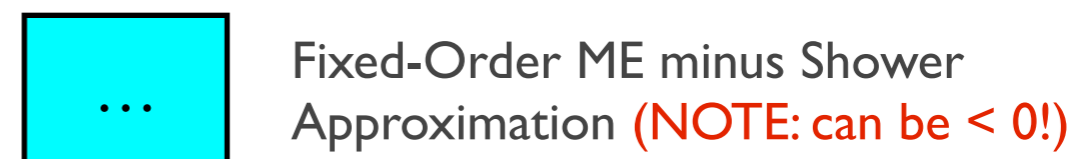
## Born $\times$ Shower



## NLO - Shower<sub>NLO</sub>

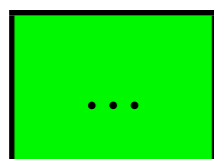
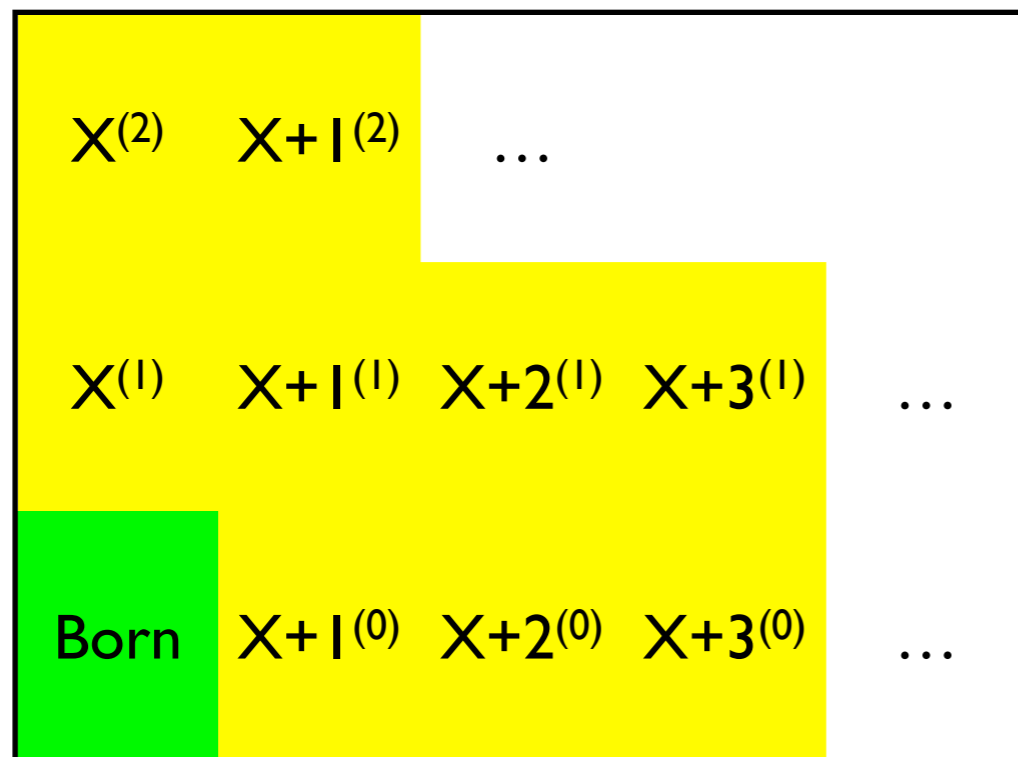


Expand shower approximation to NLO analytically, then subtract:

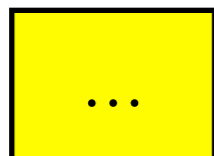


# MC@NLO : Subtraction

## Born $\times$ Shower

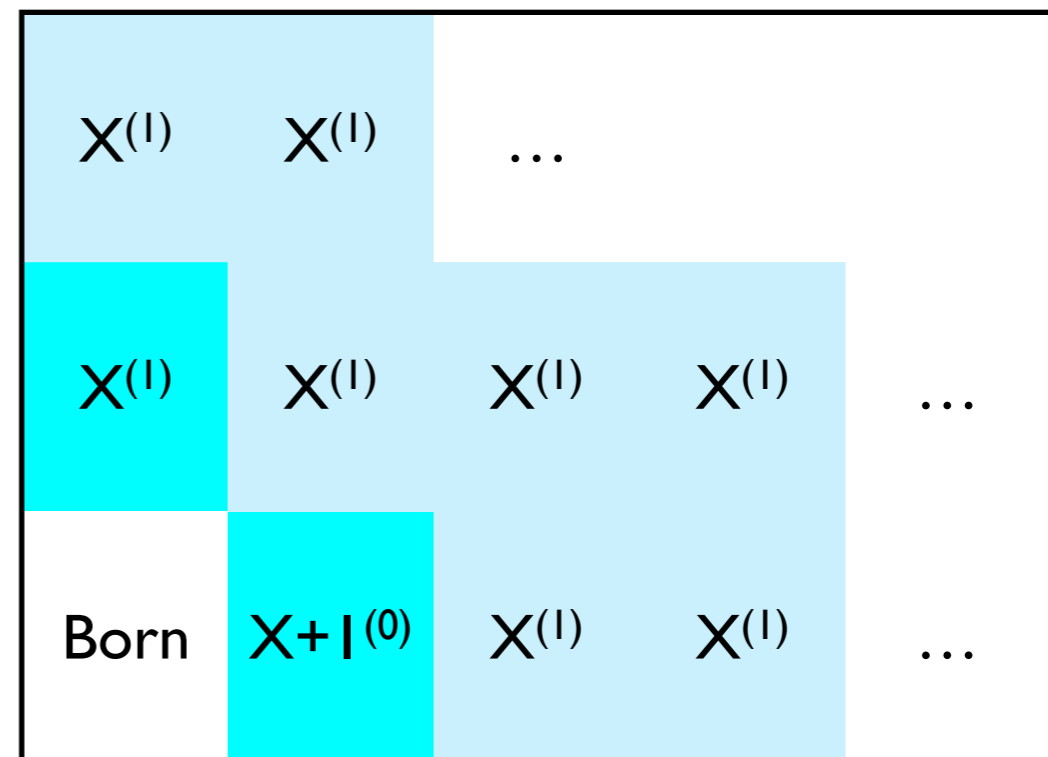


Fixed-Order Matrix Element



Shower Approximation

## (NLO - Shower<sub>NLO</sub>) $\times$ Shower



Fixed-Order ME minus Shower Approximation (**NOTE: can be < 0!**)



Subleading corrections generated by shower off subtracted ME

# MC@NLO : Subtraction

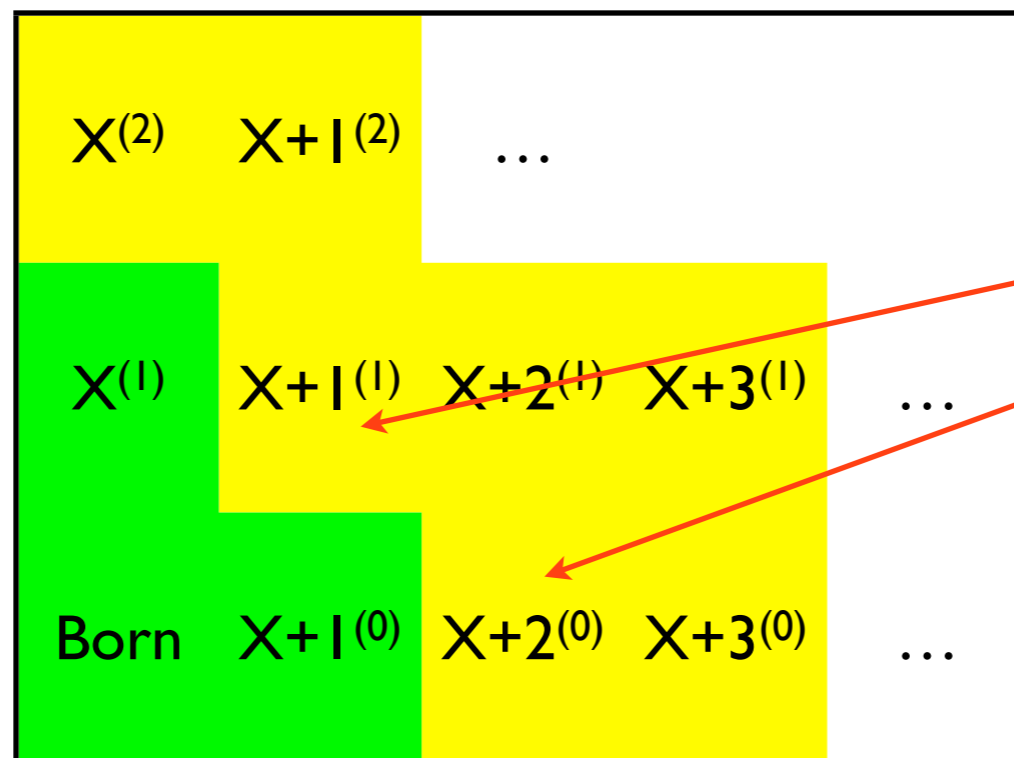
**Combine** → **MC@NLO** Frixione, Webber, JHEP 0206 (2002) 029

Consistent NLO + parton shower (though correction events can have  $w < 0$ )

Recently, has been almost fully automated in aMC@NLO

Frederix, Frixione, Hirschi, Maltoni, Pittau, Torrielli, JHEP 1202 (2012) 048

NLO: for  $X$  inclusive  
LO for  $X+1$   
LL: for everything else



Note 1: NOT NLO for  $X+1$

Note 2: Multijet tree-level matching still superior for  $X+2$

$w < 0$  are a problem because they kill efficiency:

E.g, 1000 positive-weight - 999 negative-weight → statistical precision of 1 event, for 2000 generated

# POWHEG/PYTHIA/VINICIA

## Born × Shower

$$\left| \text{---} \begin{array}{l} \nearrow \\ \searrow \end{array} \right|^2 \left( \mathbf{1} + g_s^2 2C_F \left[ \frac{2s_{ik}}{s_{ij}s_{jk}} + \frac{1}{s_{IK}} \left( \frac{s_{ij}}{s_{jk}} + \frac{s_{jk}}{s_{ij}} \right) \right] + \dots \right)$$

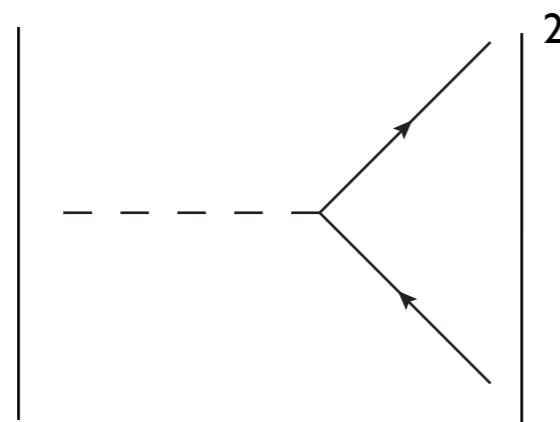
## Born + 1 @ LO

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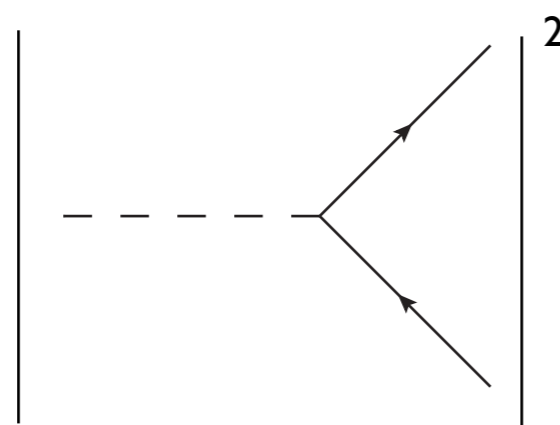
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## Born + I @ LO



$$\left( g_s^2 2C_F \left[ \frac{2s_{ik}}{s_{ij}s_{jk}} + \frac{1}{s_{IK}} \left( \frac{s_{ij}}{s_{jk}} + \frac{s_{jk}}{s_{ij}} + 2 \right) \right] \right)$$

→ Use freedom to choose finite terms

Use process-dependent radiation functions → absorb real correction

# POWHEG/PYTHIA/VINICIA

Bengtsson, Sjöstrand, PLB 185 (1987) 435

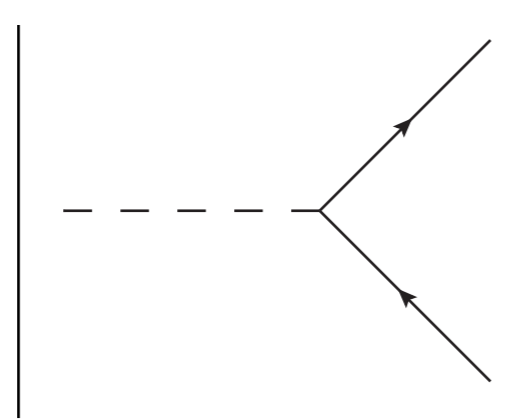
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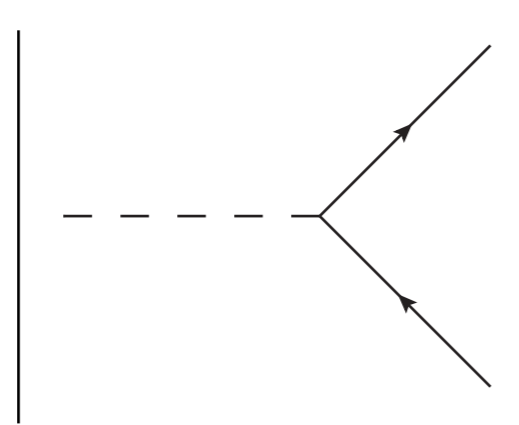
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## Born × First-Order Corrected Shower

Bengtsson, Sjöstrand, PLB 185 (1987) 435

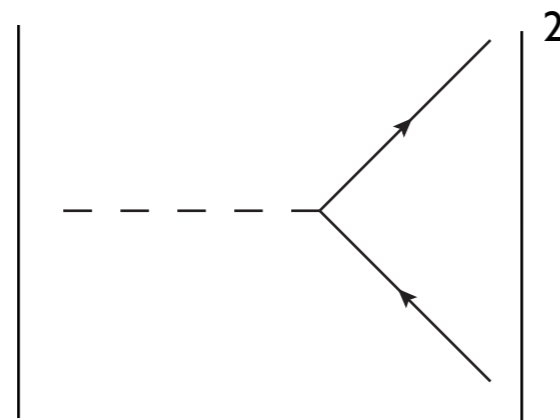
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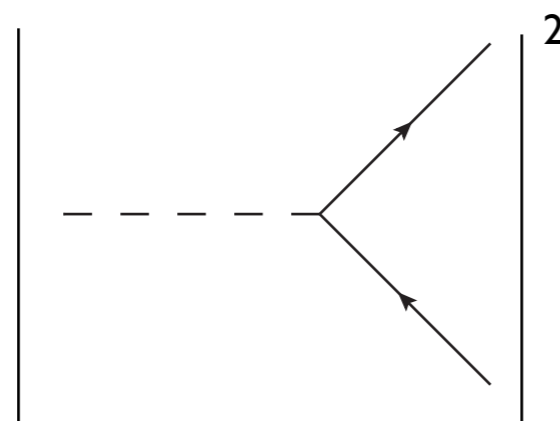
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Bengtsson, Sjöstrand, PLB 185 (1987) 435

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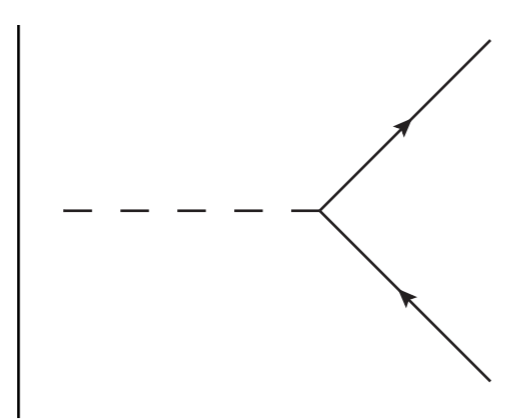
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# POWHEG/PYTHIA/VINICIA

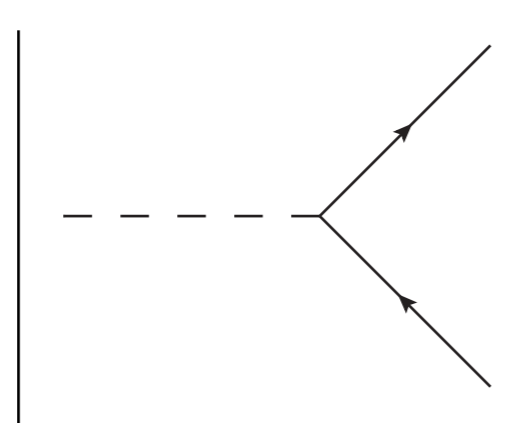
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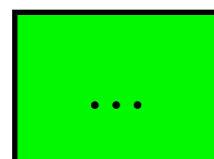
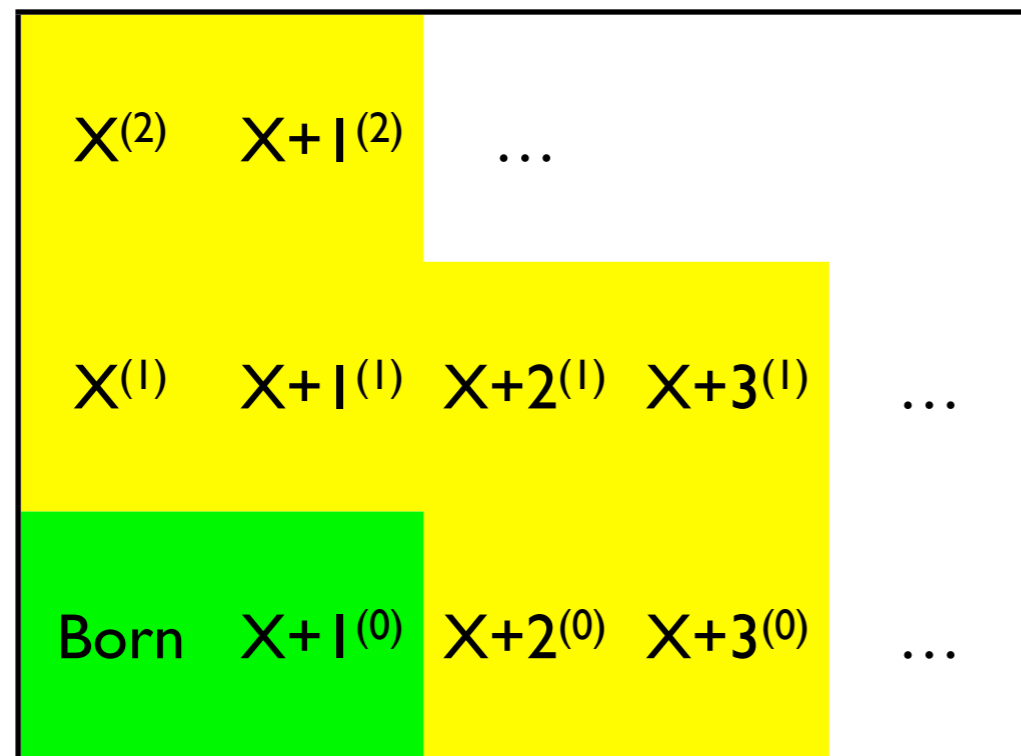
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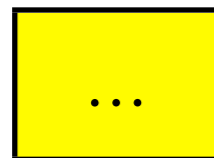
# POWHEG

## Combine w subtracted NLO → POWHEG

Nason, JHEP 0411 (2004) 040



Fixed-Order Matrix Element



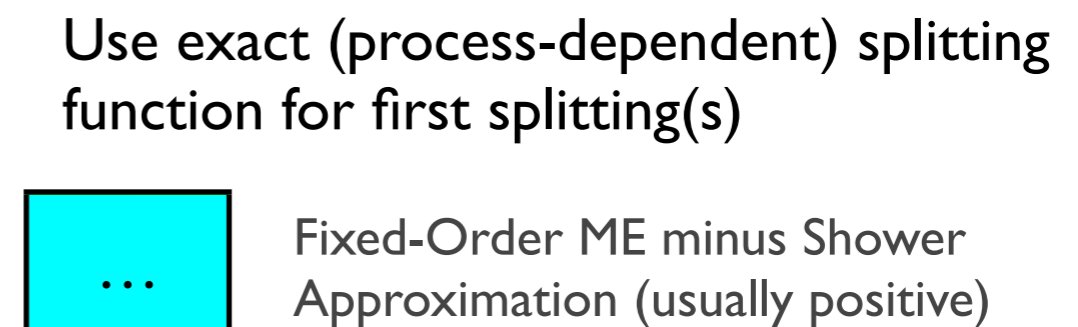
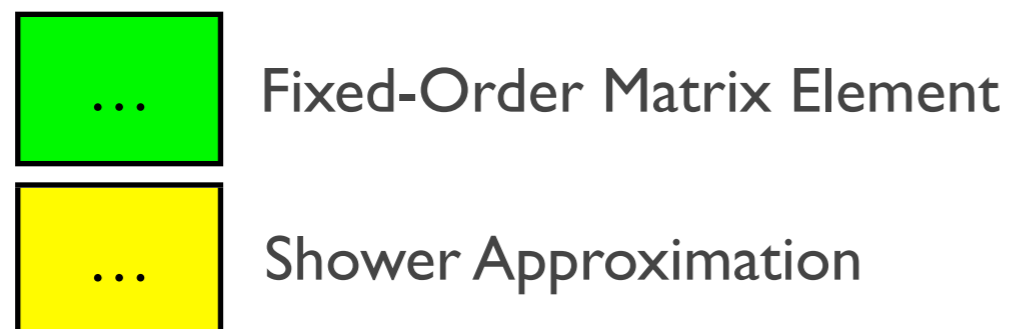
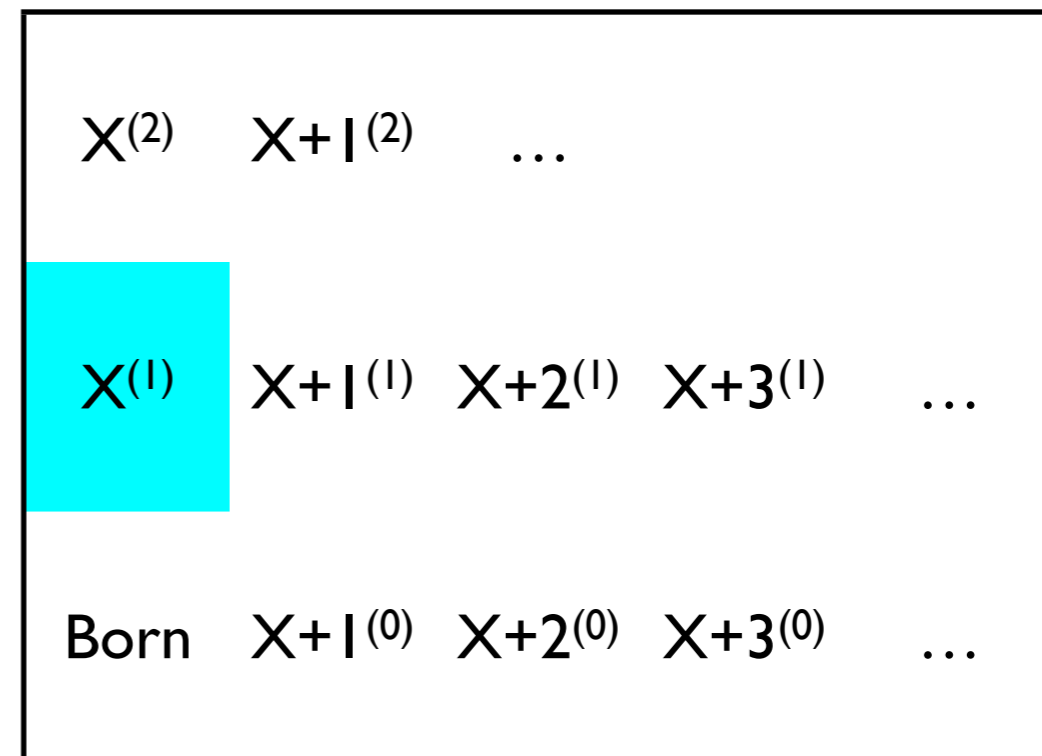
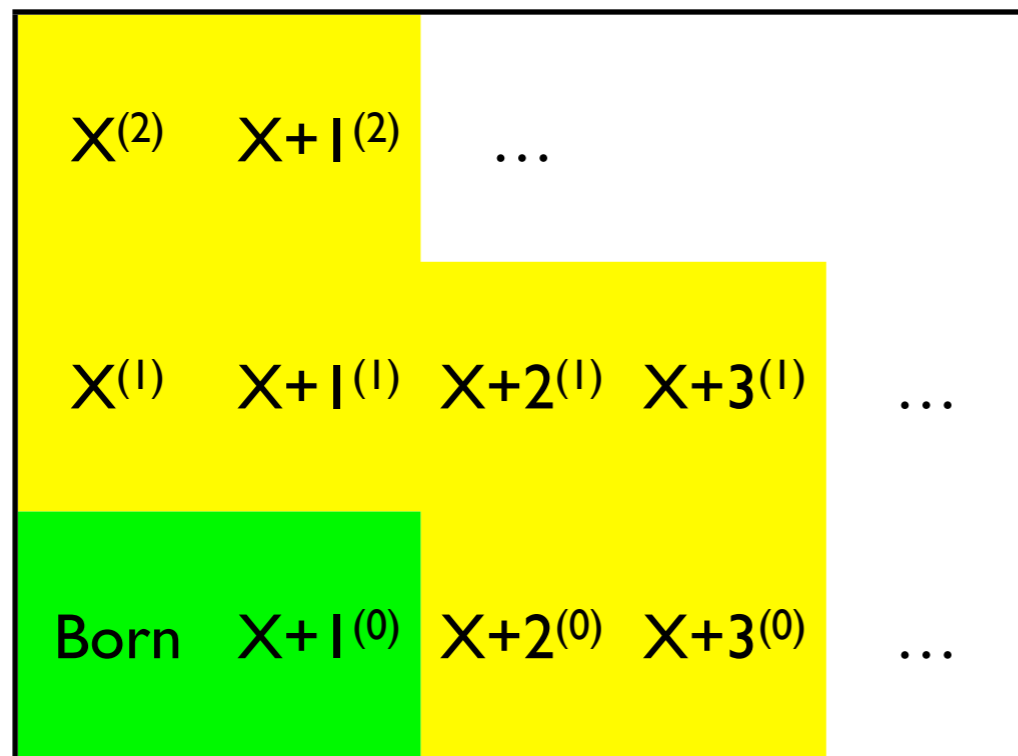
Shower Approximation

Use exact (process-dependent) splitting function for first splitting(s)

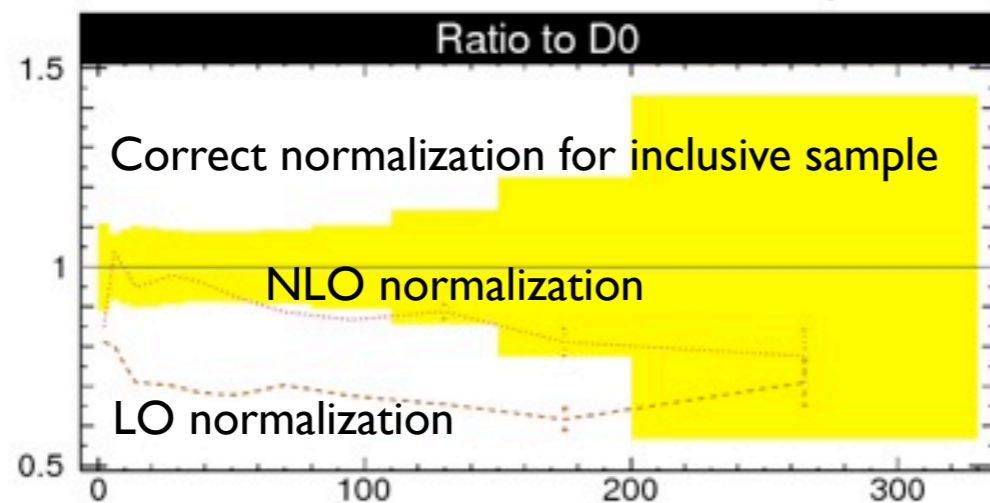
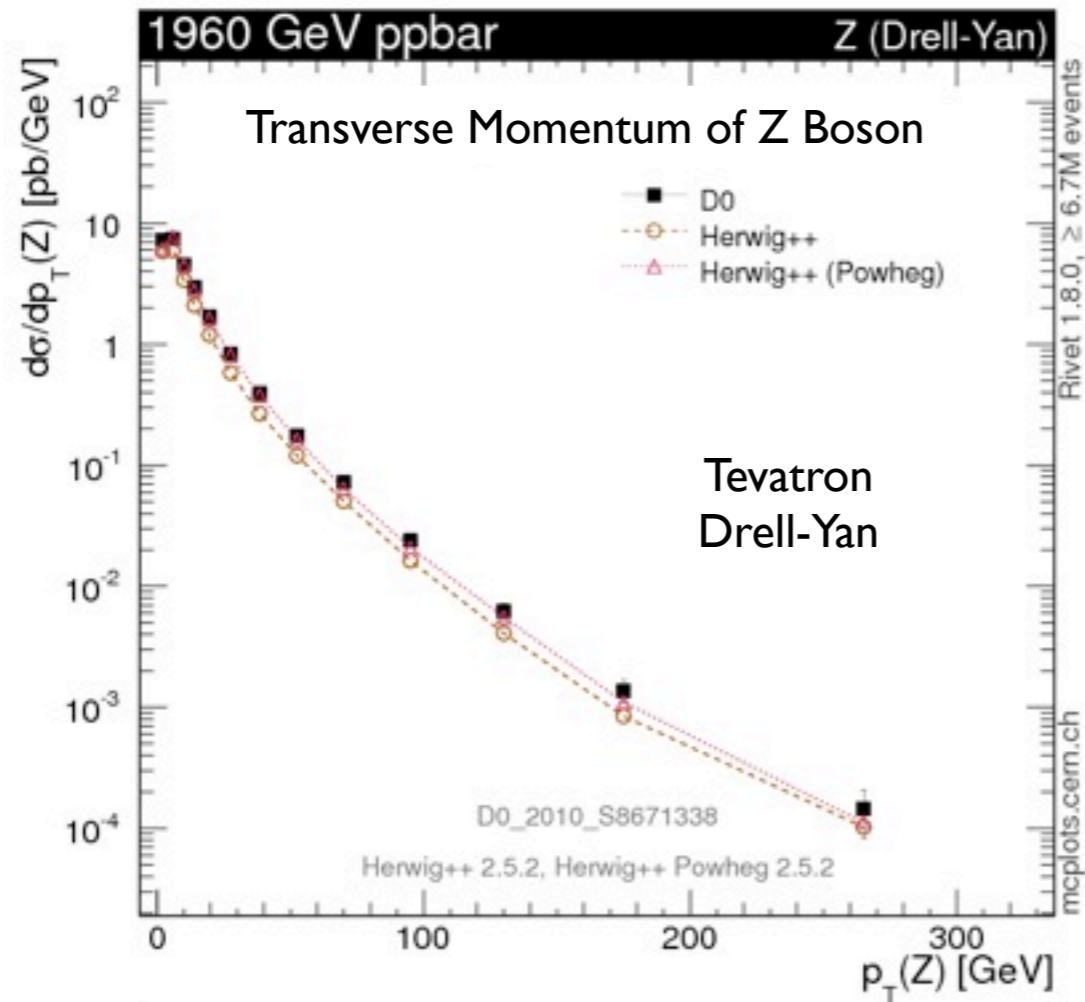
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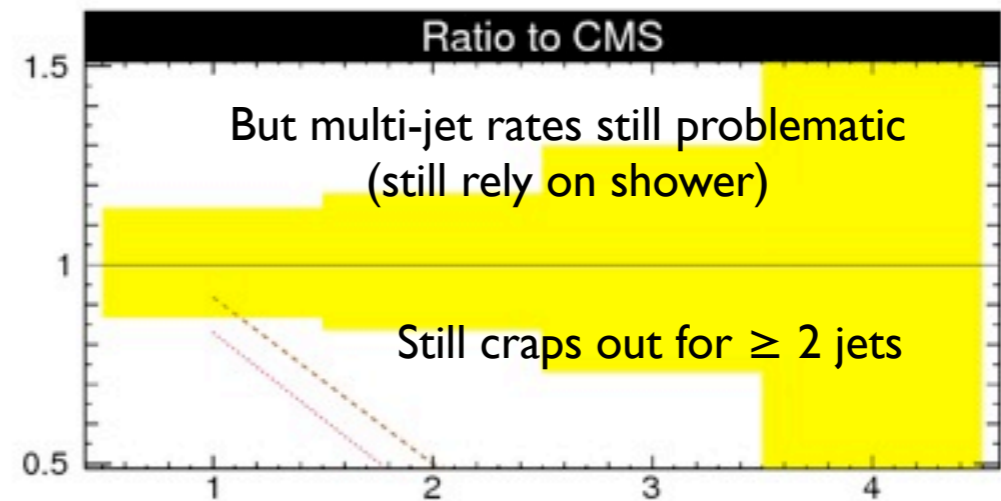
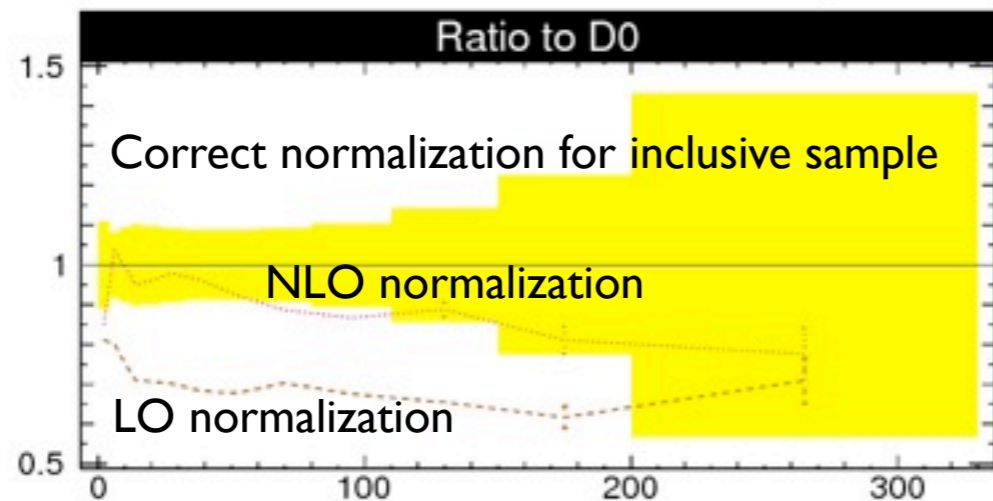
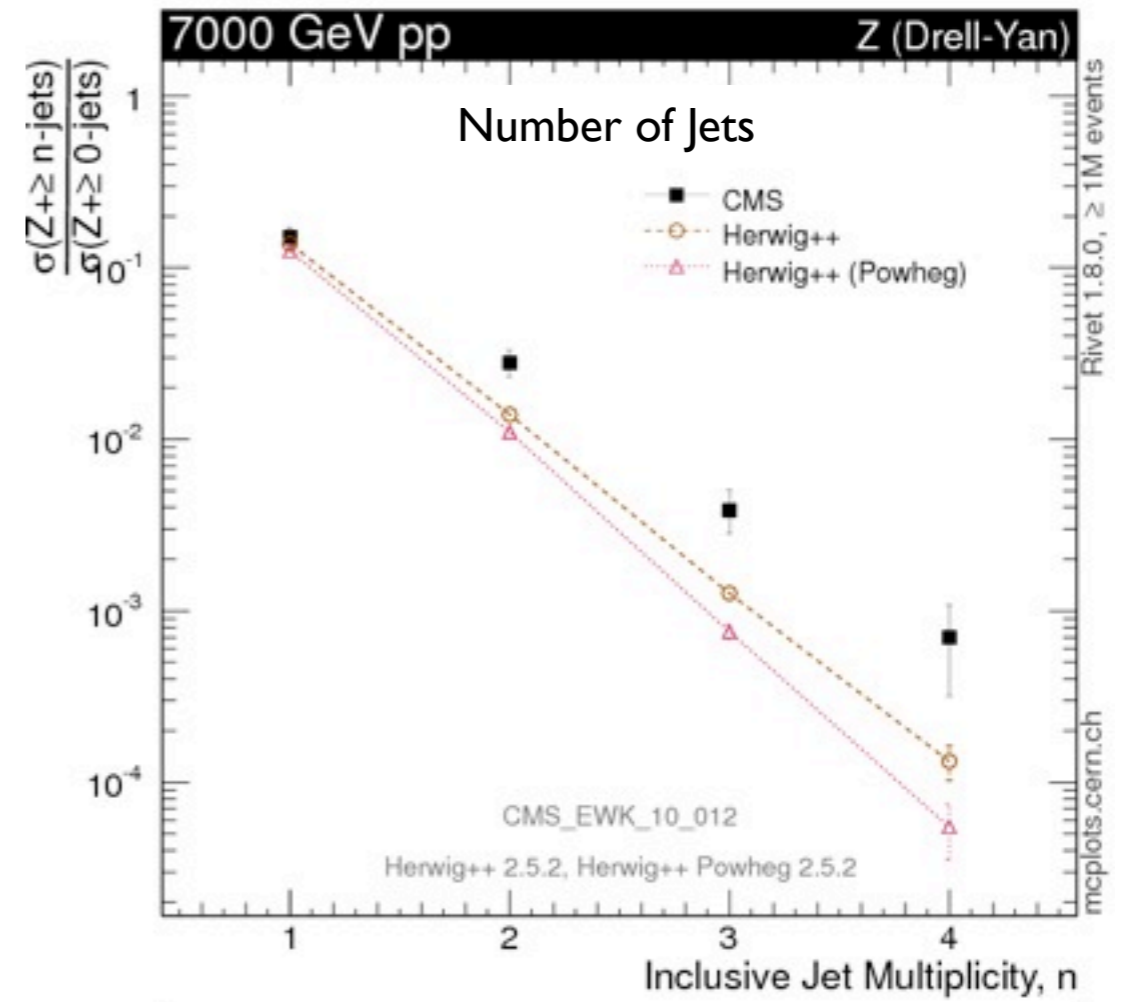
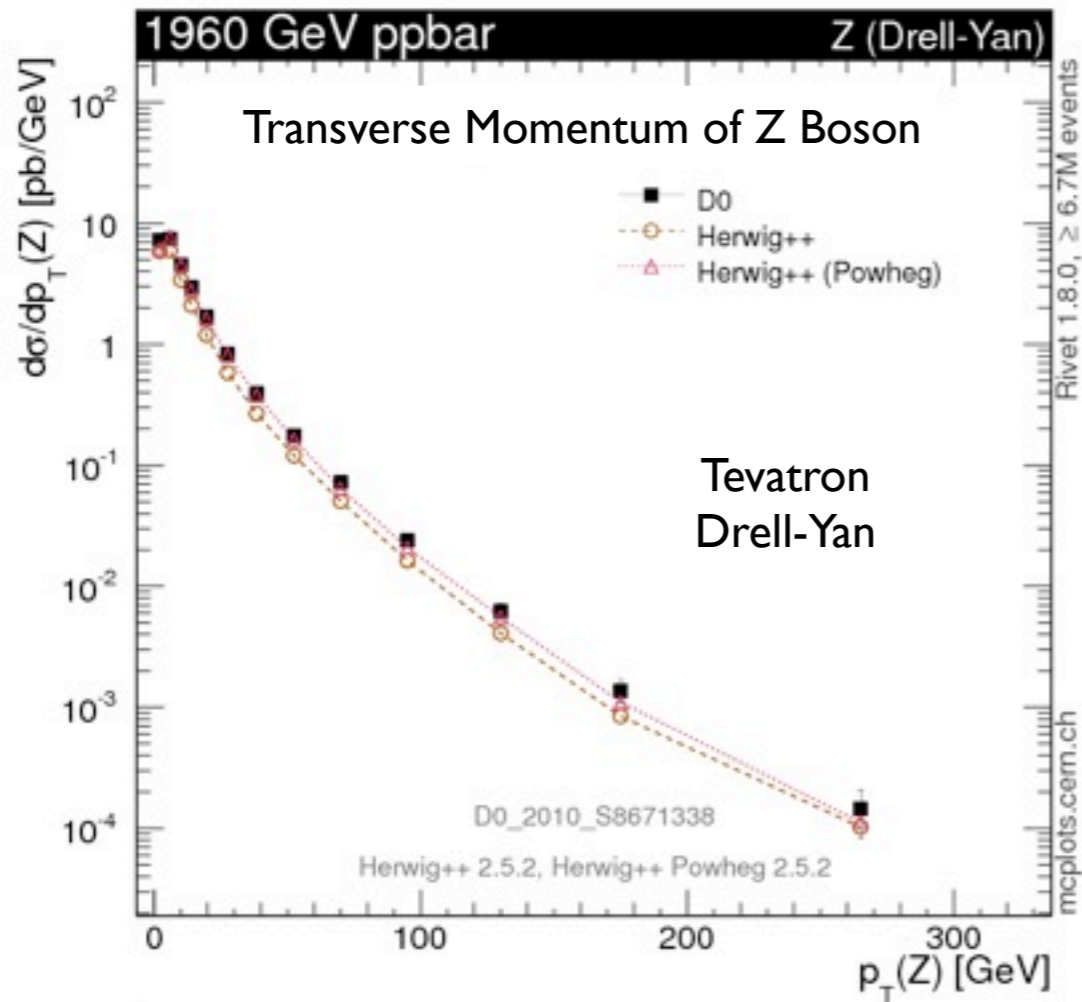


# Classic Example





# Classic Example



# The Problem

## **Tree-level matching** (slicing: CKKW, MLM)

Good for generating Born + several hard jets + shower

But normalization remains LO

## **NLO matching** (MC@NLO or POWHEG)

Good for generating NLO Born + shower

But only has LO precision for Born + 1 jet

Remains pure shower for Born + more jets

**ME-PS matching** → **ONE** calculation to rule them all? Things got better, but still have to choose :(

# The Best of Both?

## Ideal:

Generate entire perturbative series

Use all available NLO amplitudes

When you run out of NLO amplitudes, use LO ones

When you run out of LO amplitudes, use pure shower

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## Yes!

Use parton shower algorithm as phase-space generator

*Knows about singular structure of QCD, so gets dominant approximately right*

Use exact amplitudes as radiation kernels

*Until you run out of amplitudes*

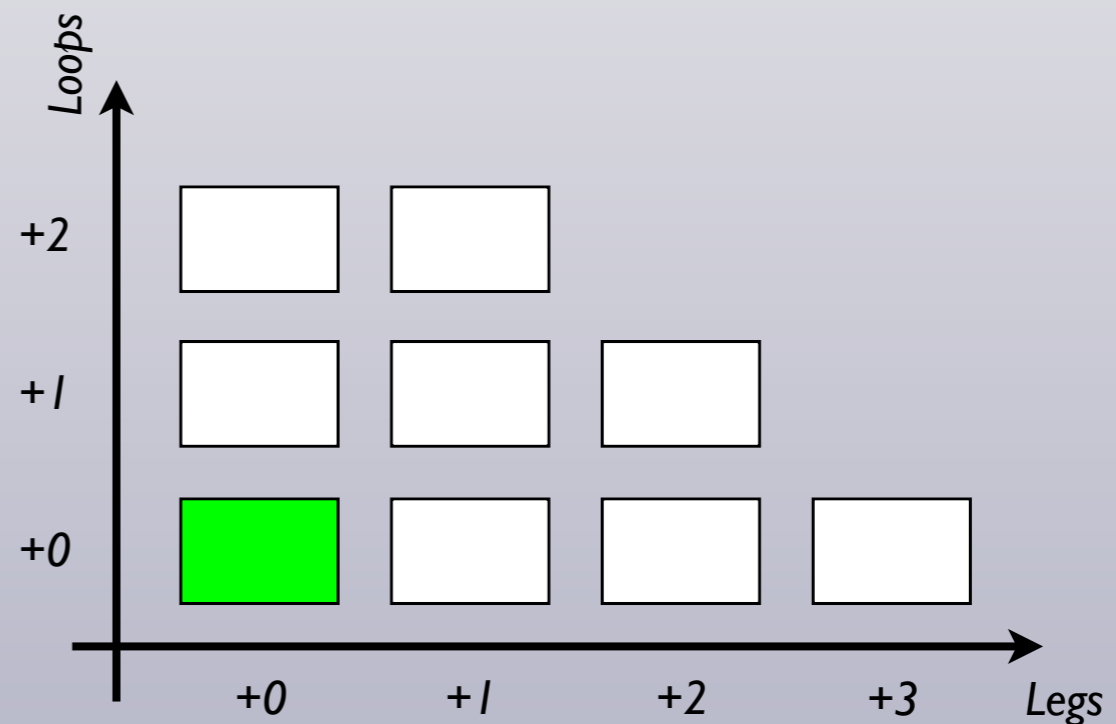
Giele, Kosower, PS, PRD 84 (2011) 054003  
Lopez-Villarejo, PS, JHEP 1111 (2011) 150

# VINCIA: Markovian pQCD\*

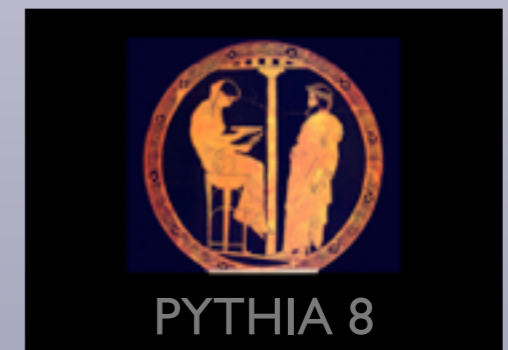
\*)pQCD : perturbative QCD

Start at Born level

$$|M_F|^2$$



+



VINCIA: Giele, Kosower, Skands, PRD78(2008)014026 & PRD84(2011)054003  
+ ongoing work with M. Ritzmann, E. Laenen, L. Hartgring, A. Larkoski, J. Lopez-Villarejo  
PYTHIA: Sjöstrand, Mrenna, Skands, JHEP 0605 (2006) 026 & CPC 178 (2008) 852

Note: other teams working on alternative strategies  
Perturbation theory is solvable → expect improvements

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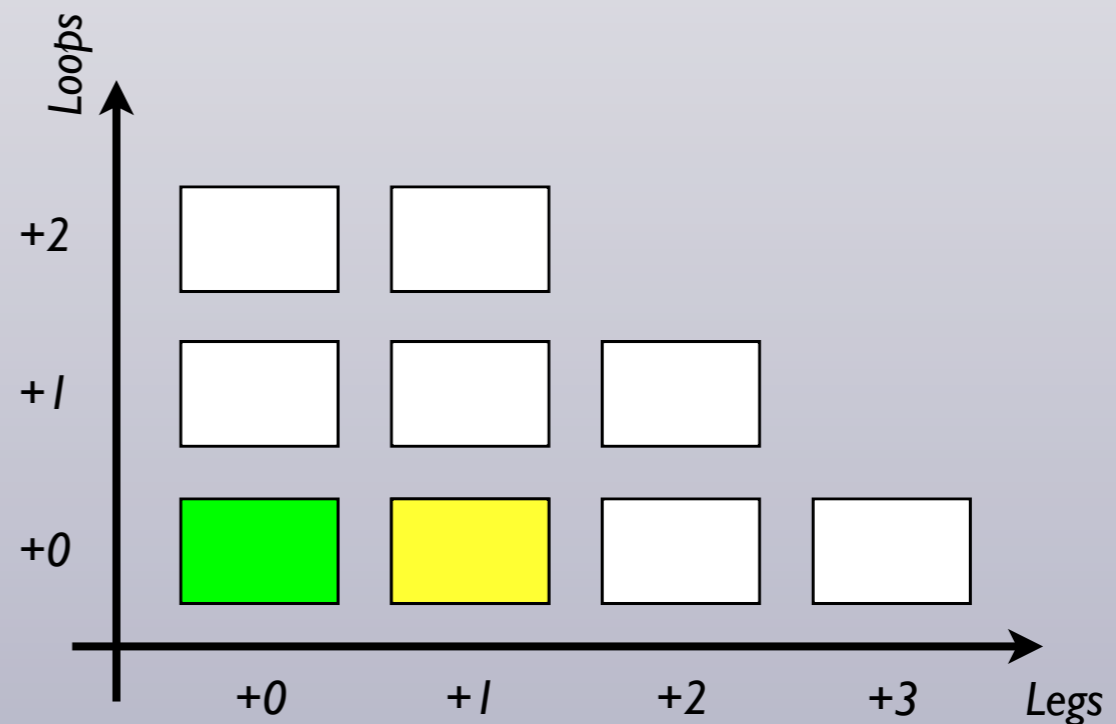
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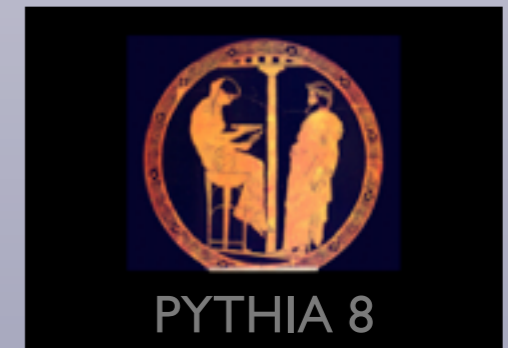
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Generate “shower” emission

$$|M_{F+1}|^2 \stackrel{LL}{\sim} \sum_{i \in \text{ant}} a_i |M_F|^2$$



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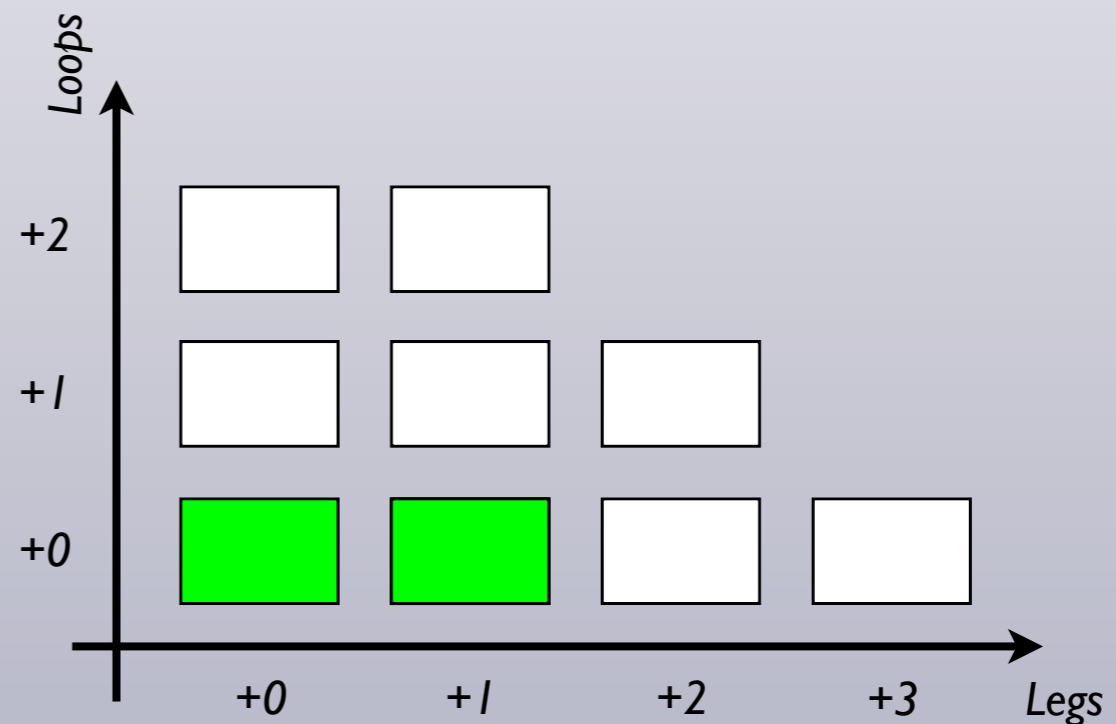
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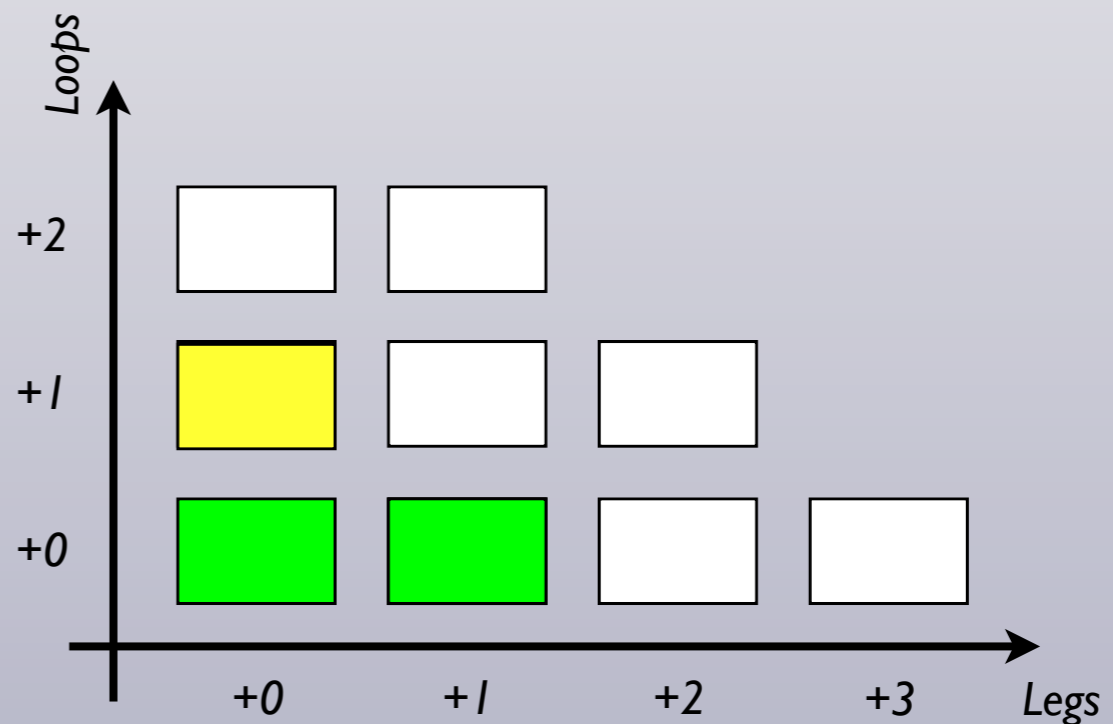
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Unitarity of Shower

$$\text{Virtual} = - \int \text{Real}$$



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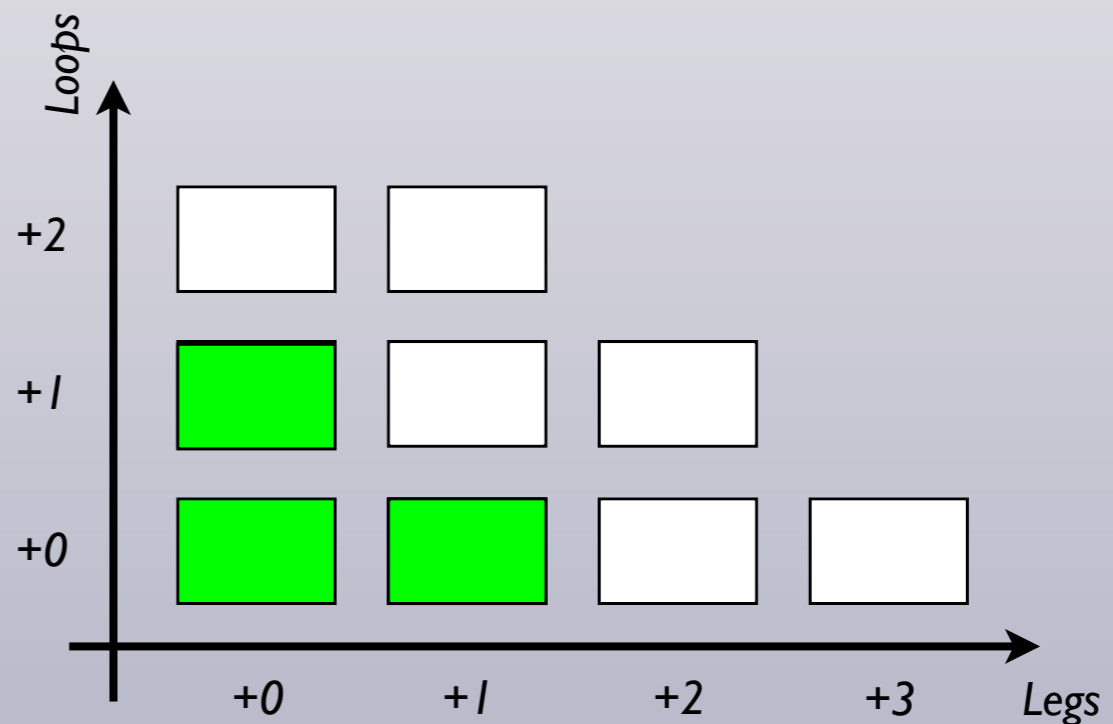
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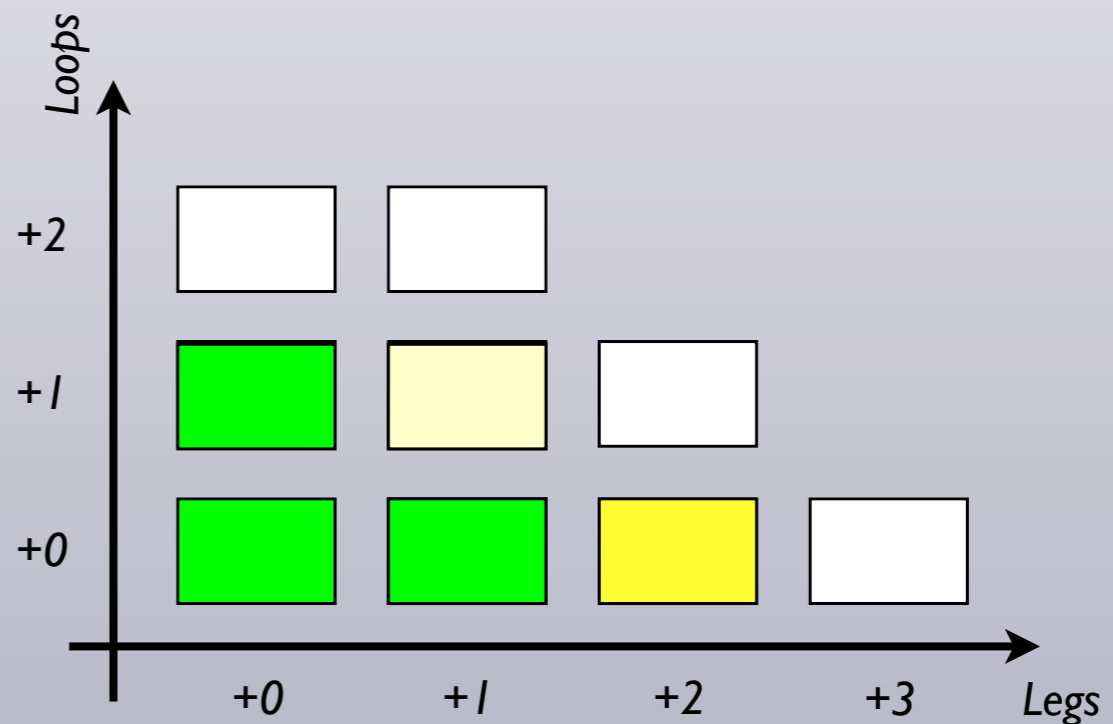
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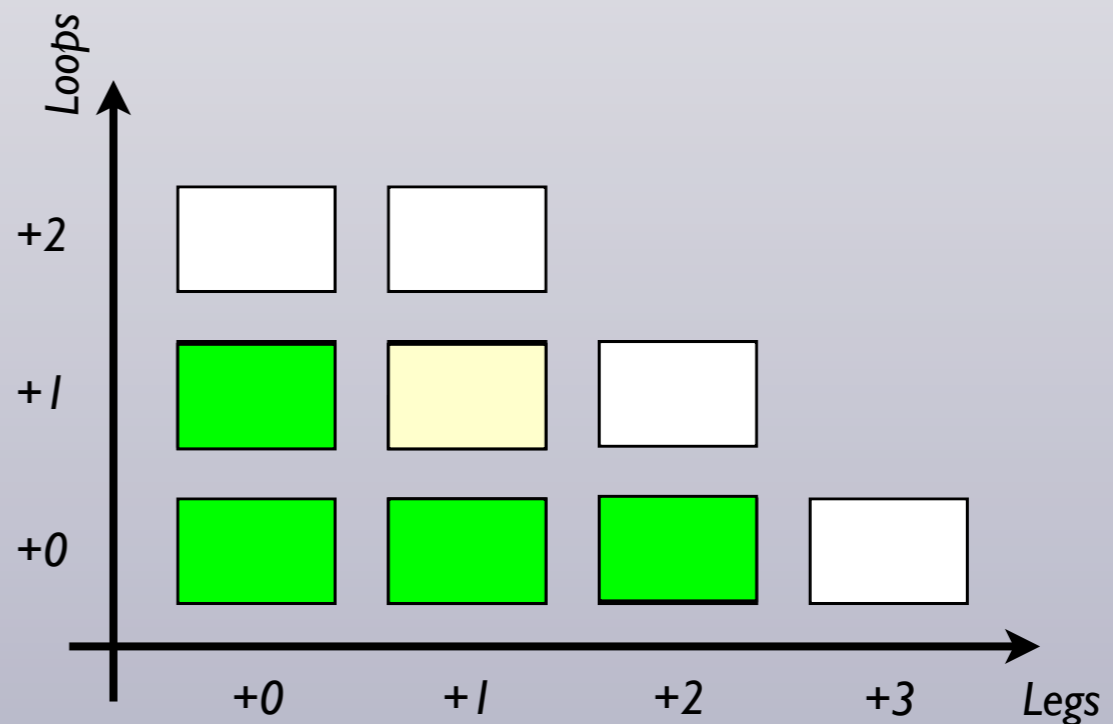
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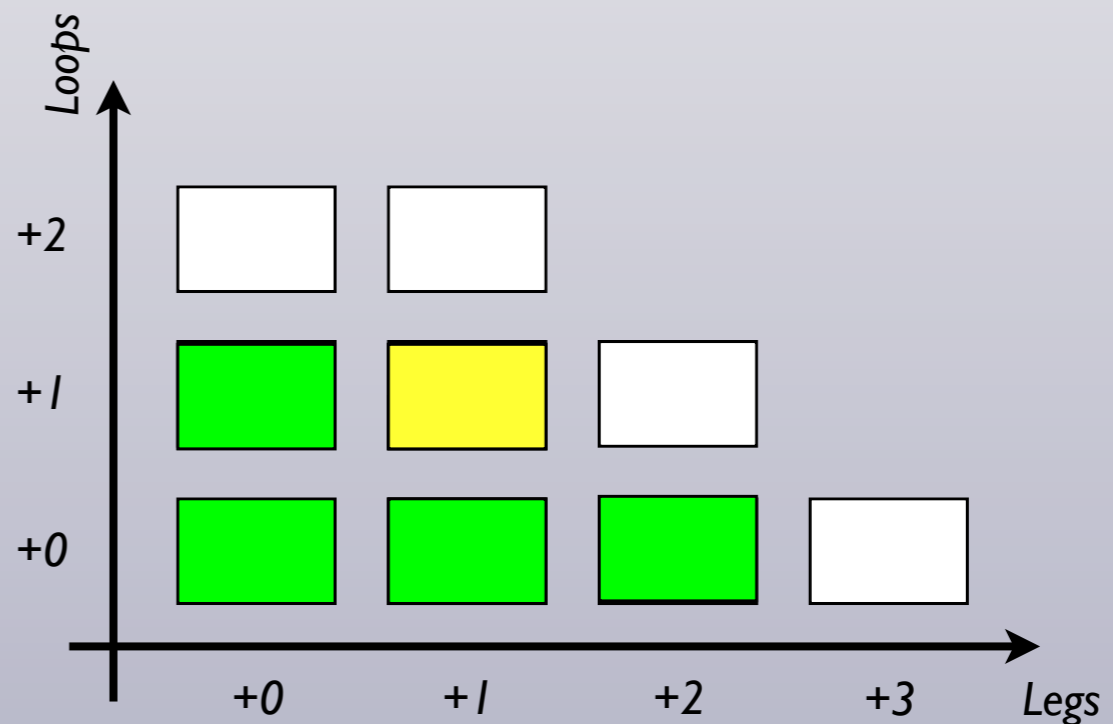
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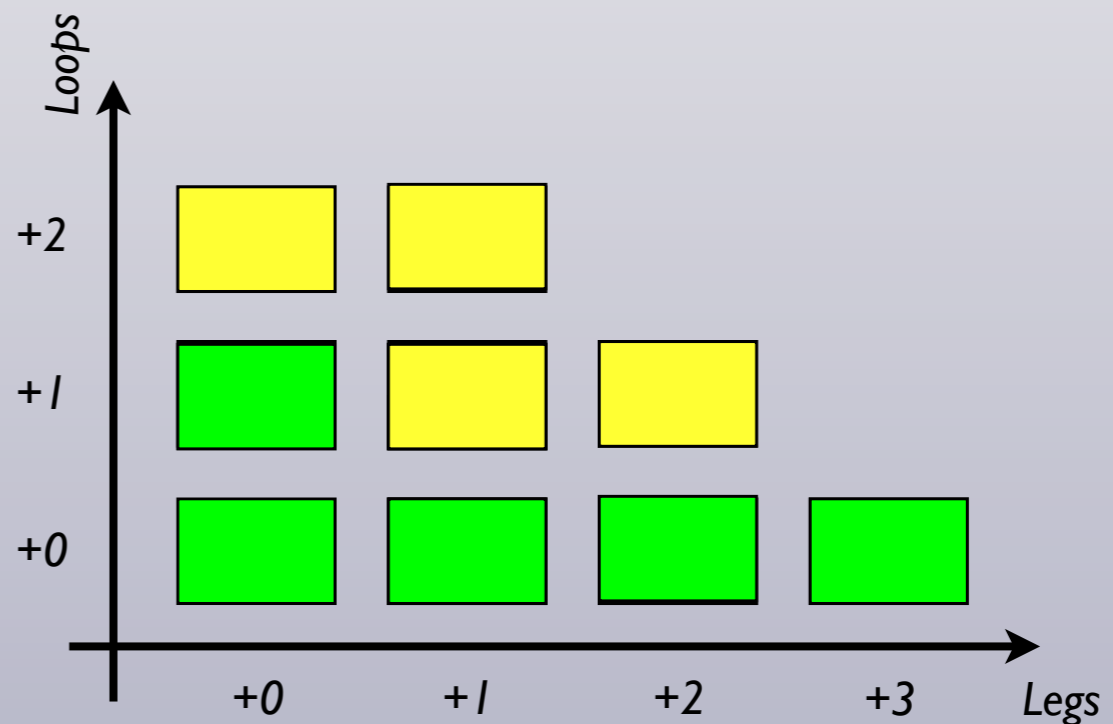
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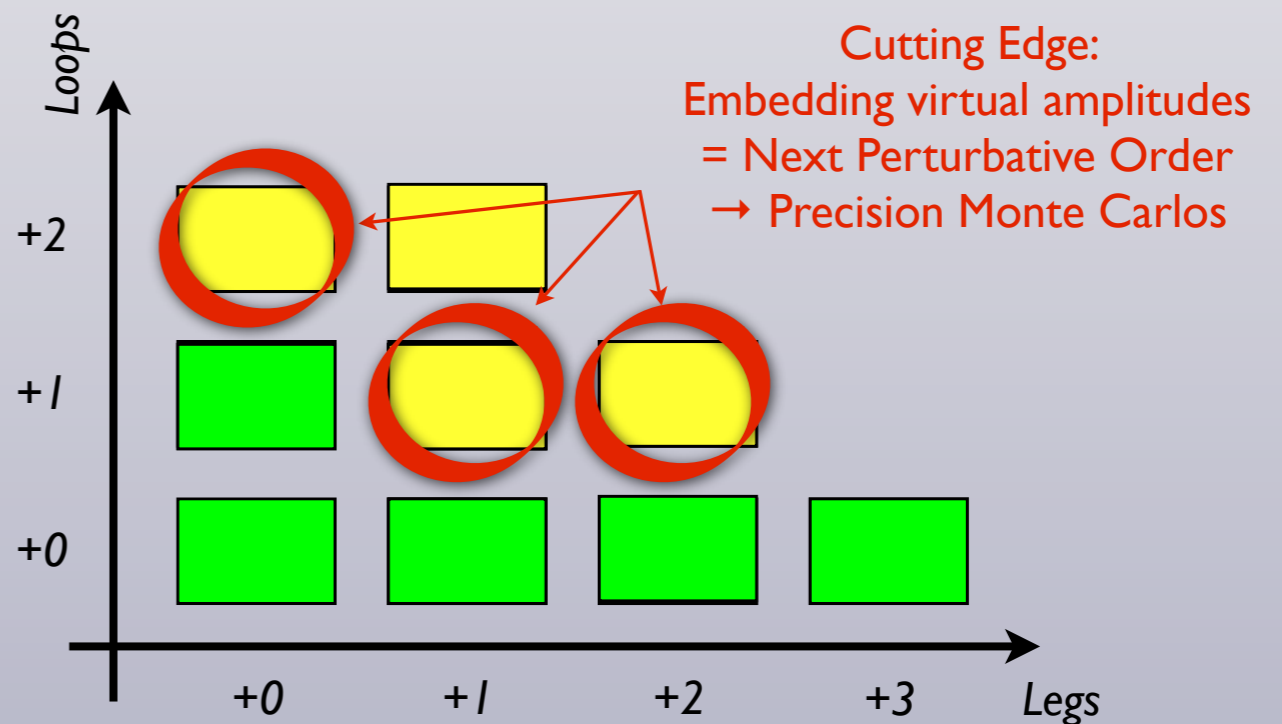
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 PYTHIA: Sjöstrand, Mrenna, Skands, JHEP 0605 (2006) 026 & CPC 178 (2008) 852

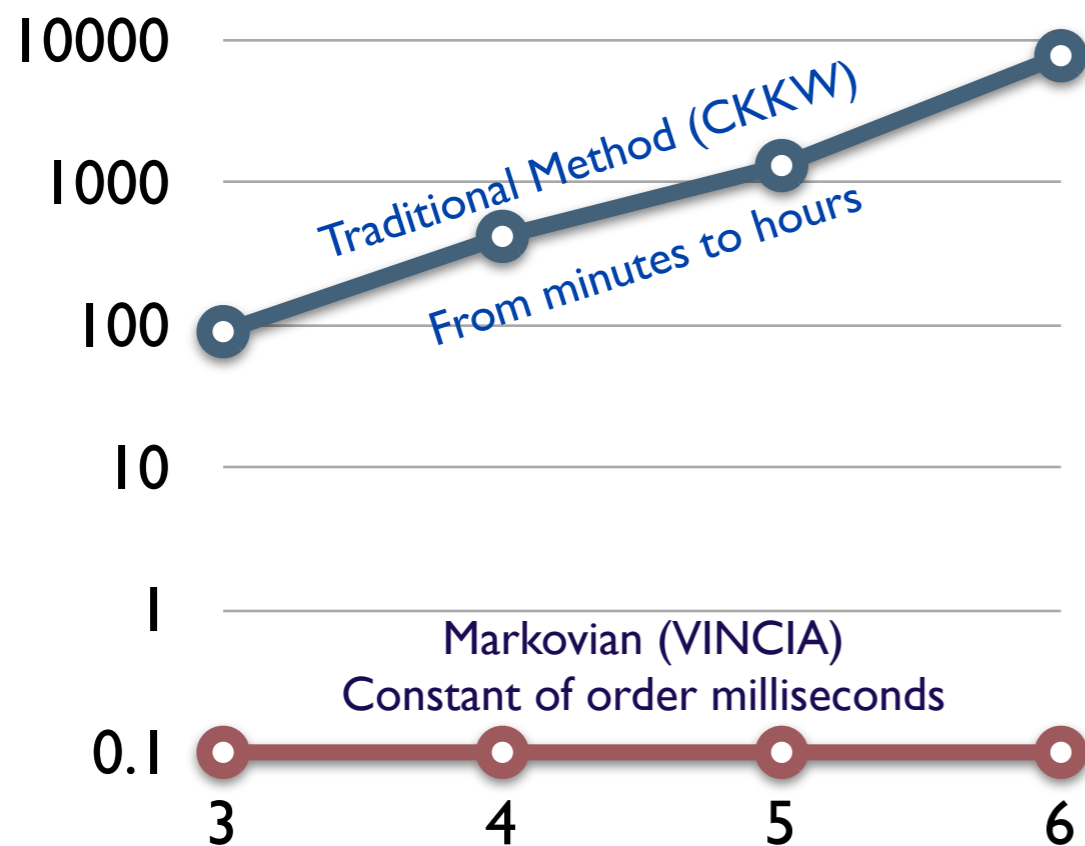
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# Markov+Unitarity: SPEED

(Why I believe Markov + unitarity is the method of choice for complex problems)

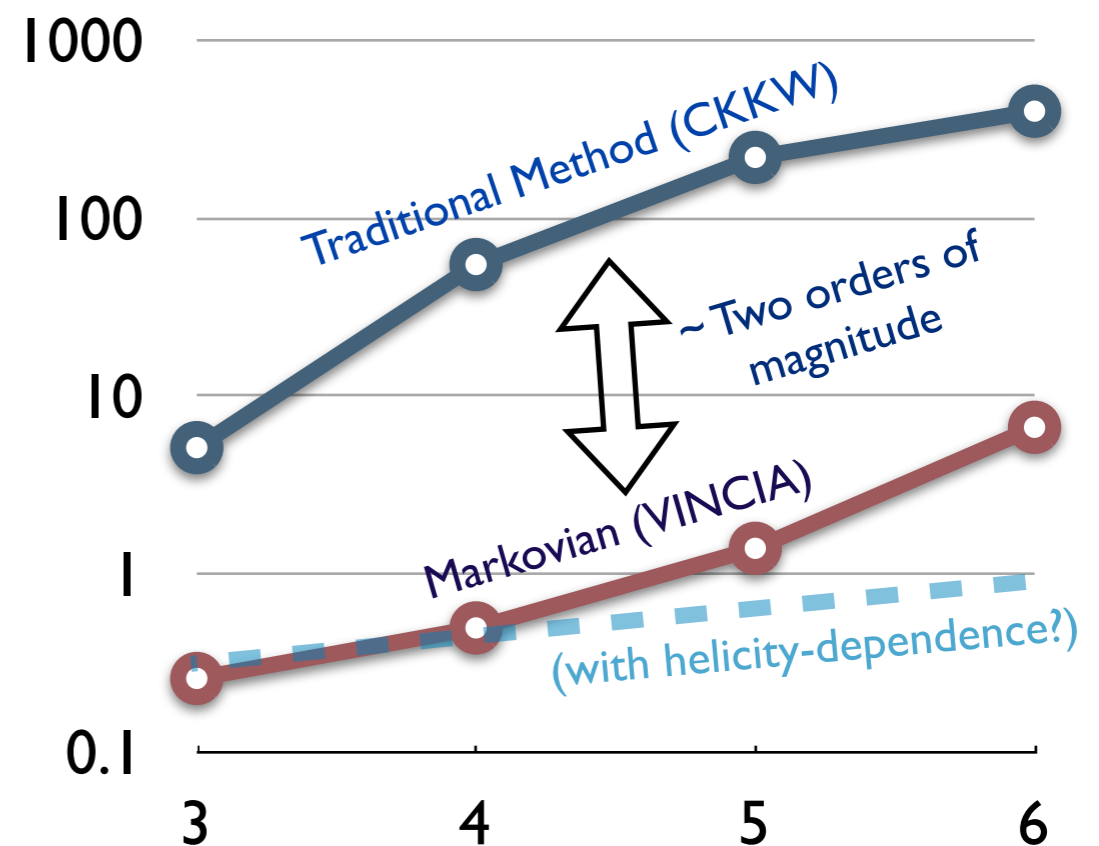
*Efficient Matching with Sector Showers*  
 J. Lopez-Villarejo & PS : JHEP 1111 (2011) 150

Initialization Time  
(seconds)



Matched Number of Legs

Time to Generate 1000  $Z \rightarrow qq$  showers  
(seconds)

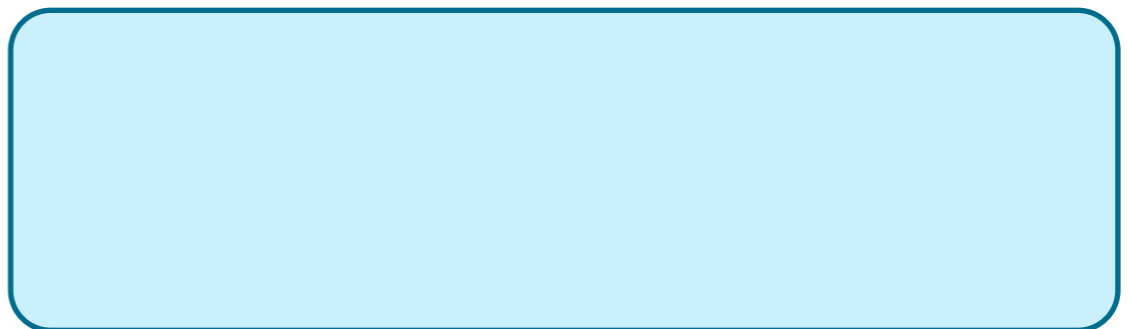


Matched Number of Legs

$Z \rightarrow qq$  ( $q=uds\bar{c}b$ ) + shower. Matched and unweighted. Hadronization off  
 gfortran/g++ with gcc v.4.4 -O2 on single 3.06 GHz processor with 4GB memory

Generator Versions: Pythia 6.425 (Perugia 2011 tune), Pythia 8.150, Sherpa 1.3.0, Vincia 1.026 (without uncertainty bands, NLL/NLC=OFF)

# Approaches on the Market

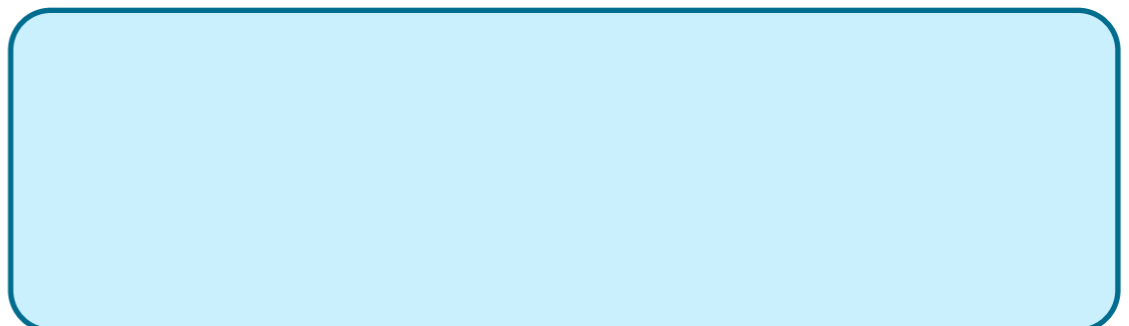




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+ “truncated” showers + HW or PY

## VINCIA + Py

(Still only for Final State)

NLO + multileg with unitarity  
+ dipole-antenna showers



# Matching: Summary

- Shower off  $X$  already contains LL part of all  $X+n$

$$d\sigma_{X+1} \sim 2g^2 d\sigma_X \frac{ds_{a1}}{s_{a1}} \frac{ds_{1b}}{s_{1b}}$$

- Adding back full ME for  $X+n$  would be overkill



**Solution I: “Slicing”** (most widespread)

*HERWIG: Seymour, CPC 90 (1995) 95*  
*ALPGEN, MADGRAPH: MLM*  
*SHERPA: CKKW, JHEP 0111 (2001) 063*  
*ARIADNE: Lönnblad, JHEP 0205 (2002) 046*

Good for generating Born + several hard jets + shower

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**Add** event samples. Use ME above  $p_{Tmatch}$  and PS below it

$$w_X = |M_X|^2 + \text{Shower} \times \text{Veto above } p_{Tmatch}$$

$$w_{X+m<n} = |M_{X+1}|^2 \times \Delta_{X+1} + \text{Shower} \times \text{Veto above } p_{Tmatch}$$

$$w_{X+n} = |M_{X+n}|^2 \times \Delta_{X+n} + \text{Shower}$$

**MULTILEG:**  
Only CKKW and MLM

HERWIG: for  $X+1$  @ LO (Used to populate dead zone of angular-ordered shower)

CKKW & MLM : for all  $X+n$  @ LO (with  $n$  up to 3-4)

SHERPA (CKKW), ALPGEN (MLM + HW/PY), MADGRAPH (MLM + HW/PY),  
PYTHIA8 (CKKW-L from LHE files), ...

**Good for generating Born + several hard jets + shower**

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+ many more recent ...*

Good for generating NLO Born + shower

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*Frixione-Webber (MC@NLO), JHEP 0206 (2002) 029  
+ many more recent ...*

**Add** event samples, with modified weights

$$w_X = |M_X|^2 ( 1 + (NLO - Shower\{w_X\}) ) \quad + Shower$$

$$w_{X+1} = |M_{X+1}|^2 - Shower\{w_X\} \quad + Shower$$

MC@NLO: for  $X+1$  @ LO and  $X$  @ NLO (note: correction can be negative)

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Good for generating NLO Born + shower

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## Solution 3: “Unitarity”

*Bengtsson-Sjöstrand (Pythia), PLB 185 (1987) 435 + more  
Bauer-Tackmann-Thaler (GenEva), JHEP 0812 (2008) 011  
Giele-Kosower-Skands (Vincia), PRD84 (2011) 054003*

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One event sample

$$w_X = |M_X|^2 \quad + \textit{Shower}$$

Make a “course correction” to the shower at each order

$$R_{X+1} = |M_{X+1}|^2 / \textit{Shower}\{w_X\} \quad + \textit{Shower}$$

$$R_{X+n} = |M_{X+n}|^2 / \textit{Shower}\{w_{X+n-1}\} \quad + \textit{Shower}$$

**Only VINCIA**

PYTHIA: for  $X+1$  @ LO (for color-singlet production and ~ all SM and BSM decay processes)

POWHEG: for  $X+1$  @ LO and  $X$  @ NLO (note: positive weights)  $\begin{matrix} \swarrow \\ \rightarrow \\ \searrow \end{matrix}$  POWHEG Box  
HERWIG++  
...

VINCIA: for all  $X+n$  @ LO and  $X$  @ NLO (only worked out for decay processes so far)

# LHC@home 2.0

Test4Theory - A Virtual Atom Smasher



<http://lhathome2.cern.ch/>

Helping to crunch numbers for the mcplots.cern.ch web site  
Next large calculation attempt: NNLO top pair production

# Additional Slides



# Vetoed Parton Showers

(used in Phase Space Slicing, a.k.a. CKKW or MLM matching)

## Common (at ME level):

1. Generate one ME sample for each of  $\sigma_n(p_{T\text{cut}})$  (using large, fixed  $\alpha_{s0}$ )
2. Use a jet algorithm (e.g.,  $k_T$ ) to determine an approximate shower history for each ME event
3. Construct the would-be shower  $\alpha_s$  factor and reweight

$$w_n = \text{Prod}[\alpha_s(k_{Ti})] / \alpha_{s0}^n$$

→ “Renormalization-improved” ME weights

## CKKW and CKKW-L

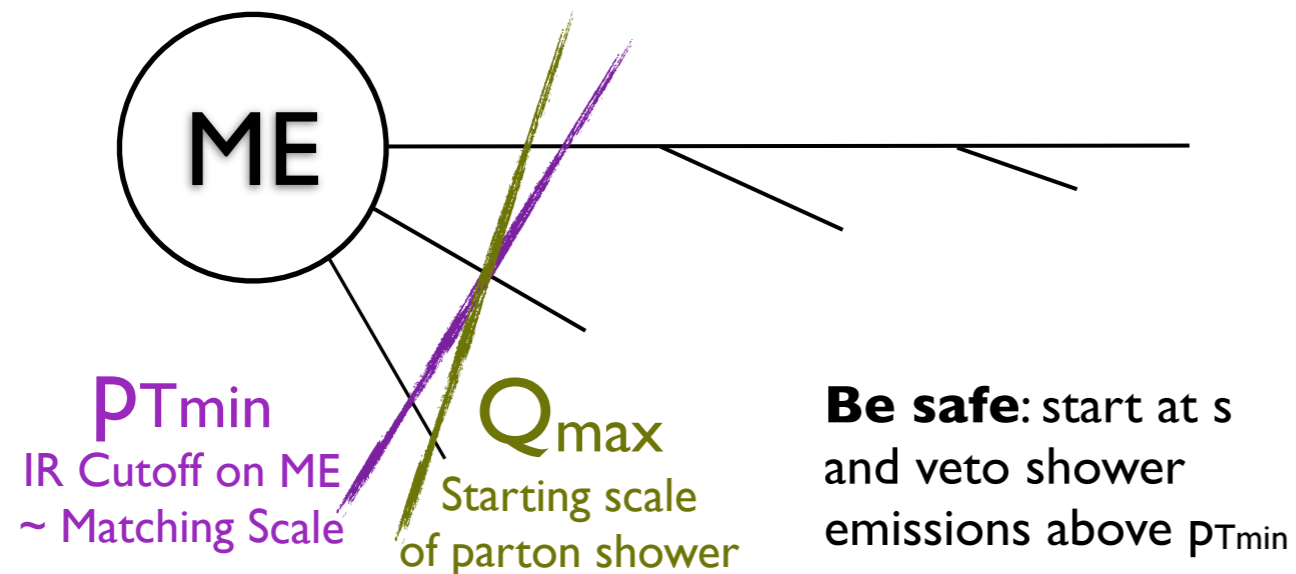
1. Apply Sudakov  $\Delta(t_{\text{start}}, t_{\text{end}})$  for each reconstructed internal line (NLL for CKKW, trial-shower for CKKW-L)
2. Accept/Reject:  $w_n \times = \text{Prod}[\Delta_i]$
3. Do parton shower, vetoing any emissions above cutoff

## MLM

1. Do normal parton showers
2. Cluster showered event (cone)
3. Match ME partons to jets
4. If (all partons matched &&  $n_{\text{partons}} == n_{\text{jets}}$ ) Accept : Reject;

# Scales: the devil in the details 1

**Clean Slicing:** Shower **Starts** at ME **cutoff** scale (=matching scale)

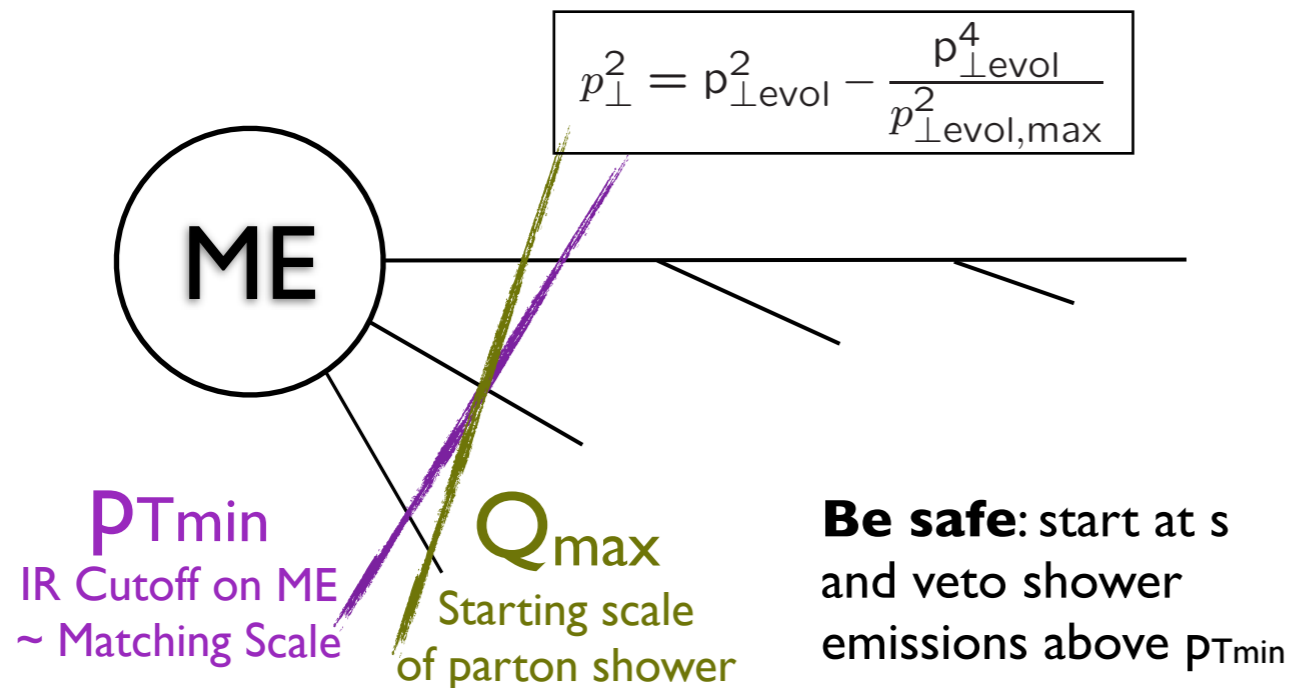


**But** ME cut not necessarily = shower evolution variable (even if shower ordered in  $p_T$ )

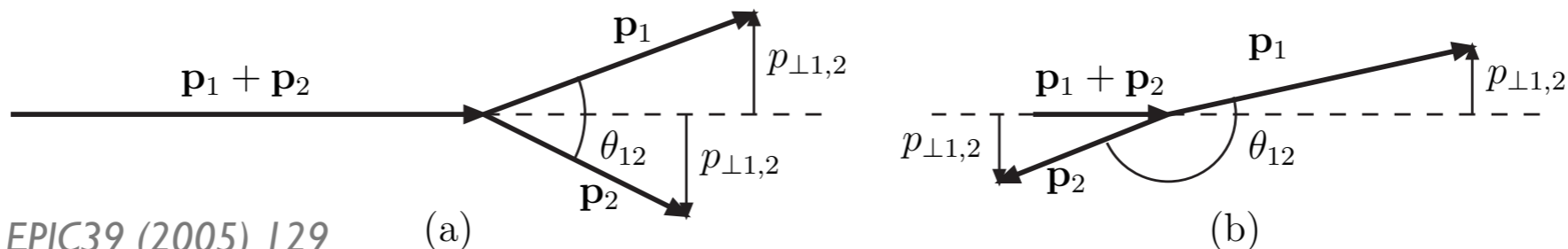
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Example: PYTHIA uses  $p_{Tevol} \sim \text{lightcone } p_T$



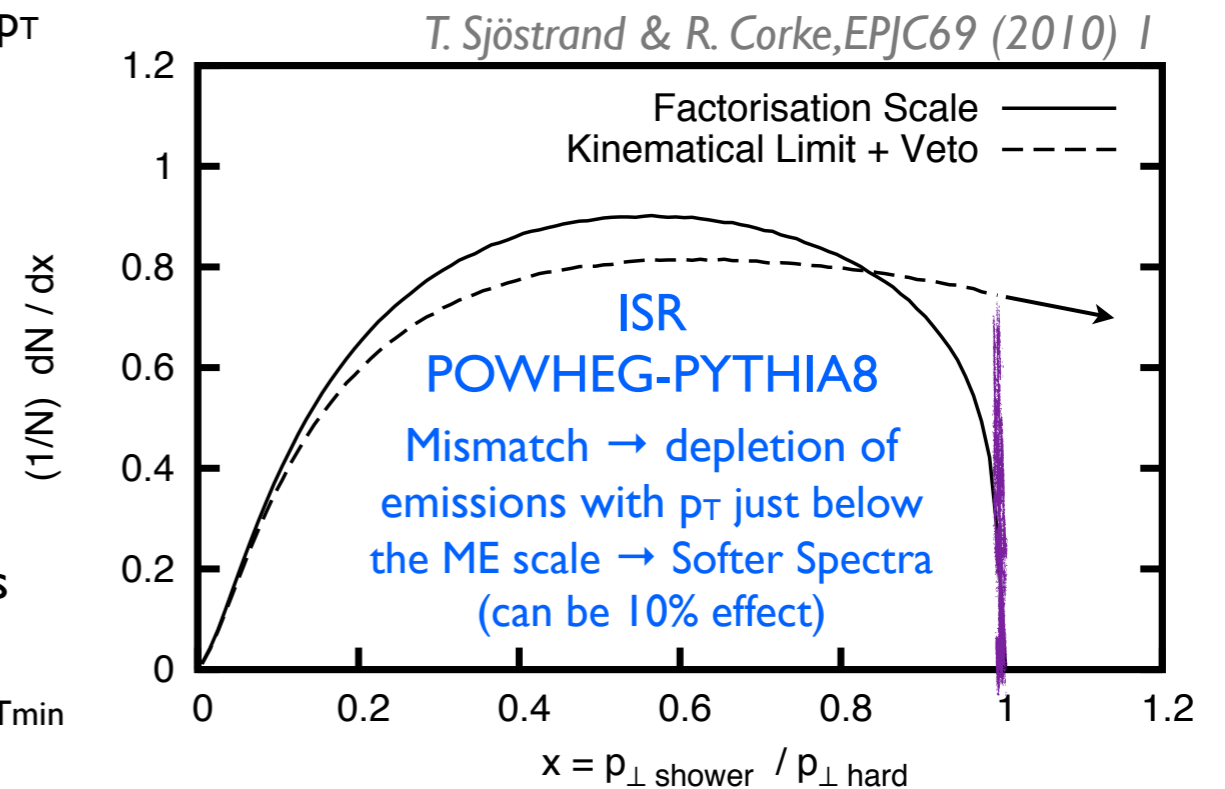
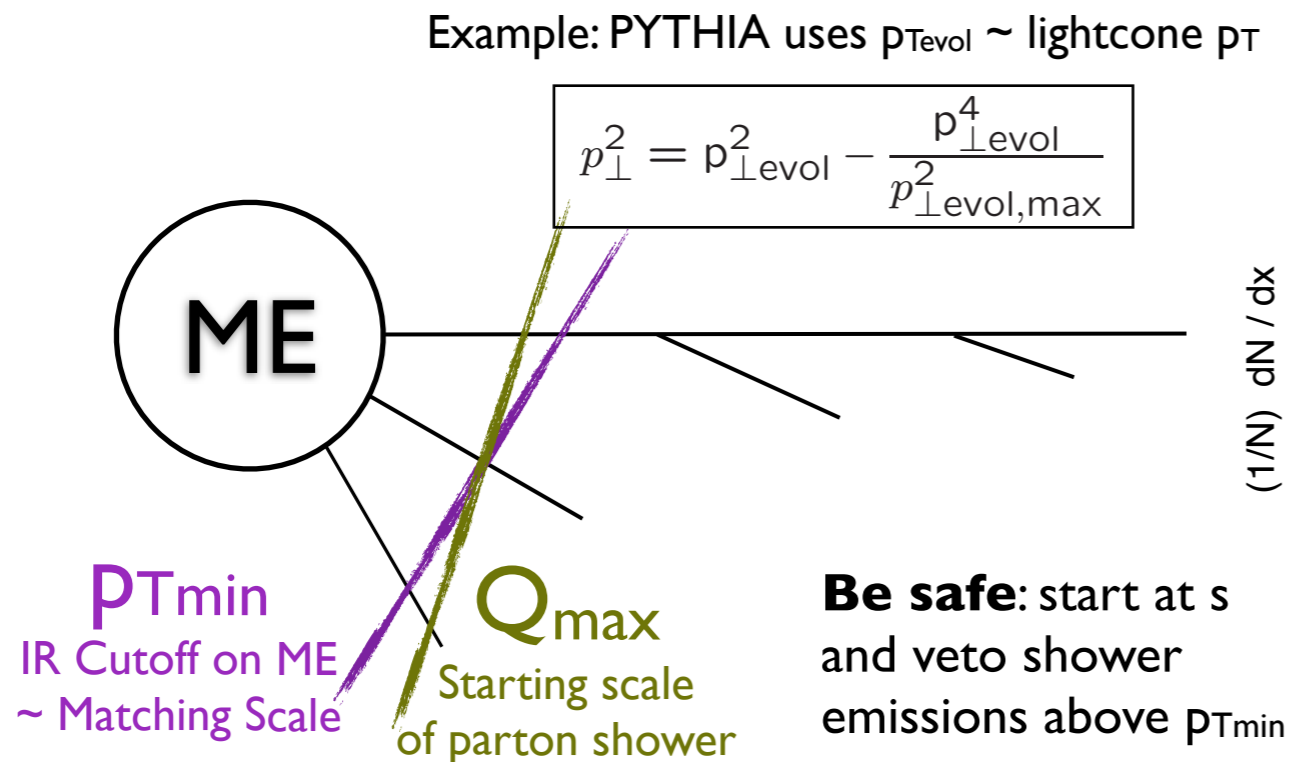
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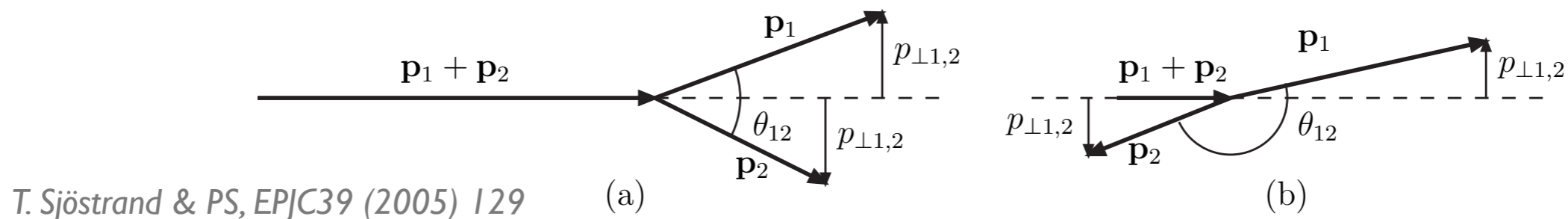
T. Sjöstrand & PS, EPJC39 (2005) 129

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# 1<sup>st</sup> Order: PYTHIA and POWHEG

## PYTHIA

FSR: Sjöstrand & Bengtsson, PLB185(1987)435, NPB289(1987)810  
 Drell-Yan: Miu & Sjöstrand, PLB449(1999)313

Real Radiation:

$$\left. \frac{d\hat{\sigma}}{d\hat{t}} \right|_{\text{PS}} = \left. \frac{d\hat{\sigma}}{d\hat{t}} \right|_{\text{PS1}} + \left. \frac{d\hat{\sigma}}{d\hat{t}} \right|_{\text{PS2}} = \frac{\sigma_0}{\hat{s}} \frac{\alpha_s}{2\pi} \frac{4}{3} \frac{\hat{s}^2 + m_W^4}{\hat{t}\hat{u}} \quad (\text{for } qg \rightarrow q'W)$$

Use PS as overestimate. Correct to R/B via veto:

$$R_{qg \rightarrow q'W}(\hat{s}, \hat{t}) = \frac{(d\hat{\sigma}/d\hat{t})_{\text{ME}}}{(d\hat{\sigma}/d\hat{t})_{\text{PS}}} = \frac{\hat{s}^2 + \hat{u}^2 + 2m_W^2\hat{t}}{\hat{s}^2 + 2m_W^2(\hat{t} + \hat{u})}$$

(+analogous for  $qq \rightarrow gW$ )

Unitarity → Modified Sudakov Factor:

$$\exp \left( - \int_t^{t_{\text{max}}} dt' \frac{\alpha_s(t')}{2\pi} \sum_a \int_x^1 dz \frac{x' f_a(x', t')}{x f_b(x, t')} P_{a \rightarrow bc}(z) \right)$$

Inclusive Cross Section (at fixed underlying Born variables):

Unitarity + no normalization correction → remains  $\sigma_0$

$$\rightarrow B = \sigma_0 = |M_{\text{Born}}|^2$$

Cancels when normalizing to  $1/\sigma$  and integrating over Born

Note: → tuning of standalone PYTHIA done with this matching scheme  
 Should be OK for POWHEG, but could give worries for MLM  
 B. Cooper et al, arXiv:1109.5295

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## POWHEG

Nason, JHEP 11(2004)040  
Drell-Yan: Alioli et al., JHEP 07(2008)060

### Real Radiation:

$$R_{g\bar{q},q} + R_{qg,\bar{q}} = \left. \frac{d\hat{\sigma}}{d\hat{t}} \right|_{\text{ME}} = \frac{\sigma_0}{\hat{s}} \frac{\alpha_s}{2\pi} \frac{4}{3} \frac{\hat{t}^2 + \hat{u}^2 + 2m_W^2\hat{s}}{\hat{t}\hat{u}} \quad (\text{using Sjöstrand's notation})$$

(for  $qg \rightarrow q'W$ )

Use R/B as splitting kernels (via overestimate + veto)

(+analogous for  $qq \rightarrow gW$ )

Unitarity → Sudakov Factor:

(explicit formula only for final-state in org paper → no PDF factors here)

$$\Delta_R^{(\text{NLO})}(p_T) = e^{-\int d\Phi_r \frac{R(v,r)}{B(v)} \theta(k_T(v,r) - p_T)}$$

↑  
= LL'

(not needed if shower ordered in  $p_T$ , though watch out, see next)

Inclusive Cross Section (at fixed underlying Born variables):

Include correction to NLO inclusive level → becomes  $\sigma_{\text{NLO}}$

$$\rightarrow \bar{B}(v) = B(v) + V(v) + \int (R(v,r) - C(v,r)) d\Phi_r$$

Cancels when normalizing to  $1/\sigma$  and integrating over Born

# $\mu_R$ in a matched setting (MLM)

*B. Cooper et al., [arXiv:1109.5295](https://arxiv.org/abs/1109.5295)*

## **If using one code for MEs and another for showering**

Tree-level corrections use  $\alpha_s$  from Matrix-element Generator

Virtual corrections use  $\alpha_s$  from Shower Generator (Sudakov)

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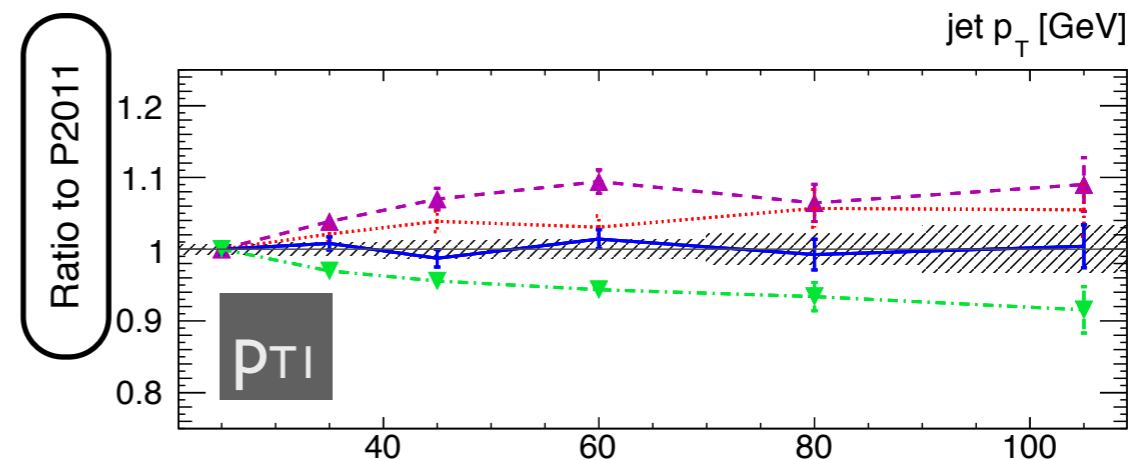
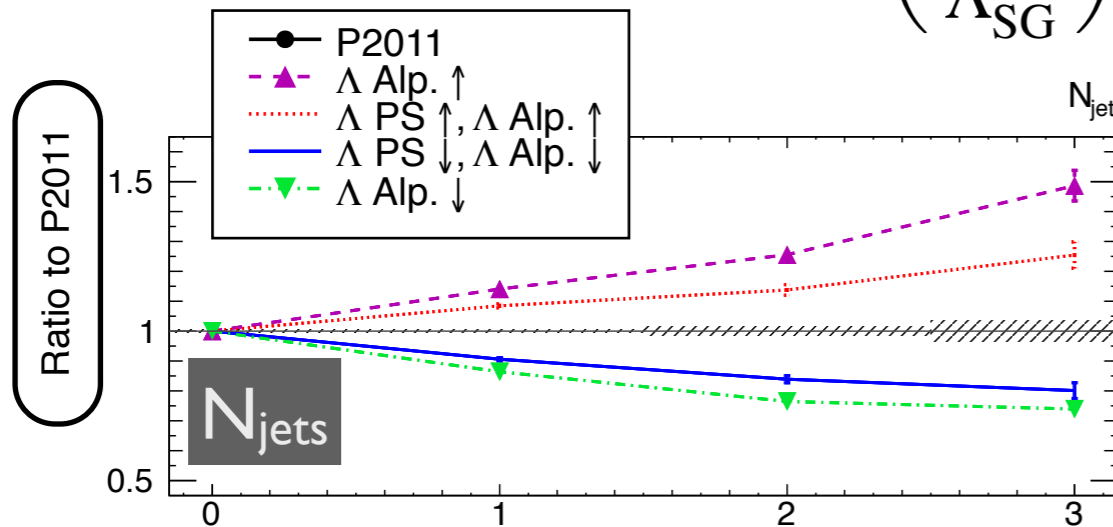
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**Mismatch if the two do not use same  $\Lambda_{\text{QCD}}$  or  $\alpha_s(m_Z)$**

$$\alpha_s^2 b_0 \ln \left( \frac{\Lambda_{\text{MG}}^2}{\Lambda_{\text{SG}}^2} \right) \frac{dQ^2}{Q^2} \sum_i P_i(z) |M_F|^2 .$$

note: running **order** also has a (subleading) effect



AlpGen: can set `x1clu =  $\Lambda_{\text{QCD}}$`  since v.2.14 (default remains to inherit from PDF)  
 Pythia 6: set common `PARP(61)=PARP(72)=PARP(81) =  $\Lambda_{\text{QCD}}$`  in Perugia 2011 tunes  
 Pythia 8: use `TimeShower:alphaSvalue` and `SpaceShower:alphaSvalue`



# Choice of Renormalization Scale

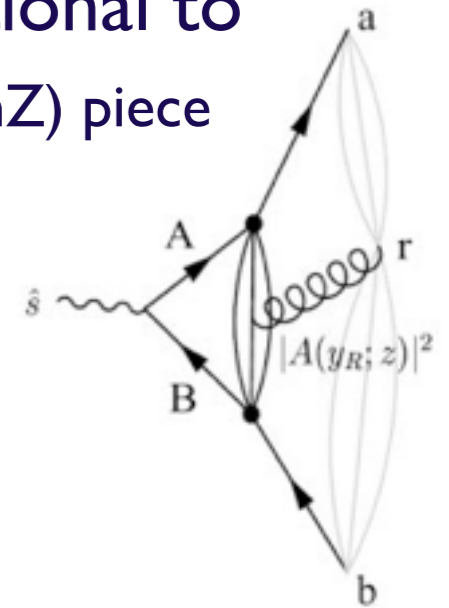
in Parton Showers

**One-loop radiation functions** contain pieces proportional to the  $\beta$  function (E.g.,:  $e^+e^- \rightarrow 3$  jets, for arbitrary choice of  $\mu_R$  (e.g.,  $\mu_R = m_Z$ ) piece from integrating quark loops over all of phase space

$$n_f A_3^0 \left( \ln \left( \frac{s_{23}}{\mu_R^2} \right) + \ln \left( \frac{s_{13}}{\mu_R^2} \right) \right) + \text{gluon loops}$$

*Proportional to the  $\beta$  function ( $b_0$ ).*

*Can be absorbed by using  $\mu_R^4 = s_{13} s_{23} = p_T^2 s$ .*



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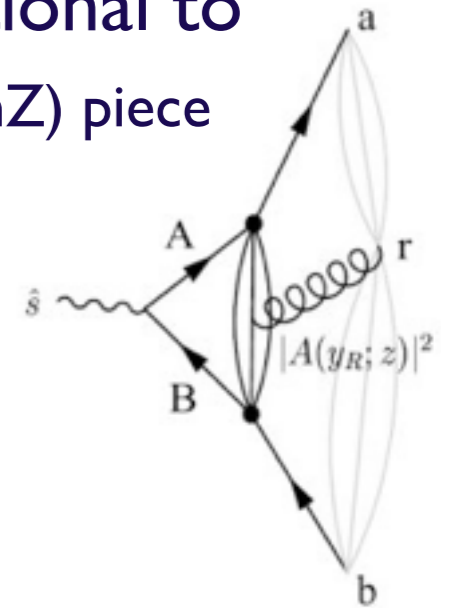
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**In an ordered shower,** quark (and gluon) loop integrals are restricted by strong-ordering condition  $\rightarrow$  modified to

$\mu_R = p_T$  (but depends on ordering variable? Anyway, we're using  $p_T$  here)

Additional logs induced by gluon loops can be absorbed by replacing  $\Lambda^{\overline{\text{MS}}}$  by  $\Lambda^{\text{MC}} \sim 1.5 \Lambda^{\overline{\text{MS}}}$  (with mild dependence on number of flavors)

Catani, Marchesini, Webber, NPB349 (1991) 635

Remaining ambiguity  $\rightarrow$  tuning

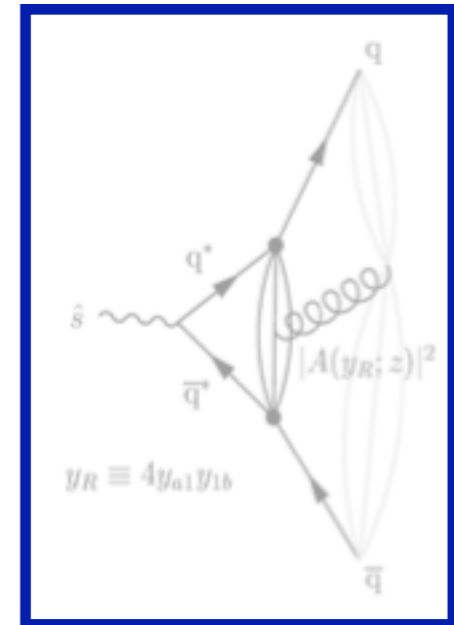
Note: CMW not automatic in PYTHIA, has to be done by hand, by choosing effective  $\Lambda$  or  $\alpha_s(M_Z)$  values instead of  $\overline{\text{MS}}$  ones  
 Note 2: There are obviously still order 2 uncertainties on  $\mu_R$ , but this is the background for the central choice made in showers

# NLO Matching in 1 Slide

## ► First Order Shower expansion

PS

$$\int d\Phi_2 \text{Born} \int_{Q_{\text{had}}^2}^s \frac{d\Phi_3}{d\Phi_2} \text{LL} \delta(\mathcal{O} - \mathcal{O}(\{p\}_3))$$

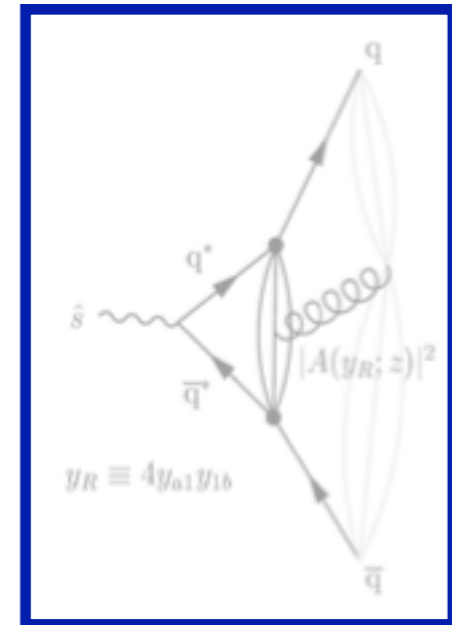


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Unitarity of shower  $\rightarrow$  3-parton real =  $\div$  2-parton “virtual”



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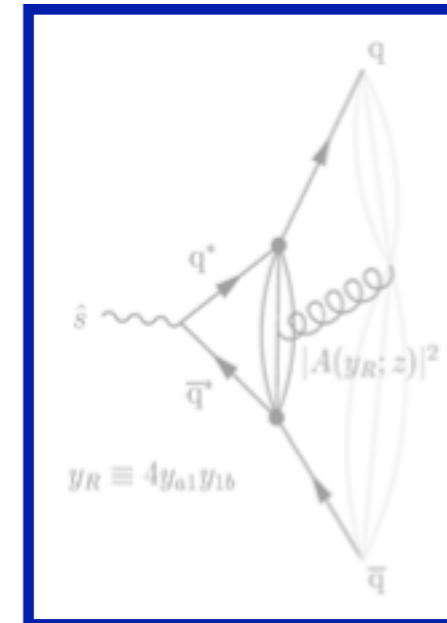
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Unitarity of shower  $\rightarrow$  3-parton real = 2-parton "virtual"

► 3-parton real correction ( $A_3 = |M_3|^2/|M_2|^2 + \text{finite terms}; \alpha, \beta$ )

$$\begin{aligned} \chi_{+1}^{(0)} &= \chi_{+1}^{(0)} - \left( \frac{\chi_{+1}^{(0)}}{\text{Born}} + \frac{4\pi\alpha_s \hat{C}_F}{s} \left( \alpha + \beta \frac{s_{ar} + s_{rb}}{s} \right) \right) \text{Born} \\ &= -\frac{4\pi\alpha_s \hat{C}_F}{s} \left( \alpha + \beta \frac{s_{ar} + s_{rb}}{s} \right) |M_2^{(0)}|^2 \end{aligned}$$



# NLO Matching in 1 Slide

► First Order Shower expansion

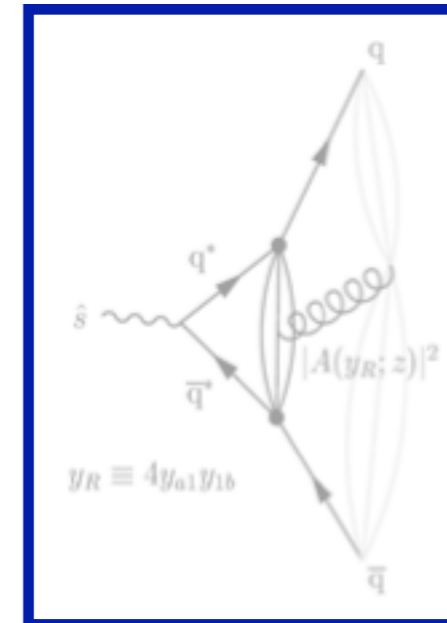
$$\text{PS} \quad \int d\Phi_2 \text{Born} \int_{Q_{\text{had}}^2}^s \frac{d\Phi_3}{d\Phi_2} \text{LL} \delta(\mathcal{O} - \mathcal{O}(\{p\}_3))$$

Unitarity of shower  $\rightarrow$  3-parton real = 2-parton "virtual"

► 3-parton real correction ( $A_3 = |M_3|^2/|M_2|^2 + \text{finite terms}; \alpha, \beta$ )

$$\begin{aligned} \chi_{+1}^{(0)} &= \chi_{+1}^{(0)} - \left( \frac{\chi_{+1}^{(0)}}{\text{Born}} + \frac{4\pi\alpha_s \hat{C}_F}{s} \left( \alpha + \beta \frac{s_{ar} + s_{rb}}{s} \right) \right) \text{Born} \\ &= -\frac{4\pi\alpha_s \hat{C}_F}{s} \left( \alpha + \beta \frac{s_{ar} + s_{rb}}{s} \right) |M_2^{(0)}|^2 \quad \Rightarrow \end{aligned}$$

Finite terms cancel in 3-parton  $\mathcal{O}$

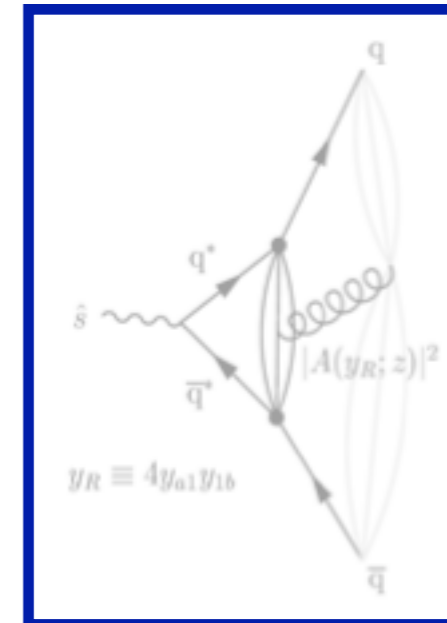


# NLO Matching in 1 Slide

► First Order Shower expansion

$$\text{PS} \quad \int d\Phi_2 \text{Born} \int_{Q_{\text{had}}^2}^s \frac{d\Phi_3}{d\Phi_2} \text{LL} \delta(\mathcal{O} - \mathcal{O}(\{p\}_3))$$

Unitarity of shower  $\rightarrow$  3-parton real  $\neq$  2-parton "virtual"



► 3-parton real correction ( $A_3 = |M_3|^2/|M_2|^2 + \text{finite terms}; \alpha, \beta$ )

$$\begin{aligned} \chi_{+1}^{(0)} &= \chi_{+1}^{(0)} - \left( \frac{\chi_{+1}^{(0)}}{\text{Born}} + \frac{4\pi\alpha_s \hat{C}_F}{s} \left( \alpha + \beta \frac{s_{ar} + s_{rb}}{s} \right) \right) \text{Born} \\ &= -\frac{4\pi\alpha_s \hat{C}_F}{s} \left( \alpha + \beta \frac{s_{ar} + s_{rb}}{s} \right) |M_2^{(0)}|^2 \quad \Rightarrow \quad \text{Finite terms cancel in 3-parton } \mathcal{O} \end{aligned}$$

► 2-parton virtual correction (same example)

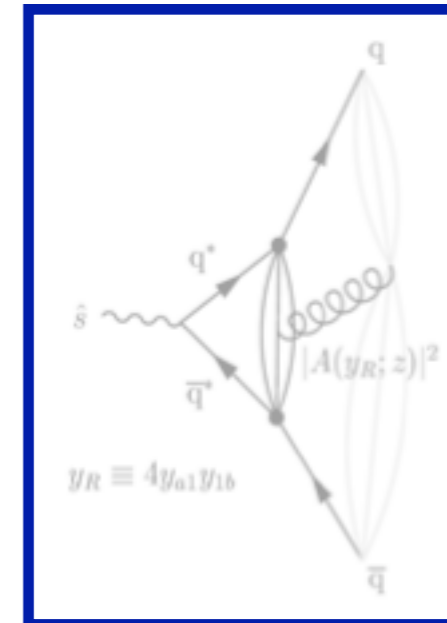
$$\begin{aligned} \chi^{(1)} &= \chi^{(1)} + \text{Born} \int_0^s \frac{d\Phi_3}{d\Phi_2} \text{LL} + \int_0^{Q_{\text{had}}^2} \frac{d\Phi_3}{d\Phi_2} \chi_{+1}^{(0)} \\ &= \frac{\alpha_s \hat{C}_F}{2\pi} \left( 2I_{q\bar{q}}^{(1)}(\epsilon, s) - 4 - 2I_{q\bar{q}}^{(1)}(\epsilon, s) + \frac{19 + \alpha + \frac{2}{3}\beta}{4} \right) \text{Born} \\ &= \frac{\alpha_s}{\pi} \left( 1 + \frac{1}{3} \left( \alpha + \frac{2}{3}\beta \right) \right) \text{Born} \quad \Rightarrow \quad \text{Finite terms cancel in 2-parton } \mathcal{O} \text{ (normalization)} \end{aligned}$$

# NLO Matching in 1 Slide

► First Order Shower expansion

PS  $\int d\Phi_2 |M_2^{(0)}|^2 \int_{Q_{\text{had}}^2}^s \frac{d\Phi_3}{d\Phi_2} A_{q\bar{q}}(\dots) \delta(\mathcal{O} - \mathcal{O}(\{p\}_3))$

Unitarity of shower  $\rightarrow$  3-parton real = 2-parton "virtual"



► 3-parton real correction ( $A_3 = |M_3|^2/|M_2|^2 + \text{finite terms}; \alpha, \beta$ )

$$w_3^{(R)} = |M_3^{(0)}|^2 - \left( A_3^0(\dots) + \frac{4\pi\alpha_s \hat{C}_F}{s} \left( \alpha + \beta \frac{s_{ar} + s_{rb}}{s} \right) \right) |M_2^{(0)}|^2$$

$$= -\frac{4\pi\alpha_s \hat{C}_F}{s} \left( \alpha + \beta \frac{s_{ar} + s_{rb}}{s} \right) |M_2^{(0)}|^2 \quad \Rightarrow$$

Finite terms cancel in 3-parton  $\mathcal{O}$

► 2-parton virtual correction (same example)

$$w_2^{(V)} = 2\text{Re} [M_2^{(1)} M_2^{(0)*}] + |M_2^{(0)}|^2 \int_0^s \frac{d\Phi_3}{d\Phi_2} A_{q\bar{q}}(\dots) + \int_0^{Q_{\text{had}}^2} \frac{d\Phi_3}{d\Phi_2} w_3^{(R)}$$

$$= \frac{\alpha_s \hat{C}_F}{2\pi} \left( 2I_{q\bar{q}}^{(1)}(\epsilon, s) - 4 - 2I_{q\bar{q}}^{(1)}(\epsilon, s) + \frac{19 + \alpha + \frac{2}{3}\beta}{4} \right) |M_2^{(0)}|^2$$

$$= \frac{\alpha_s}{\pi} \left( 1 + \frac{1}{3} \left( \alpha + \frac{2}{3}\beta \right) \right) |M_2^{(0)}|^2 \quad \Rightarrow$$

Finite terms cancel in 2-parton  $\mathcal{O}$  (normalization)